AN INTRODUCTION TO THE

Coronavirus Capital Projects Fund

for Tribal Governments

October 2021
About This Webinar

The U.S. Department of the Treasury is providing this webinar and other resources for informational purposes.

The descriptions provided in this document are non-exhaustive, do not describe all terms and conditions associated with the use of Coronavirus Capital Projects Fund (Capital Projects Fund), and do not describe all of the eligible and ineligible uses that may apply to this funding.

Any Capital Projects Fund funding received will be subject to the terms and conditions of the agreement entered into by Treasury and the respective recipients, as is outlined by the guidance.
Agenda

SECTION 1:  Welcoming Remarks
SECTION 2:  Overview
SECTION 3:  Use of Funds
SECTION 4:  How to Apply and Access Funds
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SECTION 1: Welcoming Remarks
SECTION 2: Overview
Capital Projects Fund Overview

The Capital Projects Fund provides Tribal governments with access to funding to invest in capital projects to aid their response to the COVID-19 public health emergency. Making funding available for broadband infrastructure is a priority of the fund.

On Monday September 20th, Treasury launched the Capital Projects Fund, and Treasury began accepting applications from Tribal Governments on Friday, October 1st. Approximately $167,000 has been allocated to each Tribal government.

The Capital Projects Fund aims to:

• Directly support recovery from the COVID-19 public health emergency by strengthening and improving infrastructure necessary for participation in work, education, and health monitoring that will last beyond the pandemic.

• Enable investments in capital assets designed to address inequities in access to critical services.

• Contribute to the Administration’s goal to provide every American with the modern infrastructure necessary to access critical services, including a high-quality and affordable broadband internet connection.
Eligibility Criteria

Statutory Language: “$10,000,000,000...for making payments to States, territories, and Tribal governments to carry out critical capital projects directly enabling work, education, and health monitoring, including remote options, in response to the public health emergency with respect to the Coronavirus Disease (COVID-19)”

The Capital Projects Fund authorizes funding for projects that meet the following criteria.

A Capital Project must:

1. Invest in capital assets designed to directly enable work, education, and health monitoring.

2. Be designed to address a critical need that resulted from or was made apparent or exacerbated by the COVID-19 public health emergency.

3. Be designed to address a critical need of the community to be served by it.
SECTION 3: Use of Funds
Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.

- Broadband Infrastructure Projects
- Digital Connectivity Technology Projects
- Multi-Purpose Community Facility Projects
- Case-by-Case Reviews
- Ineligible Uses
Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.
Presumptively Eligible Broadband Infrastructure Projects

Recipients may use these funds to make critical investments in broadband infrastructure (in addition to funds available under the State & Local Fiscal Recovery Fund for necessary broadband infrastructure).

**Eligibility Criteria:**

- **Eligible Project Focus**
  - Encouraged to focus on serving locations without access to reliable wireline speeds of 100 Mbps download / 20 Mbps upload, but provide flexibility to recipients to invest in other areas of critical need.

- **Eligible Project Standards**
  - Designed to deliver service that reliably meets or exceeds 100 Mbps download and 100 Mbps upload speeds, unless impracticable.

Recipients must invest in broadband infrastructure that addresses a critical need for the community.
Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.

- Broadband Infrastructure Projects
- Digital Connectivity Technology Projects
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- Ineligible Uses
Presumptively Eligible Digital Connectivity Technology Projects

This funding may be used to purchase and/or install devices and equipment to facilitate internet access where affordability has been identified as a barrier to broadband adoption and use.

<table>
<thead>
<tr>
<th>Presumptively Eligible Technologies</th>
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<tbody>
<tr>
<td><strong>Desktop Computers, Laptop Computers, and Tablets</strong></td>
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<tr>
<td>• These devices can be purchased for distribution to members of the public through short- or long-term loan programs or made available for use in public facilities</td>
</tr>
<tr>
<td><strong>Wi-Fi Equipment</strong></td>
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<tr>
<td>• Equipment installed as a part of public Wi-Fi infrastructure, such as access point, repeaters, routers, etc.</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Not Presumptively Eligible Technologies</th>
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<tbody>
<tr>
<td><strong>iPhones, Televisions</strong></td>
</tr>
<tr>
<td>• These devices do not qualify for purchase using CPF funds as they do not permit users to fully participate in work, education, and health monitoring activities</td>
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</tbody>
</table>
Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.
Presumptively Eligible Multi-Purpose Community Facility Projects

This funding may be used to construct or improve buildings that are designed to jointly and directly enable work, education, and health monitoring.

- Eligible projects must directly and jointly enable all three activities, with additional functions or purposes permitted.

- Applicants should explain why communities identified to be served by Multi-Purpose Community Facility Projects have a critical need for such projects.

Examples of Eligible Multi-Purpose Community Facility Projects

- Construction or improvement of **full-service community schools** providing academic programs, workforce training or career counseling, and health monitoring.

- Construction or improvement of **libraries** that provide public access to the internet for work, education, and health monitoring, and may provide other resources like digital literacy training.

- Construction or improvement of **community health centers** that provide health monitoring and a broader range of services including job counseling and health education classes.
Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.

- Broadband Infrastructure Projects
- Digital Connectivity Technology Projects
- Multi-Purpose Community Facility Projects
- Case-by-Case Reviews
- Ineligible Uses
Case-by-Case Reviews

Recipients may propose other uses of funds. To be eligible in Treasury’s review, the Recipient must demonstrate that the proposed use of funds:

1. Invests in a Capital Asset that Directly Enables Work, Education, and Health Monitoring
   - Funds must be used for the construction, purchase, installation of, and/or improvements to capital assets where costs of such assets are capitalized or depreciated
   - Upon completion, the project must be designed to be used by community members to directly engage in all three activities

2. Addresses Impact of COVID-19
   - The project must be designed to address impediments to community members’ ability to directly engage in all three activities that resulted from, were made apparent, or exacerbated by COVID-19

3. Addresses a Critical Need
   - The project must serve communities that lack access to the resources or services that the project is designed to provide
   - Lack of access can be caused by an absence, insufficient levels, or unaffordability of a service or resource
Use of Funds

Recipients have flexibility in selecting how they would like to deploy Capital Projects Fund grant funding to address critical needs identified within their communities.
Presumptively Ineligible Uses

The following projects are ineligible under the Capital Projects Fund program.

**General Infrastructure Projects**

- Highways, bridges, transit systems, and ports are presumptively ineligible capital projects

**General Construction and Improvement of Hospitals or Traditional Schools**

- Construction or improvement of hospitals and schools must demonstrate that they directly and jointly enable work, education, and health monitoring

The following projects are not presumed to be eligible under the Capital Projects Fund program; although, there may be opportunities for such projects to receive funding if they meet the eligibility criteria. Such projects will be reviewed on a case-by-case basis.
SECTION 4: How to Apply and Access Funds
Completing the Application

Tribal governments must apply through the Capital Projects Fund application portal.

• For Tribal governments, the application process consists of several parts:
  – **Register** for ID.me. Each individual person who will be accessing or editing a CPF application must register. Registration is on a per-user (not per-application) basis.
  – **Apply** for the CPF program by completing an application using the online portal. The application establishes applicant eligibility, and award amount, and collects the intended use of funds. We estimated it will take about two hours to complete.
  – **Indicate** intended use of CPF funds by project type.
  – **Certify** the application. This must be done by a Tribal leader (or similar), or by an authorized representative who is duly designated in writing by the Tribal leader (or similar).
  – **Respond** to any questions from Treasury regarding the application.
  – **Sign** a grant agreement with Treasury, binding the applicant to the terms of the CPF grant.

• Tribal governments may apply jointly, including via a Tribal consortium.

All applications must be completed no later than June 1, 2022.
Accessing the Capital Projects Fund Application Portal

The Coronavirus Capital Projects Fund (Capital Projects Fund) was created to address many challenges laid bare by the pandemic, especially in rural America, Tribal communities, and low- and moderate-income communities, helping to ensure that all communities have access to the high-quality modern infrastructure, including broadband, to access critical services.

The American Rescue Plan provides $10 billion for payments to eligible governments for capital projects that directly enable work, education, and health monitoring, including in response to the public health emergency.

Eligible states, territories, freely associated states, and Tribal governments may apply for their Capital Projects Fund allocation using the Treasury Submission Portal.

APPLY FOR THE CAPITAL PROJECTS FUND
CPF Application Portal Homepage

Introduction

Welcome to the three Treasury Programs supporting state, territory, Tribal, and local governments as part of the 2021 American Rescue Plan.

Depending on if you are a state, territory, local, or Tribal government, you will be eligible for different programs. Please select "Start a Submission" at Top-Right to begin to identify which programs you may be eligible for.

- **STATE AND LOCAL FISCAL RECOVERY FUNDS** - $350 billion available for state, territory, Tribal, and local governments to support the public health response and lay the foundation for a strong and equitable economic recovery.

- **HOMEOWNER ASSISTANCE FUND** - Nearly $10 billion available for state, territory, and Tribal governments to provide relief for our country’s most vulnerable homeowners.

- **EMERGENCY RENTAL ASSISTANCE** - $21.6 billion available for state, territory, and local governments to assist households that are unable to pay rent and utilities.

- **CAPITAL PROJECTS FUND** - $10 billion available for state, territory, freely associated state, and Tribal governments for capital projects designed to jointly and directly enable work, education, and health monitoring, which includes the provision and improvement of broadband infrastructure where it is lacking.

**Submission Process:** You now have a login and 24/7 access to this portal. You have two options while working on the submission(s) - save your progress or submit the submission. If you save, you can return and edit information as needed. To resume working on a draft submission, click on "Submissions & Compliance Forms" using the navigation to the left of the page. This will bring you to your list of submissions, click "Provide Information" to continue working. Once you submit and certify the agreement, you cannot edit your information for that particular program without reaching out to Treasury to re-open the case and edit.

**Contact Us/Help:** For assistance on your submission and other questions, contact covidreliefsupport@treasury.gov

Ready to get started? Click "Start a Submission" at Top-Right.
Starting a CPF Application Submission

Submissions to Start

Are you applying for funding from the Coronavirus Capital Projects Fund (CPF) program? (Note: If you are applying for multiple programs including the CPF program, first select Yes and complete the additional questions. Once the CPF application is created, return to the SLT page and select the “Start Submission” button again and then select No.)

Choose an Option

Submissions to Start

 Applicant Type

--None--

Once you click the Create button, a draft CPF application form will appear under the tab “Submissions & Compliance Forms” on the SLT homepage. Navigate to that tab and then click the link “Provide Information” to complete the application.
Confirmation of Application Creation

Sandbox: CPF-0000040 CPF Application Created

Dear Capital Projects Fund Applicant,

This email confirms that you have successfully created an application for the Capital Projects Fund (CPF) grant program. Please make sure to complete and submit the application before the deadline of 12/27/2021 at 11:59PM ET. To be eligible for consideration, applications must be completed and signed/certified by the deadline. Please review the submission requirements in the final section (Tab 5) of the application and make sure to submit the necessary information and documentation timely.

This email has been sent to the individual who created the application on the CPF portal. Future automated emails will continue to be sent to this address as well as any other designated as point of contact addresses that are added in the application. You may update who receives CPF communications at any time but contacting us at CapitalProjectsfund@Treasury.gov

Thank You,

CPF Application Review Team
U.S. Department of the Treasury

Guidance and Program Information: https://www.treasury.gov/CPF
Application Support Email: CapitalProjectsFund@Treasury.gov
Application Support Phone: 844-529-9527
Applicant Information

SECTION 2 - Applicant Information

SECTION 2.1 - Designation of Authorized Official

Please provide the following information about the applying entity and related personnel.

The authorized representative is the individual who will sign and submit the Application and any related documentation, attestations or certifications, and sign the Grant Agreement on behalf of the Applicant. Every authorized representative must be duly authorized to act and enter into binding agreements on behalf of the Applicant. Please select one of the following:

☐ The authorized representative is an employee or member of the Applicant entity and is duly authorized by law or by virtue of the title and position that the Individual holds (e.g., Tribal leader, or governor) of the Applicant to act and enter into binding agreements on behalf of the Applicant (including, submitting the Application and any related documentation, attestations or certifications, and the Grant Agreement).

☐ The authorized representative is not an employee or member of the Applicant entity who is duly authorized by law or by virtue of the title and position that he or she holds, but the individual has been duly authorized to act and enter into binding agreements on behalf of the Applicant (including, submitting the Application and any related documentation, attestations or certifications, and the Grant Agreement).

* Are you a Tribal consortium, Tribal organization, or similar entity applying on behalf of one or more Tribal governments?

☐ None

* Are you a Tribal government applying on behalf of yourself AND one or more other Tribal governments?

☐ None

Save Information
Applicant Information Details

- **Primary Contact Information** – Contact information for the person who is filling out the online portal. You can also add additional point of contact (POC) email addresses.

- **Applicant Information** – Identifying information for the Tribal government that is applying for funding.

- **Authorized Representative Information** – Contact information for the individual who will be signing the certification to submit this application.

- **Additional Eligible Entities** – Identifying information for any additional Tribal governments that you are applying on behalf of. This is the same as the Applicant Information section, except that it is used only if you are applying on behalf of more than one eligible Tribal entity.

- **Banking Information** – Information needed for us to send you payments.
**SECTION 4 - Award Information**

You may select to receive up to the full amount allocated to you by the statutory formula, which is displayed below. Your claim is the awardable amount that you will receive if you meet the CPF requirements. You may reduce your claim at any time during the period of performance, but you may not increase your award above what you initially request on this form. Generally, your CPF claim should be equal to the CPF allocation; unclaimed funds may be forfeited. Please indicate the awardable amount you wish to receive.

CPF Allocation

- Do you wish to receive the full CPF allocation
  - Yes

**Eligible Uses**

CPF Funds may only be used for eligible uses set forth in Treasury’s Guidance document (visit: [https://www.treasury.gov/CPF](https://www.treasury.gov/CPF)). Please check the box next to each of the following uses for which CPF Funds will be used. For each presumptively eligible use, we ask that you provide a short response explaining how the funds will be used in alignment with the Guidance. Applications involving other than presumptively eligible uses will be subject to a case-by-case review, which may add time to the Application review process and may delay payment.

**Determining Eligible Use**

- Have you determined how you are going to use CPF grant funds?
  - No-I require additional time

If you need additional time, please save this application and return when you can provide the details of how the CPF grants funds will be used. You will not be able to complete the application until you can provide your plans on how you intend to use CPF grant funds.
Intended Use of Funds

In the application, Tribal governments must also provide information about the intended use of funds.

• Tribal governments must choose from the following options that describe intended uses of funds, which align with *presumptively eligible uses*:
  - **Purchasing devices** to be owned by the Applicant and utilized by or distributed to households, businesses, or other organizations to facilitate internet access
  - **Purchasing digital connectivity technologies** (e.g., public Wi-Fi) to be owned by the Applicant
  - **Installation or enhancement of broadband infrastructure** meeting minimum service standards described in the CPF Guidance
  - **Projects to construct or improve buildings** that are designed to jointly and directly enable work, education, and health monitoring

• Tribal governments may also select the option to propose using funds for a *non-presumptively eligible use*, which will be reviewed on a case-by-case basis.

• Applicants may also indicate that they need additional time to determine how to use CPF funds; please note that applications may not be completed until intended use is identified

More information can be found on the CPF website, including a checklist to assist with completing the application.
Intended Use of Funds

Determining Eligible Use
- Have you determined how you are going to use CPF grant funds?
  Yes

Presumptively Eligible Uses
- Purchasing devices to be owned by the Applicant and utilized by or distributed to households, businesses, or other organizations to facilitate internet access.
- Purchasing digital connectivity technologies (e.g., public Wi-Fi) to be owned by the Applicant.
- Installation or enhancement of broadband infrastructure meeting minimum service standards.
- Projects to construct or improve buildings that are designed to jointly directly enable work, education, and health monitoring.

Non-Presumptively Eligible Use
- If this option is selected, Treasury will review the proposed eligible use on a case-by-case basis and may request additional information – case-by-case reviews may add time to the Application review process. Please refer to Treasury’s Guidance for information on eligible uses.
## Intended Use of Funds – Purchasing Devices

**Presumptively Eligible Uses**

- Purchasing devices to be owned by the Applicant and utilized by or distributed to households, businesses, or other organizations to facilitate internet access.

- Please describe the device purchase and distribution plans, if known. Limit responses to 1-3 sentences. For any questions you answered "No", please provide one additional sentence explaining why.

- Has affordability been identified as a barrier to access to the internet?
  - --None--

- Will the devices be used to connect to broadband internet?
  - --None--

- Will this address critical need(s) within the community?
  - --None--

- Will funds be used solely to purchase devices deemed eligible by the Guidance (e.g., laptops, tablets, and desktop personal computers)?
  - --None--

- Will ownership of the equipment be maintained by the Applicant or a subrecipient?
  - --None--

- Will CPF grant funds be used to cover costs incurred after March 15, 2021, but prior to execution of the Grant Agreement?
  - --None--
Intended Use of Funds – Purchasing Digital Connectivity Technologies

- Please describe the device purchase and distribution plans, if known. Limit responses to 1-3 sentences.

- Has affordability been identified as a barrier to access to the internet?
  --None--

- Will this address critical need(s) within the community?
  --None--

- Will the devices be used to connect to broadband internet?
  --None--

- Will funds be used solely to purchase equipment deemed eligible by the Guidance (e.g., equipment and devices necessary for public Wi-Fi infrastructure development)?
  --None--

- Will CPF grant funds be used to cover costs incurred after March 15, 2021, but prior to execution of the Grant Agreement?
  --None--
Intended Use of Funds – Broadband Infrastructure

If Broadband Infrastructure is the intended use of funds, applicants must answer the following questions:

• Please describe the use of funds, limiting responses to 1-3 sentences

• Will this project deliver speeds of 100/100 Mbps or higher? (yes/no)
  – If not, will this project reliably deliver speeds of 100/20 Mbps and be scalable to 100/100 Mbps? (yes/no)
  – If not, describe the conditions that make the above speed standards impracticable to implement in 1-3 sentences

• Will the applicant ensure the service provider implementing broadband infrastructure projects funded by CPF funds participates in low-income broadband subsidy programs? (yes/no)

• Will CPF funds be used to supplement another federal government broadband support program? (yes/no)
  – Please select which other federal government broadband program(s) that will also be funding this project
  – Please provide the grant identifier (i.e., award number, etc.) for these other funds
  – Please provide contact information for these grants
  – Please describe what is being funded by these existing federal grants in 1-3 sentences

• Will CPF funds be used to cover costs incurred after March 15, 2021, but prior to the execution of the grant agreement (yes/no)
### Intended Use of Funds – Constructing or Improving Buildings

- **Projects to construct or improve buildings that are designed to jointly directly enable work, education, and health monitoring.**
  
  - *Please describe the use of these funds. Limit responses to 1-3 sentences.*
  
  - *How will the program directly enable work? Limit responses to 1-3 sentences.*
  
  - *How will the program directly enable education? Limit responses to 1-3 sentences.*
  
  - *How will the program directly enable health monitoring? Limit responses to 1-3 sentences.*
  
  - *Will the Capital Projects will provide services or activities that directly enable work, education, and health monitoring for at least five years from the completion of the Project?*
    - [None]
  
  - *Will CPF grant funds be used to cover costs incurred after March 15, 2021, but prior to execution of the Grant Agreement?*
    - [None]
Intended Use of Funds – Non-Presumptively Eligible Use

If this option is selected, Treasury will review the proposed eligible use on a case-by-case basis and may request additional information – case-by-case reviews may add time to the Application review process. Please refer to Treasury’s Guidance for information on eligible uses.

*Please describe the proposed use of CPF Funds*

*Will CPF grant funds be used to cover costs incurred after March 15, 2021, but prior to execution of the Grant Agreement?*

---None---
Applicant Outreach and Support

Treasury has partnered with NTIA’s Tribal Connectivity & Nation to Nation Coordination to provide applicants with support.

Treasury staff are available to:

• **Offer support to applicants** on understanding the guidance and addressing any application questions

• **Provide resources to assist with the application**, including the Tribal Government Application Checklist, which can be found at treasury.gov/CPF

• **Partner with the call center to manage incoming questions** via phone and the [CapitalProjectsFund@treasury.gov](mailto:CapitalProjectsFund@treasury.gov) inbox to resolve IT and programmatic questions

NTIA staff will support by:

• **Host webinars and briefings** providing information about the guidance, application process, and other topics for applicants and other organizations

• **Periodically update and publish FAQ documents** to provide applicants with clarity
SECTION 5: Reporting Requirements
Reporting Requirements

Recipients will be required to submit ongoing reports on their use of funds and activities.

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<th>Project and Expenditure Report</th>
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<tbody>
<tr>
<td><strong>Contents</strong></td>
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<tr>
<td>• Types of projects funded</td>
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<tr>
<td>• Financial data</td>
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<td>• Project status and performance narrative</td>
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<tr>
<td>• Project type-specific data</td>
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<tr>
<td><strong>Required Submissions</strong></td>
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<tr>
<td><strong>and Frequency</strong></td>
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<tr>
<td>• Tribal governments submit annually</td>
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Treasury will provide additional guidance and instructions on the reporting requirements at a later date.
Section 6: Q&A
Thank you.

For More Information:
Please visit Treasury’s Capital Projects Fund website treasury.gov/CPF

For Media Inquiries:
Please contact the U.S. Treasury Press Office at (202) 622-2960

For General Inquiries:
Please email CapitalProjectsFund@treasury.gov