



The Office of Foreign Assets Control Financial Sector Symposium

**December 1, 2009
New York, NY**

The Office of Foreign Assets Control is sponsoring its first-ever Financial Sector Symposium designed to provide the financial sector with insight and perspective from top OFAC officials on banking, securities, and OFAC enforcement guidelines. This one-day event provides a unique opportunity to hear from and interact with not only the Office of Foreign Assets Control, but key state and federal regulators. Participants can expect to gain insight into topics such as agency enforcement issues and recent cases, the future of the SDN list, and transparency relating to securities, funds transfers and trade finance. Don't miss out on this unique opportunity to participate in the first ever event designed for financial sector professionals by OFAC itself!

Event Details:

Agenda: The agenda and additional event details will be emailed to all registered participants as the Symposium date draws nearer. Lunch, light snacks and beverages will be provided to attendees.

Location/Time: The Financial Sector Symposium will take place on Tuesday, December 1, 2009 at the Federal Reserve Bank of New York's Conference Center, 33 Liberty Street, NY, NY:

http://www.newyorkfed.org/aboutthefed/ny_directions.html

All participants must bring an officially issued photo ID, such as a driver's license or passport, and go through scanning equipment to enter the building. Registration will begin at 8:30 a.m. The Symposium itself will run from 10:00 a.m. to 4:30 pm.

Cost: This is a **free** event.



Questions for the Regulators: We will be accepting questions in advance for a Q & A session that will include both state and federal regulators. Please submit your question(s) with your emailed registration.

Registration: You may register by email at OFACSymposium@do.treas.gov. Please provide your name, company name, email address, and a phone number. Space is limited so please register in advance. We will only respond to emailed correspondence regarding this event. Please do not use OFAC's hotline for Symposium calls. All emails will be answered in the order in which they are received. Email is the only acceptable form of registration and correspondence for the event.

If you wish to register, please send us an email with the following information:

1. Full Name
2. Company Name
3. Title
4. Email Address
5. Phone Number
6. Questions for the Regulators

Registrations not including your full name, company name, and email address will not be accepted. Only two persons per institution/organization will be allowed so that we may accommodate as many institutions as possible. If you have more than two persons wishing to attend, we will place their names on a waiting list should space become available. Once you have submitted your email to OFACSymposium@do.treas.gov, we will send you a message confirming your registration for the event. The Symposium is intended for operations and compliance professionals. It is not intended for the media and so will be "closed-press." *Please allow several days for the processing of your registration.*

Registration Deadline: All registrations (including changes to registration information) MUST be received no later than November 23 to accommodate building security.

Questions: For questions, please email us at OFACSymposium@do.treas.gov.

