

City of Fort Wayne, Indiana  
**Recovery Plan**

**State and Local Fiscal Recovery  
Funds**

2021 Report

All States and territories, and metropolitan cities and counties with a population that exceeds 250,000 residents that are recipients of State and Local Fiscal Recovery Funds (SLFRF) awards are required to produce a Recovery Plan Performance Report (the “Recovery Plan”). The Recovery Plan provides information on the recipient’s projects and how they plan to ensure program outcomes are achieved in an effective and equitable manner. It will include key performance indicators identified by the recipient and some mandatory indicators identified by Treasury. Each annual Recovery Plan must be posted on the public-facing website of the recipient by or on the same date that the recipient submits the report to Treasury.

The initial Recovery Plan will cover the period from the date of award to July 31, 2021 and must be submitted to Treasury by August 31, 2021. Thereafter, the Recovery Plan will cover a 12-month period and recipients will be required to submit the report to Treasury within 30 days after the end of the 12-month period (by July 31).

Annual Report	Period Covered	Due Date
1	Award Date – July 31, 2021	August 31, 2021
2	July 1, 2021 – June 30, 2022	July 31, 2022
3	July 1, 2022 – June 30, 2023	July 31, 2023
4	July 1, 2023 – June 30, 2024	July 31, 2024
5	July 1, 2024 – June 30, 2025	July 31, 2025
6	July 1, 2025 – June 30, 2026	July 31, 2026
7	July 1, 2026 – December 31, 2026	March 31, 2027

**Instructions:**

This document is meant as a suggested template for applicable SLFRF recipients to assist them in submitting their Recovery Plan. Recipients should consult the SLFRF Guidance on Recipient Compliance and Reporting Responsibilities (Reporting Guidance) located at <https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf> for detailed guidance on the submission of this report.

Treasury encourages Recipients to tailor this report to best meet their needs in terms of format and content. Treasury recommends the use of infographics, tables, charts, pictures, case studies, and other explanatory elements in describing their programs.

*Text in italics* represents the requirements from the Reporting Guidance and is meant to serve as a reference as recipients prepare their Recovery Plan. This instructions page and the *text in italics* should be removed before the final transmitted report is published and submitted to Treasury.

Additional information around Expenditure Categories is located in Appendix 1 of the Reporting Guidance.

**For More Information**

More information about the State and Local Fiscal Recovery Fund program and associated reporting requirements are located at [www.treasury.gov/SLFRP](http://www.treasury.gov/SLFRP) .

Questions on reporting, eligible uses, or other general topics should be directed to [SLFRP@treasury.gov](mailto:SLFRP@treasury.gov).

**City of Fort Wayne, Indiana**  
**2021 Recovery Plan**

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## **Executive Summary**

*The City of Fort Wayne, Indiana (the “City”) received 50% of its American Rescue Plan Act (ARPA) State and Local Fiscal Recovery Funds (SLFRF) funds (“Recovery Funds”) allocation in late May 2021. To ensure compliance with U. S. Department of the Treasury (“Treasury”) regulations regarding the spending of the Recovery Funds, the City has contracted outside assistance in planning for the expenditures of the Recovery Funds to assist the City in its response to the COVID-19 emergency and economic recovery. The City also has created a new Grant Administrator position for the City of Fort Wayne. The City intends to create a plan that is effective, efficient, and equitable in helping our community respond to the COVID-19 pandemic and promote a healthy economic recovery. Uses of funds will target the seven expenditure categories (combined as the “four eligible uses” in the Interim Final Rule) laid out by Treasury: public health; negative economic impacts; services to disproportionately impacted communities; premium pay; water, sewer, and broadband infrastructure; revenue replacement; and, administrative. Key outcome goals will be delineated after more extensive public input. Noteworthy challenges primarily center around evolving information related to Treasury regulations and requirements. Noteworthy opportunities include early positive intentions across the community for a high degree of collaboration.*

## **Uses of Funds**

*In order to support a strong and equitable recovery from the COVID-19 pandemic and economic downturn, the City intends to strategically address needs across all expenditure areas as defined by Treasury. Furthermore, the Fort Wayne Common Council has outlined the following allocation percentages for each of the four eligible uses for Recovery Funds:*

- (a) No less than 5% of each allocation of the Recovery Funds shall be spent on premium pay to eligible workers/employees of the City of Fort Wayne who performed or who are performing essential work during the pandemic; and*
- (b) No less than 20% of each allocation of the Recovery Funds shall be spent on necessary investments in water, sewer, or broadband infrastructure; and*
- (c) No less than 20% of each allocation of Recovery Funds shall be spent to respond to negative economic impacts by providing assistance to households, small businesses, and non-profits, or as aid to impacted industries such as tourism, travel, and hospitality; and*
- (d) No less than 20% of each allocation of the Recovery Funds shall be spent to replace reduction in revenue due to the pandemic for government services, relative to revenues collected in the most recent full fiscal year prior to the emergency.*

*Efforts will be made to support various communities, populations, and individuals in their recovery. Efforts will also be made to leverage Recovery Funds to maximize programmatic support and to explore other avenues of federal recovery funds for which the City may be eligible. To date, the City has hired an outside consultant and an in-house staff person to plan and manage the expenditure of funds available through ARPA. The Fort Wayne Common*

*Council has outlined minimum spending percentages by eligible use. Public input will begin soon to determine community priorities and research will begin to accurately measure need and assess potential impact of Recovery Funds. In addition, \$375,000 has been obligated to Super Shot to provide needed vaccinations and shots to communities in need, in alignment with Public Health (EC 1) and up to \$200,000 (for calendar year 2021) has been obligated for administrative costs: up to \$125,000 to retain the consultant and up to \$75,000 to hire personnel to focus on planning, disbursing, and maintaining records in compliance with the U.S. Treasury guidelines, in alignment with Administrative expenses (EC 7).*

*Through the end of July 2021, the City of Fort Wayne provided assistance to 1,407 households through the Emergency Rental Assistance (ERA) program. From the first phase of ERA, \$5,856,795.06 (72.34%) has been obligated and \$4,366,136.59 (54.09%) has been disbursed.*

### **Promoting Equitable Outcomes**

*The City intends to promote equitable outcomes and specific measures will be determined after public input. Outcomes will utilize the Treasury's definition of equity as laid out in EO 13985 On Advancing Racial Equity and Support for Underserved Communities Through the Federal Government issued on January 20, 2021. Where relevant, goals, awareness, access, distribution, and outcomes will be tailored based on currently available, scientifically valid data collected across the community.*

### **Community Engagement**

*The Recovery Plan will utilize multiple platforms and methods to promote community engagement among and input from stakeholders, constituents, community-based organizations, and subaward recipients .*

### **Labor Practices**

*No infrastructure projects have been pursued to date.*

### **Use of Evidence**

*The Recovery Plan will, where relevant, employ the use of various methods for collecting and verifying evidence, which may include, but are not limited to: logic models, federal clearinghouses, and learning agendas. Attention will be given to scientifically valid methods for collecting data. It is recognized that projects may be selected to participate in a national evaluation that would study the project along with similar projects in other jurisdictions to compare and determine efficacy.*

**Table of Expenses by Expenditure Category**

*\*No expenditures as of July 31, 2021. Only obligations have been made—see sections above.*

Category		Cumulative expenditures to date (\$)	Amount spent since last Recovery Plan
1	Expenditure Category: Public Health		
1.1	COVID-19 Vaccination	0	0
1.2	COVID-19 Testing	0	0
1.3	COVID-19 Contact Tracing	0	0
1.4	Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)	0	0
1.5	Personal Protective Equipment	0	0
1.6	Medical Expenses (including Alternative Care Facilities)	0	0
1.7	Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency	0	0
1.8	Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)	0	0
1.9	Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19	0	0
1.10	Mental Health Services	0	0
1.11	Substance Use Services	0	0
1.12	Other Public Health Services	0	0
2	Expenditure Category: Negative Economic Impacts		
2.1	Household Assistance: Food Programs	0	0
2.2	Household Assistance: Rent, Mortgage, and Utility Aid	0	0
2.3	Household Assistance: Cash Transfers	0	0
2.4	Household Assistance: Internet Access Programs	0	0
2.5	Household Assistance: Eviction Prevention	0	0
2.6	Unemployment Benefits or Cash Assistance to Unemployed Workers	0	0
2.7	Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives)	0	0
2.8	Contributions to UI Trust Funds*	0	0
2.9	Small Business Economic Assistance (General)	0	0
2.10	Aid to nonprofit organizations	0	0
2.11	Aid to Tourism, Travel, or Hospitality	0	0

Category		Cumulative expenditures to date (\$)	Amount spent since last Recovery Plan
2.12	Aid to Other Impacted Industries	0	0
2.13	Other Economic Support	0	0
2.14	Rehiring Public Sector Staff	0	0
3	Expenditure Category: Services to Disproportionately Impacted Communities		
3.1	Education Assistance: Early Learning	0	0
3.2	Education Assistance: Aid to High-Poverty Districts	0	0
3.3	Education Assistance: Academic Services	0	0
3.4	Education Assistance: Social, Emotional, and Mental Health Services	0	0
3.5	Education Assistance: Other	0	0
3.6	Healthy Childhood Environments: Child Care	0	0
3.7	Healthy Childhood Environments: Home Visiting	0	0
3.8	Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System	0	0
3.9.	Healthy Childhood Environments: Other	0	0
3.10	Housing Support: Affordable Housing	0	0
3.11	Housing Support: Services for Unhoused persons	0	0
3.12	Housing Support: Other Housing Assistance	0	0
3.13	Social Determinants of Health: Other	0	0
3.14	Social Determinants of Health: Community Health Workers or Benefits Navigators	0	0
3.15	Social Determinants of Health: Lead Remediation	0	0
3.16	Social Determinants of Health: Community Violence Interventions	0	0
4	Expenditure Category: Premium Pay		
4.1	Public Sector Employees	0	0
4.2	Private Sector: Grants to other employers	0	0
5	Expenditure Category: Infrastructure		
5.1	Clean Water: Centralized wastewater treatment	0	0
5.2	Clean Water: Centralized wastewater collection and conveyance	0	0
5.3	Clean Water: Decentralized wastewater	0	0
5.4	Clean Water: Combined sewer overflows	0	0
5.5	Clean Water: Other sewer infrastructure	0	0
5.6	Clean Water: Stormwater	0	0
5.7	Clean Water: Energy conservation	0	0
5.8	Clean Water: Water conservation	0	0

Category		Cumulative expenditures to date (\$)	Amount spent since last Recovery Plan
5.9	Clean Water: Nonpoint source	0	0
5.10	Drinking water: Treatment	0	0
5.11	Drinking water: Transmission & distribution	0	0
5.12	Drinking water: Transmission & distribution: lead remediation	0	0
5.13	Drinking water: Source	0	0
5.14	Drinking water: Storage	0	0
5.15	Drinking water: Other water infrastructure	0	0
5.16	Broadband: "Last Mile" projects	0	0
5.17	Broadband: Other projects	0	0
6	Expenditure Category: Revenue Replacement		
6.1	Provision of Government Services	0	0
7	Administrative and Other		
7.1	Administrative Expenses	0	0
7.2	Evaluation and data analysis	0	0
7.3	Transfers to Other Units of Government	0	0
7.4	Transfers to Nonentitlement Units (States and Territories only)	0	0

**Project Inventory**

*In mid-July, 2021, \$375,000 was obligated for Super Shot to provide needed vaccinations and shots to communities in need and \$200,000 was obligated for administrative costs: through Dec. 31, 2021, up to \$125,000 to retain an outside consultant and up to \$75,000 to hire personnel to focus on planning, disbursing, and maintaining records regarding the expenditure of funds available through ARPA. These obligations have not yet been expended.*

**Performance Report**

*The City's determination of key performance indicators for any major projects funded with Recovery Funds will be forthcoming.*

**Ineligible Activities: Tax Offset Provision (States and territories only)**

*Not applicable to City of Fort Wayne.*