U.S. DEPARTMENT OF THE TREASURY

AN OVERVIEW OF

Tribal Governments' HAF Quarterly and Annual Reports

October 2025

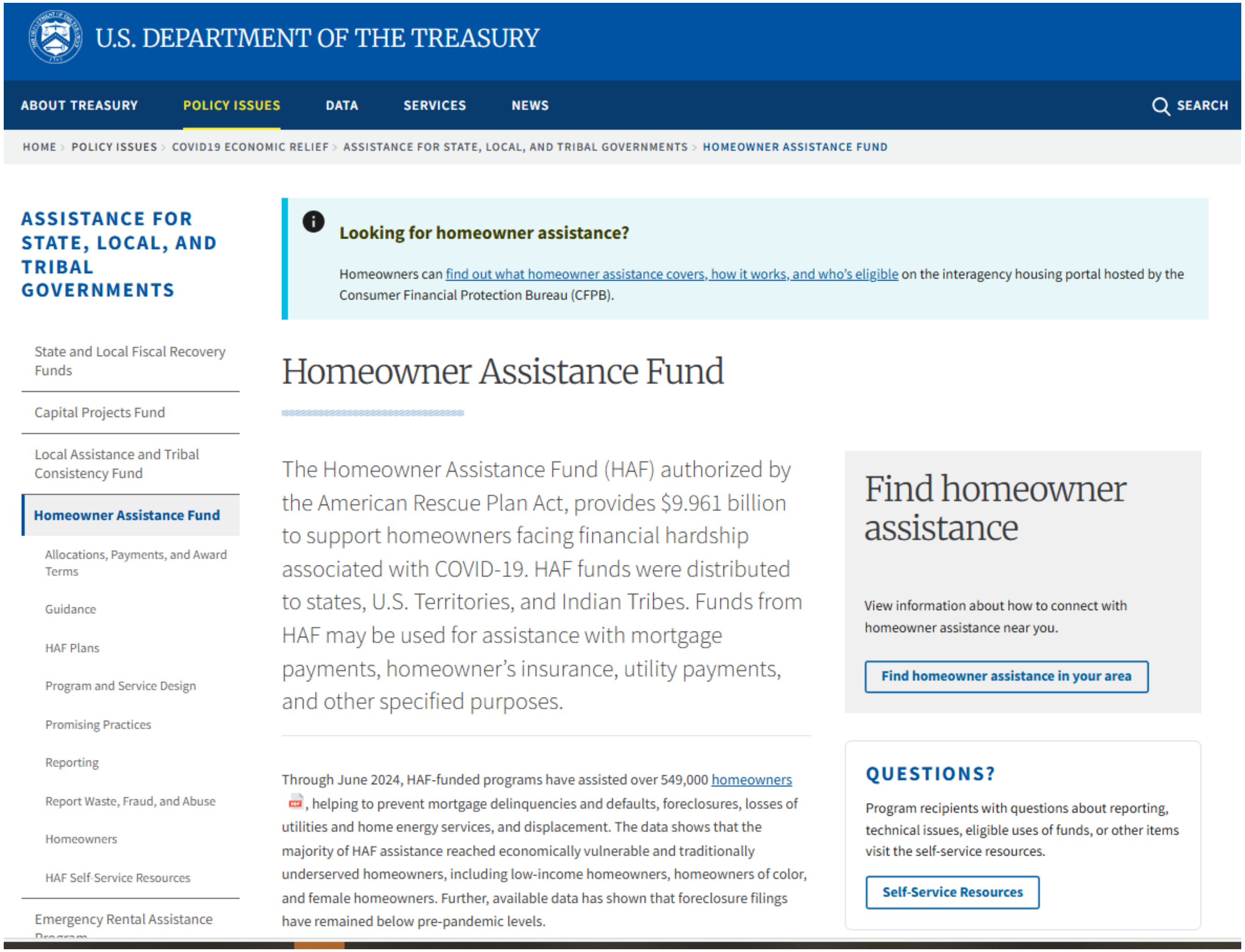
Disclaimer

This presentation is designed to give an overview of the Homeowner Assistance Fund (HAF) quarterly and annual reporting process under the HAF program for educational purposes. It should not be construed as legal advice or a statement of binding policy guidance from the Treasury.

For official Treasury guidance, go to: www.Treasury.gov/HAF

Review before you start

Go to: http://www.treasury.gov/HAF



Scroll down

Review before you start

HIGHLIGHTED

Resources

Treasury offers a variety of resources to support successful HAF program implementation and increase program transparency.

- Strategic Outreach
- Determining Eligibility with Fact Specific Proxies
- Income Verification
- Coordinating with Servicers

RECENT UPDATES

July 2025

- **Reporting**: On July 21, 2025, Treasury released HAF Quarterly Compliance Data through Q1 2025.
- **Reporting:** On July 15, 2025, Treasury updated the following HAF resources related to the HAF reporting requirements to align with Executive Order 14168: HAF Closeout Reporting User Guide; HAF Quarterly Report User Guide; Homeowner Assistance Fund Reporting FAQs; and HAF Guidance on Participant Compliance and Reporting Responsibilities.

May 2025

- **Reporting**: On May 29, 2025, Treasury released HAF

RESOURCES FOR TRIBES

Treasury has developed resources to help Tribes implement their programs.

- HAF Reporting Notice for Tribes dated September 17, 2024
- Designating HAF Points of Contact by HAF Account Administrators
- Tribal Resources for Reporting
- Identity Verification with ID.me for Tribal Users
- 2024 HAF Compliance Supplement
- HAF Tribal Allocation Adjustment Overview and Instructional Slides
- Additional Instructional Webinars and Slides
- Tribal Affairs Newsletter Sign Up

Tribal section!

HAF Guidance Page

GUIDANCE

- Homeowner Assistance Fund Guidance

PROGRAM DEVELOPMENT RESOURCES

- HAF Plan Template (Allocations of $5 million or more) *DO NOT SUBMIT THIS PDF. HAF PLANS MUST BE SUBMITTED THROUGH THE ONLINE PORTAL*
- HAF Plan Template (Allocations less than $5 million) *DO NOT SUBMIT THIS PDF. HAF PLANS MUST BE SUBMITTED THROUGH THE ONLINE PORTAL*
- Sample Term Sheets for HAF Program Design Elements (updated)
- HAF Income Limit Look-up Tool (provided by the Department of Housing and Urban Development)

OTHER GUIDANCE

- Allocations, Payments, and Award Terms
- Reporting Guidance
- 2024 HAF Compliance Supplement
- 2023 HAF Compliance Supplement
- 2022 HAF Compliance Supplement
- ORP Conflict of Interest FAQs
- HAF Closeout Resource (April 2025)
- HAF Closeout Checklist

TRIBAL RESOURCES

- Introduction to Tribal Procurement Requirements
- Introduction to Federal Financial Assistance Awards
- Introduction to Single Audit Compliance for Tribal Entities (July 2023): WEBINAR & SLIDE DECK
- An Overview of Submitting HAF Plans for Tribal Governments (October 2022): WEBINAR & SLIDE DECK
- Introduction to Subrecipient Monitoring and Management (April 2024): SLIDE DECK

Reporting Resources

Reporting

REPORTING GUIDANCE

- HAF Closeout Reporting User Guide (Updated 07/15/2025)
- HAF Annual Report User Guide (Updated 10/15/2024)
- HAF Quarterly Report User Guide (Updated 07/15/2025)
- Homeowner Assistance Fund Reporting FAQs (Updated 07/15/2025)
- HAF Guidance on Participant Compliance and Reporting Responsibilities (Updated 07/15/2025)
- Homeowner Assistance Fund Interim Reporting Guidance
- HAF Interim Report User Guide
- HAF Closeout Resource

ACCESSING TREASURY'S PORTAL

All HAF Recipients must use either ID.me or Login.gov to access Treasury's portal for reporting.

- ID.me Guidance
- Login.gov Guidance

WEBINARS

- WEBINAR: Homeowner Assistance Fund: Interim Reports – March 1, 2022
- WEBINAR: Homeowner Assistance Fund: Quarterly Reports – June 2022

TRIBAL RESOURCES_

- Annual and Quarterly Reporting – October 2024 (slides – updated November 13)
- ID.me Tips for Success: Verifying as a Tribal User
- Annual and Quarterly Reporting – October 2023 (slides)
- Annual and Quarterly Reporting October 2023 (webinar)
- Annual and Quarterly Reporting - October 2022 (slides)

PROGRAM DATA REPORTED BY PARTICIPANTS

- Homeowner Assistance Fund Quarterly Data through Q1, 2025
- Homeowner Assistance Fund Quarterly Data through Q4, 2024
- Homeowner Assistance Fund Quarterly Data through Q3, 2024

Important Dates

November 17, 2025

Next Reports Due

- Quarterly
- Annual

September 30, 2026

End of HAF Period of Performance

- All HAF award funds must be obligated by that date and expenditures for such obligations must be completed no later than 120 calendar days after that date.

Commonly Asked Questions

Do we have to complete both the quarterly and annual report? What's the difference?

- Quarterly reports capture more quantitative data.
  - For Tribes with a HAF award that is less than $5 million, one quarterly report **reflecting cumulative activities through September 30** is due mid-November each year.
  - For Tribes with a HAF award that exceed $5 million, quarterly reports are due 45 days after the end of each quarterly reporting period and **reflect cumulative activities**.

- Annual reports capture more qualitative data.
  - All Tribes are required to complete and submit an Annual report **reflecting activities October 1 - September 30** that is due mid-November each year.

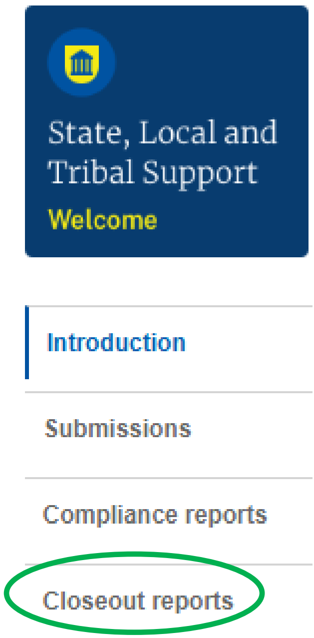
Commonly Asked Questions

Do we still have to report if we haven't spent any of the funds?

- Yes, your Tribe should complete and submit to Treasury each required report regardless of the amount of HAF award funds expended for each reporting period. The HAF Quarterly and Annual Reports can be found on the Reporting tab in Treasury's Portal.

- Once your Tribe has submitted the two Final Reports (requested and submitted on the Closeout tab in Treasury's Portal), there are no further reporting requirements.

Decision Point!



If your Tribe has expended the total amount of its HAF Award, would the Tribe be interested in requesting early closeout of its HAF award?

***Steps*:**

1. **Review the HAF program's Closeout materials**
   - HAF Early Closeout Checklist: https://home.treasury.gov/system/files/136/HAF-EarlyCloseout-Checklist.pdf
   - HAF Closeout Resource: https://home.treasury.gov/system/files/136/HAF_Closeout_Resource.pdf
   - HAF Closeout Reporting User Guide: https://home.treasury.gov/system/files/136/HAF_CloseoutReportingUserGuide.pdf
2. **Request HAF early closeout of your HAF award in the "Closeout" Tab in Treasury's Portal by checking the box and certifying your early closeout request submission.**
3. **Treasury will review your request and either:**
   - Reach out for additional information; **or**
   - Open the two required reports - the HAF **Final** Quarterly and the **Final** Annual Reports. These will be located under the **"Closeout"** tab in Treasury's Portal.
4. **Once the Final reports are opened, please submit both Final reports by November 17, 2025.**

Deadline: Submit the Final Quarterly and Annual Reports to Treasury by **November 17, 2025** to avoid having to submit the HAF Q3 Quarterly and Annual reports to Treasury for 2025.

Please Note: After the Final Quarterly and Annual Reports are submitted to Treasury, the Tribe may not obligate or expend any remaining award funds for any purpose.

Upcoming Sessions

Weekly HAF Tribal Office Hours

- Every Wednesday at 3 pm ET
- Register to attend at: https://ustreasury.zoomgov.com/meeting/register/vJIsceyhqToiEm3KAHMcLlL8LgWvGiTMXwY

HAF Reporting Overview Sessions at 3 pm ET

- Wednesday, October 15, 2025 (reports available on this date)
- Wednesday, October 29, 2025
- Wednesday, November 12, 2025
- Register to attend at: https://ustreasury.zoomgov.com/meeting/register/vJIsceyhqToiEm3KAHMcLlL8LgWvGiTMXwY

HAF Early Closeout Session

- Thursday, October 30, 2025 at 3 pm ET
- Register to attend at: https://ustreasury.zoomgov.com/meeting/register/Vu_pfBkEQ5a6XVyBP7F72w

Next Reports due:
Quarterly – November 17, 2025
Annual – November 17, 2025

Procurements by States and Indian Tribes

§ 200.317 Procurements by States and Indian Tribes

When conducting procurement transactions under a Federal award, a State or Indian Tribe must follow the same policies and procedures it uses for procurements with non-Federal funds. If such policies and procedures do not exist, States and Indian Tribes must follow the procurement standards in §§ 200.318 through 200.327. In addition to its own policies and procedures, a State or Indian Tribe must also comply with the following procurement standards: §§ 200.321, 200.322, 200.323, and 200.327. All other recipients and subrecipients, including subrecipients of a State or Indian Tribe, must follow the procurement standards in §§ 200.318 through 200.327.

eCFR :: 2 CFR 200.317 -- Procurements by States and Indian Tribes

Accessing Treasury's Portal

- Any staff member who needs access to Treasury's Portal must register their email with Login.gov or ID.me before they are given access to Treasury's Portal.
  - Please see Treasury's Portal Registration Instructions for guidance on registering with ID.me or Login.gov.
    - https://home.treasury.gov/system/files/136/Designating-HAF-Points-of-Contact.pdf
- Every HAF Participant must have updated contact information in Treasury's Portal for an active Account Administrator associated with the award.
- Account Administrators are responsible for adding and removing staff members as appropriate.
  - To remove a contact, remove all roles associated with that contact name and they will no longer receive communications related to the HAF award and will no longer have access to Treasury's Portal

Accessing Treasury's Portal

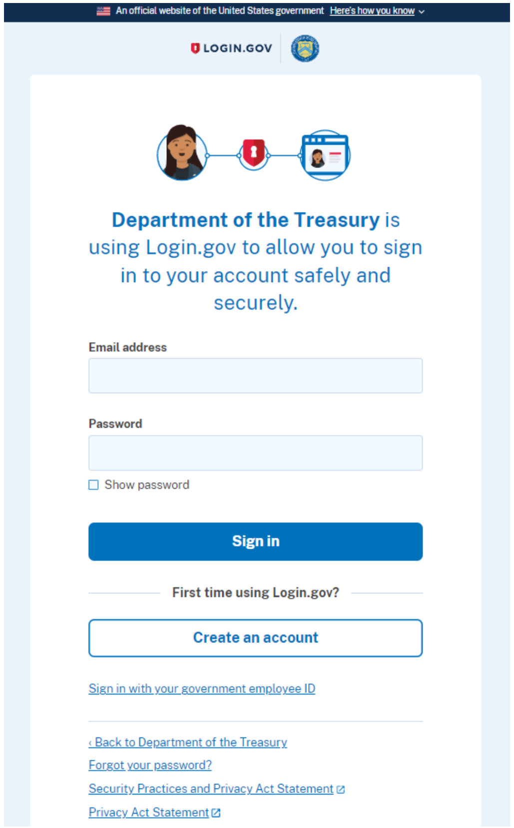
- **Accessing Treasury's Portal requires ID.me or Login.gov**
  - The email associated with your ID.me or Login.gov account must be associated with your Tribe's account within Treasury's Portal
- Authorized Representatives and Account Administrators using an ID.me or Login.gov verified account can submit Budget Revision Requests and reports
- Enable pop-ups in your browser
- Browsers that work well with the portal:
  - Microsoft Edge
  - Google Chrome
  - Most recent version of Safari
- Treasury's Portal does not work well on Internet Explorer.
- **Click "save" when you've completed each section then click "next" to move forward to the next section**
- If the answer is "zero", please enter a "0"
- Look for the validation buttons at the end of each page which may require scrolling down:

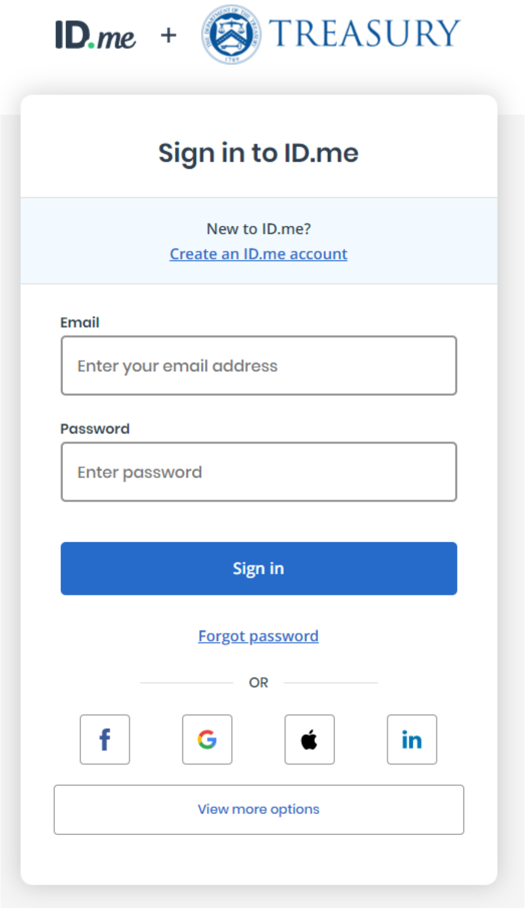
Save and Back | Save Information | Save and Next

Accessing Treasury's Portal

Enter the portal with your credentials

- Using Login.gov: https://portal.treasury.gov/compliance/s
- Using ID.me: https://portal.treasury.gov/cares/s/HAF





Accessing Treasury's Portal

Program areas

For best site performance, it is recommended that you use Chrome, Mozilla-Firefox or Safari. Application is also compatible with Internet Explorer 10 or newer.

Airline Support

Contains information on the 4112 and 4003 programs in the CARES Act designed to support the airline industry as well as applications for airline payroll support in the Consolidated Appropriations Act and the American Rescue Plan.

VIEW THE PROGRAMS

State, Local, and Tribal Support

Relief to state, local, and Tribal governments to enable them to continue to support the public health response and lay the foundation for a strong and equitable economic recovery.

VIEW THE PROGRAMS

Emergency Capital Investment

For LMI Community Financial Institutions to apply for capital investments through the Emergency Capital Investment Program. Applications must be submitted by Tuesday, January, 2023 at 11:59 PM ET.

VIEW THE PROGRAMS

CERTS Program

The Coronavirus Economic Relief for Transportation Services (CERTS) Program is providing eligible transportation service companies with resources to help to maintain payroll, hire back employees who may have been laid off, and cover applicable overhead and operational expenses.

VIEW THE PROGRAM

SSBCI Program

The State Small Business Credit Initiative (SSBCI) supports state programs that use funds to support private sector loans and investments to small businesses and small manufacturers that are creditworthy but are not able to access the capital they need to expand and create jobs.

VIEW THE PROGRAMS

Accessing Your Tribe's HAF Compliance Reports

State, Local and Tribal Support
Welcome

- Introduction
- Submissions
- Compliance reports
- Closeout reports

Introduction

Welcome to the Treasury Programs supporting State, Territory, Tribal, and Local Government as part of the 2021 American Rescue Plan.

Signed agreements

The federal award agreement that your organization signed with the Office of Capital Access is available in this section.

Programs

State and Local Fiscal Recovery Funds (SLFRF)
$350 billion available for state, territory, Tribal, and local governments to support the public health response and lay the foundation for a strong and equitable economic recovery.

Emergency Rental Assistance (ERA)
$21.6 billion available for state, territory, and local governments to assist households that are unable to pay rent and utilities.

Homeowner Assistance Fund (HAF)
Nearly $10 billion available for state, territory, and Tribal governments to provide relief for our country's most vulnerable homeowners.

Capital Projects Fund (CPF)
$10 billion available for state, territory, freely associated state, and Tribal governments for capital projects designed to jointly and directly enable work, education, and health monitoring, which includes the provision and improvement of broadband infrastructure where it is lacking.

Local Assistance and Tribal Consistency Fund (LATCF)
$2 billion available for eligible tribal governments and eligible revenue sharing counties to support a strong and equitable economic recovery.

Submission process

Depending on if you are a state, territory, local, or Tribal government, you will be eligible for different programs.

You now have a login and 24/7 access to this portal. You have two options while working on the submission/s: 1) save your progress, or 2) submit the submission. If you save, you can return and edit information as needed. To resume working on a draft submission, click on "Submissions" using the navigation to the left of the page. This will bring you to your list of submissions, click "Edit" Button to continue working. Once you submit and certify the agreement, you cannot edit your information for that particular program without reaching out to Treasury to re-open the case and edit.

Ready to get started? Click "Go to your submissions" below.

Go to your submissions

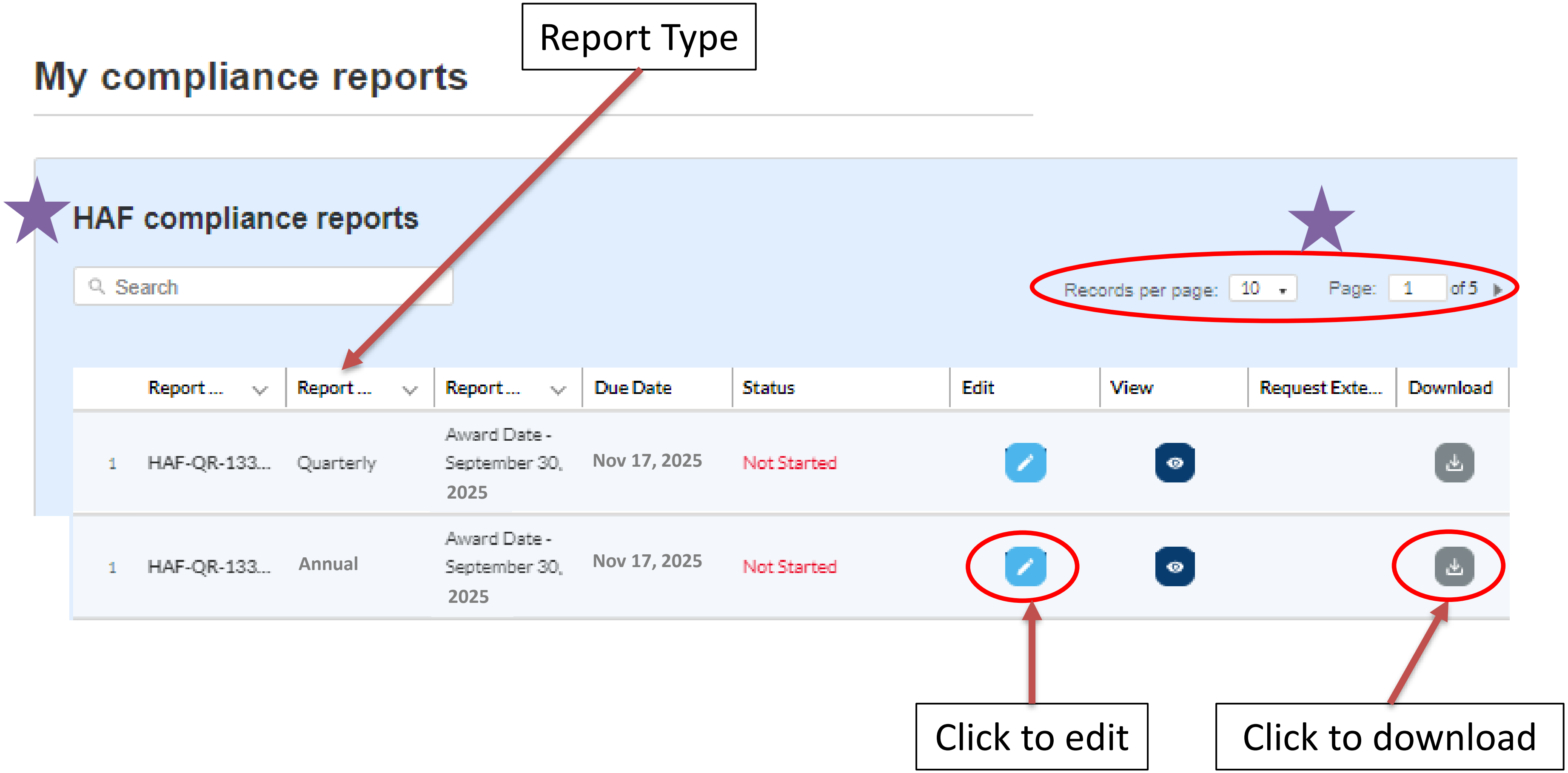
Compliance process

You now have a login and 24/7 access to this portal. You have two options while working on your compliance report(s): 1) save your progress, or 2) submit the report. If you save, you can return and edit information as needed. To resume working on a draft report, click on "Compliance reports" using the navigation to the left of the page. This will bring you to your list of compliance reports, click "Edit" button to continue the process.

Ready to get started? Click "Go to your compliance reports" below.

Go to your compliance reports

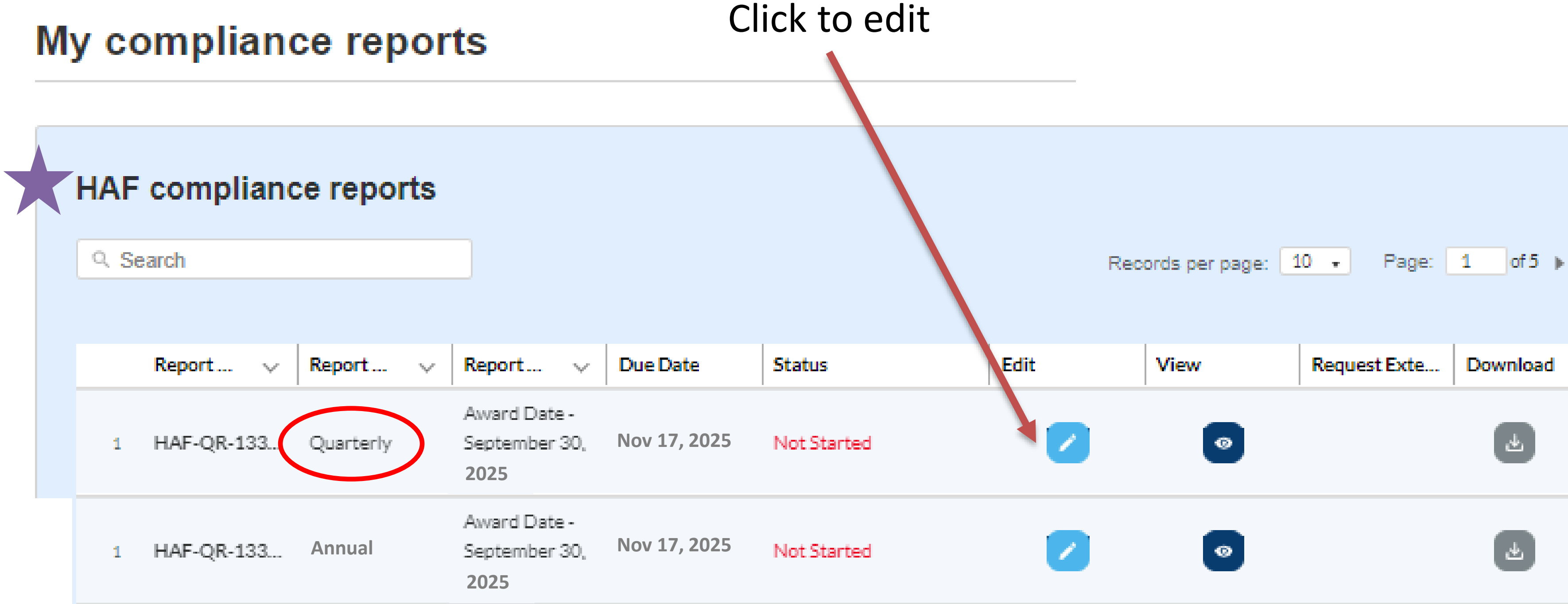
Finding Reports



HAF Quarterly Report

Finding Reports

Click to edit



HAF Quarterly Report: Instructions

State, Local and Tribal Support
HAF Compliance

- Instructions
- Participant Information
- Budget Reporting
- Programmatic Information
- Programs
- Obligations & Expenditures Verification
- Participant Compliance
- Certification

OMB CONTROL NUMBER: 1505-0269
EXPIRATION DATE: 07/31/2025
The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

Thank you for your participation in the U.S. Department of the Treasury (Treasury) Homeowner Assistance Fund.

Please complete and submit this Quarterly Report covering activity of your HAF awards for the period beginning on your **Award Date through September 30, 2025**. The submission deadline is **Monday, November 17, 2025**.

The Homeowner Assistance Fund ("HAF") Is authorized by Title III, Subtitle B, Section 3206 of the American Rescue Plan Act, 2021, Pub. L. No. 117-2 (March 11, 2021).

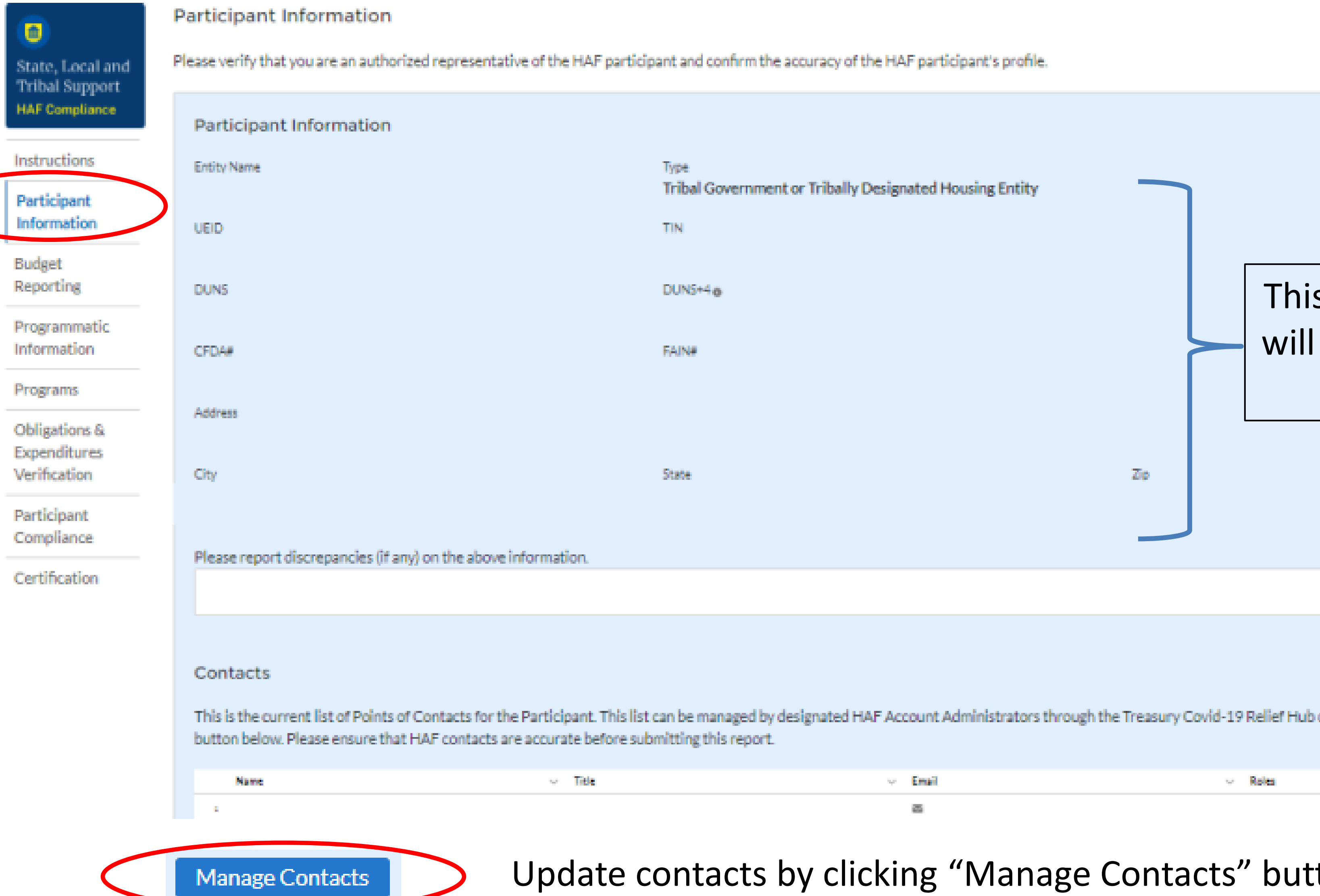
Data on prime awards for Participants may be found on the Treasury's HAF website and at USASpending.gov. The assistance listing number for HAF is 21.026 Homeowner Assistance Fund.

Instructions

The required Quarterly Report includes data requirements as described in the HAF Guidance on Participant Compliance Reporting Responsibilities provided on Treasury's HAF website.

HAF Participants will be asked to break out their administered HAF programs by Program Design Element and report certain information. If a HAF participant's program(s) cover(s) multiple Program Design Elements, HAF participants are expected to know how the program has impacted each of the Program Design Elements the program covers including the number of Homeowners assisted, Obligations, and Expenditures, among other metrics. Further instructions on quarterly reporting can be found on each page of the Quarterly Report.

Participant Information



This section will be filled out

Update contacts by clicking “Manage Contacts” button

Budget Reporting

- Double check the administrative costs are at or below 15% total allocation
- Edit by clicking the pencil icon
- Click "Save" when complete

State, Local and Tribal Support
HAF Compliance

- Instructions
- Participant Information
- Budget Reporting
- Programmatic Information
- Programs
- Obligations & Expenditures Verification
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- Certification

The table below lists the budget items that appear in the participant's approved HAF Plan, along with the amounts budgeted for each item. Please report the participant's obligations and expenditures as they relate to these budget items.

Note that on subsequent screens, the participant will be expected to break out programs, obligations and expenditures listed under "Measures Prevent Displacement" to the defined Program Design Elements.

HAF Quarterly Reports reflect the HAF Plan budget as approved at the end of the reporting period. Participants can propose revisions to their HAF Plan budgets and submit them for Treasury approval. However, budget revisions proposed and/or approved after the end of a reporting period will not be reflected on Quarterly Reports for that reporting period. Click this link to view your HAF Plan budget and to propose and submit HAF Plan budget revisions (the link will open in a new tab/window).

	HAF Original Plan Budget	Current Budgeted Amount	Cumulative Obligations	Cumulative Expenditures
1	Mortgage Payment Assistance	$150,000.00	$150,000.00	$100,000.00
2	Financial Assistance	$0.00	$0.00	$0.00
3	Mortgage Principal Reduction	$250,000.00	$250,000.00	$225,000.00
4	Facilitating Interest Rate	$0.00	$0.00	$0.00
5	Payment Assistance Utilities	$30,000.00	$30,000.00	$25,000.00
6	Payment Assistance Internet	$15,000.00	$15,000.00	$10,000.00
7	Payment Assistance Insurance	$0.00	$0.00	$0.00
8	Payment Assistance Fees	$0.00	$0.00	$0.00
9	Payment Assistance Loans	$0.00	$0.00	$0.00
10	Payment Assistance Taxes	$0.00	$0.00	$0.00
11	Counseling or Education	$35,000.00	$35,000.00	$15,000.00
12	Legal Services	$0.00	$0.00	$0.00
13	Measures Preventing Displacement Subtotal	$0.00	$0.00	$0.00
14	Reimbursable Expenses Subtotal	$0.00	$0.00	$0.00
15	Administrative Expenses Subtotal	$0.00	$0.00	$0.00
16	Totals	$480,000.00	$480,000.00	$375,000.00

Save

Click "Save" to update totals

Budget Reporting - Errors

11	Counseling or Education	$35,000.00	$70,000.00	$15,000.00
12	Legal Services	$0.00	$0.00	$0.00
13	**Measures Preventing Displacement Subtotal**	$0.00	$0.00	$0.00
14	**Reimbursable Expenses Subtotal**	$0.00	$0.00	$0.00
15	**Administrative Expenses Subtotal**	$0.00	$0.00	$0.00
16	**Totals**	$480,000.00	$515,000.00	$375,000.00

The marked rows need attention:

In general, Obligations and Expenditures should not be more than 10% greater than the budget amounts. HAF participants must seek prior approval before making changes to the scope or objectives of their HAF program. As specifically noted in the HAF guidance, Treasury's prior approval is required to reallocate funding from a program previously approved in a HAF plan to be used for a different purpose.

☐ Please review the marked rows and revise entries OR check this box to confirm that you would like to submit this report with Obligations or Expenditures more than 10% greater than budgeted amount, and provide an explanation in the space below.

Be sure to click this box to acknowledge and provide an explanation.

Programmatic Information

State, Local and Tribal Support
HAF Compliance

- Instructions
- Participant Information
- Budget Reporting
- **Programmatic Information**
- Programs
- Obligations & Expenditures Verification
- Participant Compliance
- Certification

Please provide the information requested below related to the Participant's HAF programs. Note that in other sections of this report you will be asked to disaggregate some of this data based on the Participants unique HAF programs and the applicable design elements.

*1. Please enter the number of unique Homeowners that submitted a draft or completed HAF application for HAF assistance.

*2. Please enter the number of unique Homeowner that submitted a completed HAF application for HAF assistance.

*3. Please enter the number of unique Homeowners who submitted a completed HAF application for HAF assistance and then withdrew their application.

*4. Please enter the number of unique Homeowners whose application for HAF assistance was approved.

*5. Please enter the number of unique Homeowners whose application for HAF assistance was denied.

*6. Please enter the number of unique Homeowners that received HAF assistance of any kind.

*7. Please enter the number of Unique homeowners for whom one or more Delinquencies were resolved through non-monetary HAF assistance (e.g. housing counseling helped resolve a Delinquency through an existing servicer's program).

*8. Please enter the number of Unique homeowners for whom one or more Delinquencies were resolved with monetary HAF assistance.

Programmatic Information

State, Local and Tribal Support
HAF Compliance

- Instructions
- Participant Information
- Budget Reporting
- **Programmatic Information**
- Programs
- Obligations & Expenditures Verification
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*9. Please enter the number of unique Homeowners receiving HAF assistance that were not Delinquent prior to receiving HAF assistance.

10. Breakdown of reimbursement expenses: **Not Applicable.**

[You did not indicate reimbursement expenses obligated and/or expended in the Budget Reporting section. This item applies only if you indicate reimbursement expenses obligated and/or expended in the Budget Reporting section.]

11. Based on your entries on the previous page (Budget Reporting) and above (Reimbursement Breakdown, if applicable), total cumulative Obligations for HAF assistance are **$480,000.00.**

12. Based on your entries on the previous page (Budget Reporting) and above (Reimbursement Breakdown, if applicable), total cumulative Expenditures for HAF assistance are **$375,000.00.**

*13. Please enter the number of unique Homeowners that applied for assistance after previously receiving HAF monetary assistance (i.e. homeowner seeking, 2nd, 3rd, ... HAF payment).

*14. Please enter the number of unique Homeowners that submitted and received assistance on more than one application.

*15. Is it part of your standard process to speak with Homeowner applicants about the availability of loss mitigation and other loss mitigation options? Please respond "Yes" if your organization includes communication with Homeowner applications about the availability of loss mitigation options, otherwise select "No."

--None--

Save and Back | Save Information | Save and Next

Commonly Asked Question about the Quarterly Report: Obligations and Expenditures

- Instructions
- Participant Information
- Budget Reporting
- Programmatic Information
- **Programs**
- Obligations & Expenditures Verification
- Participant Compliance
- Certification

those program design elements and fill out the relevant information.

Please do NOT include **Administrative Expenses** in program or design element **Expenditures** or **Obligations**. Administrative expenses should be captured in the Budget Reporting section.

Program Information

*Program Name
HAF

*Program Start Date
Nov 1, 2022

*Program Income Earned Cumulative to Calendar Quarter end date
0.00

*Program Income Expended Cumulative to Calendar Quarter end date
0.00

*Program Description
Home Owner Assistance Fund

Save Cancel

Overall Program Information

Design Elements

Add Design Element

Program Design Element	$ Obligated	$ Expended	No. Homeowners Assisted	Edit	Remove
Payment Assistance Utilities	$15,093.00	$15,093.00	22		
Measures Preventing Displacement	$6,329.66	$6,329.66	1		
Mortgage Payment Assistance	$39,382.41	$39,382.41	4		
Totals	$60,805.07	$60,805.07			

Commonly Asked Question about the Quarterly Report: Program Income

- Instructions
- Participant Information
- Budget Reporting
- Programmatic Information
- **Programs**
- Obligations & Expenditures Verification
- Participant Compliance
- Certification

those program design elements and fill out the relevant information.

Please do NOT include **Administrative Expenses** in program or design element **Expenditures** or **Obligations**. Administrative expenses should be captured in the Budget Reporting section.

Program Information

*Program Name: HAF

*Program Start Date: Nov 1, 2022

*Program Income Earned Cumulative to Calendar Quarter end date: 0.00

*Program Income Expended Cumulative to Calendar Quarter end date: 0.00

Program Income

*Program Description: Home Owner Assistance Fund

Save | Cancel

Design Elements

Add Design Element

Program Design Element	$ Obligated	$ Expended	No. Homeowners Assisted	Edit	Remove
Payment Assistance Utilities	$15,093.00	$15,093.00	22		
Measures Preventing Displacement	$6,329.66	$6,329.66	1		
Mortgage Payment Assistance	$39,382.41	$39,382.41	4		
Totals	$60,805.07	$60,805.07			

Commonly Asked Question about the Quarterly Report: Definitions

Program Income

- **"The gross income earned by the recipient or subrecipient that is directly generated by a supported activity or earned as a result of the [HAF] award during the period of performance." (See 2 CFR 200.1).**
- For example, program income may include principal and interest repaid on loans made with HAF award funds (e.g., homeowner loan repayments).
- **Program income is NOT interest earned on the advance payments of the HAF Award funds to the HAF recipient** (e.g. interest earned from keeping HAF award in an interest earning account)
- Also, take care to avoid confusing "program income" fields with fields that request total HAF award obligations or expenditures.
- Reported in HAF **Quarterly** Report

Commonly Asked Question about the Quarterly Report: Obligations and Expenditures

- Instructions
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those program design elements and fill out the relevant information.

Please do NOT include **Administrative Expenses** in program or design element **Expenditures** or **Obligations**. Administrative expenses should be captured in the Budget Reporting section.

Program Information

*Program Name: HAF

*Program Start Date: Nov 1, 2022

*Program Income Earned Cumulative to Calendar Quarter end date: 0.00

*Program Income Expended Cumulative to Calendar Quarter end date: 0.00

*Program Description: Home Owner Assistance Fund

Save | Cancel

Design Elements

Add Design Element

Program Design Element	$ Obligated	$ Expended	No. Homeowners Assisted	Edit	Remove
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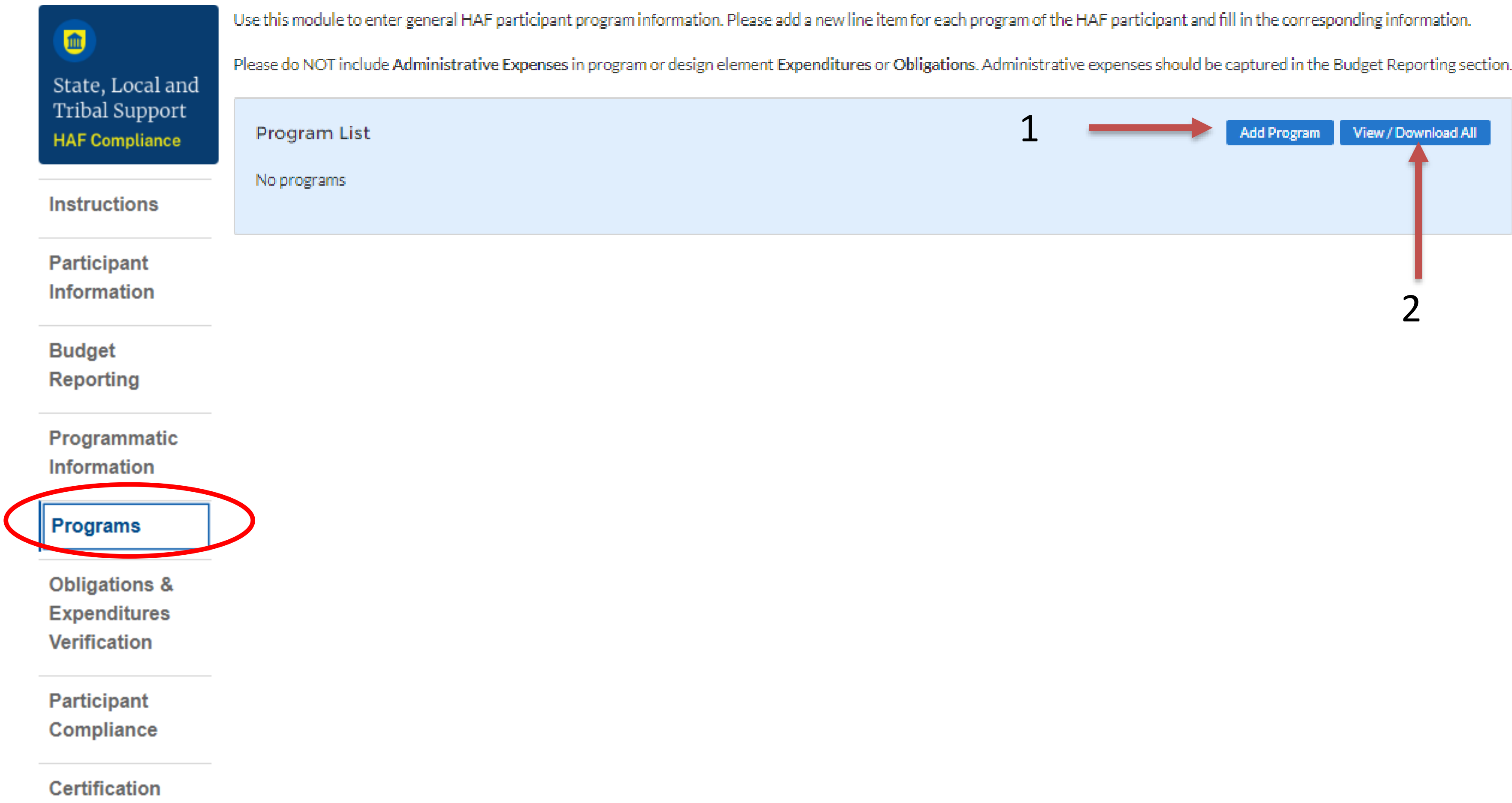
Totals	$60,805.07	$60,805.07			

Design Elements

Programs


State, Local and Tribal Support
HAF Compliance
Instructions
Participant Information
Budget Reporting
Programmatic Information
Programs
Obligations & Expenditures Verification
Participant Compliance
Certification
Use this module to enter general HAF participant program information. Please add a new line item for each program of the HAF participant and fill in the corresponding information.
Please do NOT include Administrative Expenses in program or design element Expenditures or Obligations. Administrative expenses should be captured in the Budget Reporting section.
Program List
1
Add Program
View / Download All
No programs
2

Commonly Asked Question about the Quarterly Report: Add a Program

Design Element Information

*Design Element

--None--

*Total Obligations Cumulative to Report Calendar Quarter end date

*Total Expenditures Cumulative to Report Calendar Quarter end date

Design Element: Total Obligations and Expenditure

*Number of Homeowners Assisted Cumulative to Report Calendar Quarter end date

Create Design Element

Cancel

Commonly Asked Question about the Quarterly Report: Definitions

Obligation

- An obligation is an order placed for property and services, entry into contracts, subawards, and similar transactions that require payment by the Tribe to a person or vendor with HAF funds (as defined in Uniform Guidance at 2 CFR 200.1,). For example, an approved invoice, application, timesheet, purchase order, etc. are examples of documentation that supports that your Tribe is obligated to pay a person or a vendor.
- Reported in HAF **Quarterly** Report

Design Element Errors

- Instructions
- Participant Information
- Budget Reporting
- Programmatic Information
- Programs
- Obligations & Expenditures Verification
- Participant Compliance
- Certification

Design Elements

Add Design Element

Program Design Element	$ Obligated	$ Expended	No. Homeowners Assisted	Edit	Remove
Facilitating Interest Rate	$1.00	$1.00	1		
Mortgage Principal Reduction	$1.00	$1.00	2		
Totals	$2.00	$2.00			

The sum of Expenditures entered for design elements is greater than the total Expenditures entered for this program. Please adjust these entries to eliminate this error. You will not be able to submit this compliance report until you eliminate this error.

The sum of Obligations entered for design elements is greater than the total Obligations entered for this program. Please adjust these entries to eliminate this error. You will not be able to submit this compliance report until you eliminate this error.

Back to Programs

Obligations & Expenditures Verification

State, Local and Tribal Support

HAF Compliance

- Instructions
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- **Obligations & Expenditures Verification**
- Participant Compliance
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The table below provides a summary of the Design Element Obligations and Expenditures you entered in the Programs module and checks the totals against your entries in the Budget Reporting module. If there are any discrepancies, you will see additional instructions below the table.

Design Element	Cumulative Obligations	Cumulative Expenditures
Mortgage Payment Assistance	$0.00	$0.00
Financial Assistance	$0.00	$0.00
Mortgage Principal Reduction	$0.00	$0.00
Facilitating Interest Rate	$0.00	$0.00
Payment Assistance Utilities	$0.00	$0.00
Payment Assistance Internet	$0.00	$0.00
Payment Assistance Insurance	$0.00	$0.00
Payment Assistance Fees	$0.00	$0.00
Payment Assistance Loans	$0.00	$0.00
Payment Assistance Taxes	$0.00	$0.00
Measures Preventing Displacement	$0.00	$0.00
Counseling or Education	$0.00	$0.00
Legal Services	$0.00	$0.00
Totals	$0.00	$0.00

There is an inconsistency between the total Expenditures for design elements entered in the Budget Reporting and Program Reporting sections. The total Expenditures for design elements entered in the Budget Reporting section are $375000.00. The total Expenditures for design elements entered in the Program Reporting section are $0.00. Please check your data and adjust entries to eliminate this inconsistency. You will not be able to submit this report until this inconsistency is resolved.

There is an inconsistency between the total Obligations for design elements entered in the Budget Reporting and Program Reporting sections. The total Obligations for design elements entered in the Budget Reporting section are $480000.00. The total Obligations for design elements entered in the Program Reporting section are $0.00. Please check your data and adjust entries to eliminate this inconsistency. You will not be able to submit this report until this inconsistency is resolved.

Participant Compliance

- Instructions
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*1. Did the HAF participant provide HAF mortgage assistance to homeowners with loans above the maximum conforming loan limit as defined in the HAF statute?

No

*2. Prior to disbursing HAF assistance to homeowners, did the HAF participant require homeowners to provide attestations that they experienced financial hardship after January 21, 2020?

Yes

*3. In determining income for each household applying for HAF assistance, did the HAF participant use HUD's definition of "annual income" in 24 CFR 5.609, adjusted gross income as defined for purposes of reporting on IRS Form 1040 series as mentioned in the HAF guidance, or other proxies approved in your HAF Grantee Plan?

Yes

*4. Did your program(s) Expend at least 60% of Monetary HAF assistance to homeowners with incomes less than the greater of 100% AMI or US Median Income?

Yes

*5A. Please enter the dollar amounts of Monetary HAF assistance that have been Obligated to Homeowners with incomes less than the greater of 100% AMI or US Median Income.

50.00

*5B. Please enter the dollar amounts of Monetary HAF assistance that have been Expended to Homeowners with incomes less than the greater of 100% AMI or US Median Income.

50.00

*6. Have you used HAF funds to acquire equipment as defined in 2 CFR 200.1 valued at $5,000 or more?

No

Based on your responses, a corresponding question will appear for further explanation.

Participant Compliance – New Questions

4: Did your program(s) Expend at least 60% of Monetary HAF assistance to homeowners with incomes less than the greater of 100% AMI or US Median Income?

- Select "Yes" if the participant Expended at least 60% of HAF assistance to Homeowners with income less than the greater of either 100% AMI or US Median Income.
- Otherwise, select "No". Provide a narrative explanation for all "No" answers.

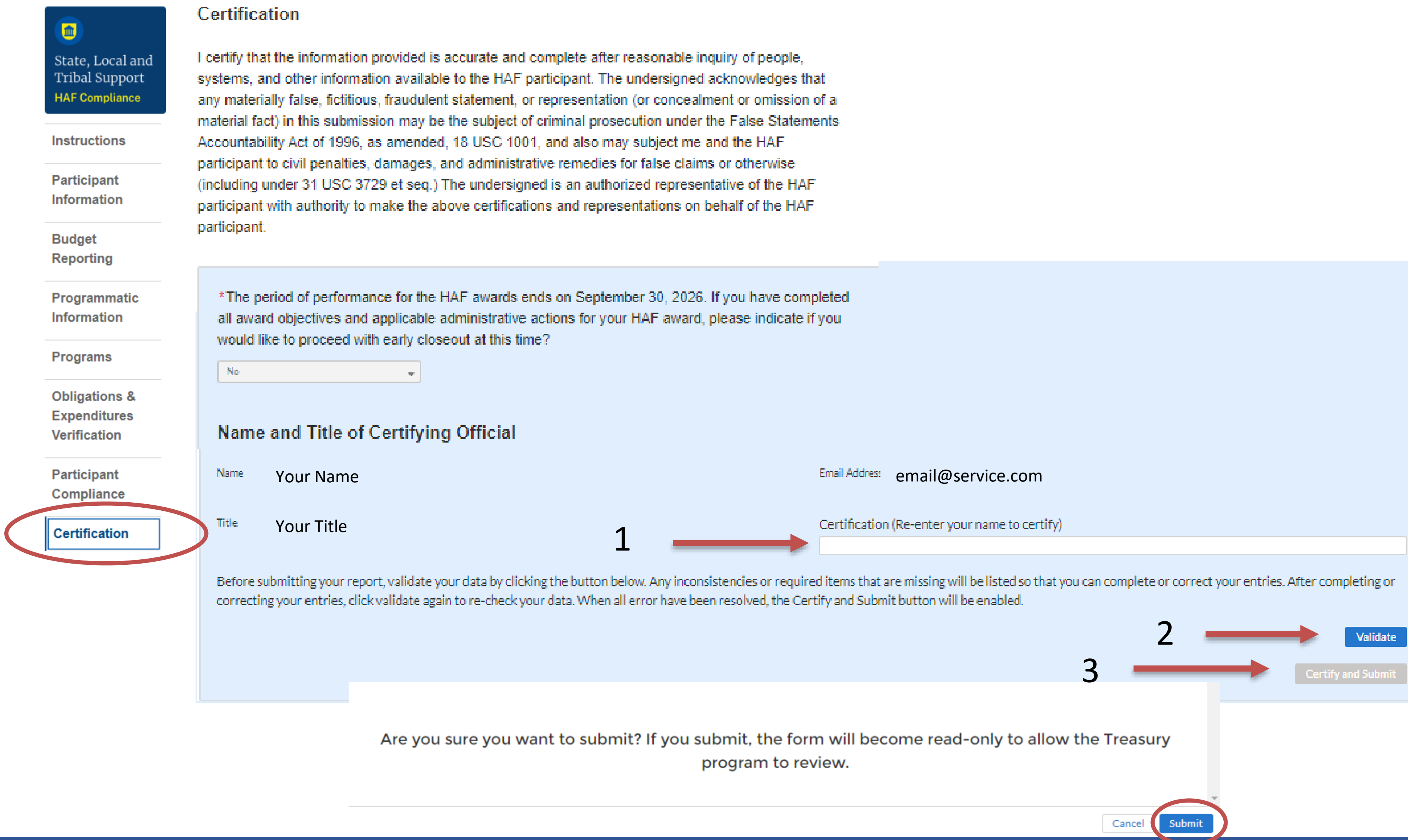
5a: Please enter the dollar amounts of Monetary HAF assistance that have been Obligated to Homeowners with incomes less than the greater of 100% AMI or US Median Income.

- Please enter the cumulative dollar amount of monetary HAF assistance <u>Obligated</u> to Homeowners with income less than the greater of either 100% AMI or US Median Income.

5b: Please enter the dollar amounts of Monetary HAF assistance that have been Expended to Homeowners with incomes less than the greater of 100% AMI or US Median Income.

- Please enter the cumulative dollar amount of monetary HAF assistance <u>Expended</u> to Homeowners with income less than the greater of either 100% AMI or US Median Income.

Certification



HAF Annual Report

Finding Reports

My compliance reports

HAF compliance reports

Search

Records per page: 10 Page: 1 of 5

	Report ...	Report ...	Report ...	Due Date	Status	Edit	View	Request Exte...	Download
1	HAF-QR-133...	Quarterly	Award Date - September 30, 2025	Nov 17, 2025	Not Started				
1	HAF-QR-133...	Annual	Award Date - September 30, 2025	Nov 17, 2025	Not Started				

Click to edit

Introduction

State, Local and Tribal Support
HAF Compliance

Thank you for your participation in the U.S. Department of the Treasury (Treasury) Homeowner Assistance Fund.

Please complete the Annual Report covering activity of your HAF award for the period from **October 1, 2024 through September 30, 2025**. The submission deadline is **Monday, November 17, 2025**.

Note: The Homeowner Assistance Fund ("HAF") Is authorized by Title III, Subtitle B, Section 3206 of the American Rescue Plan Act, 2021, Pub. L. No. 117-2 (March 11, 2021).

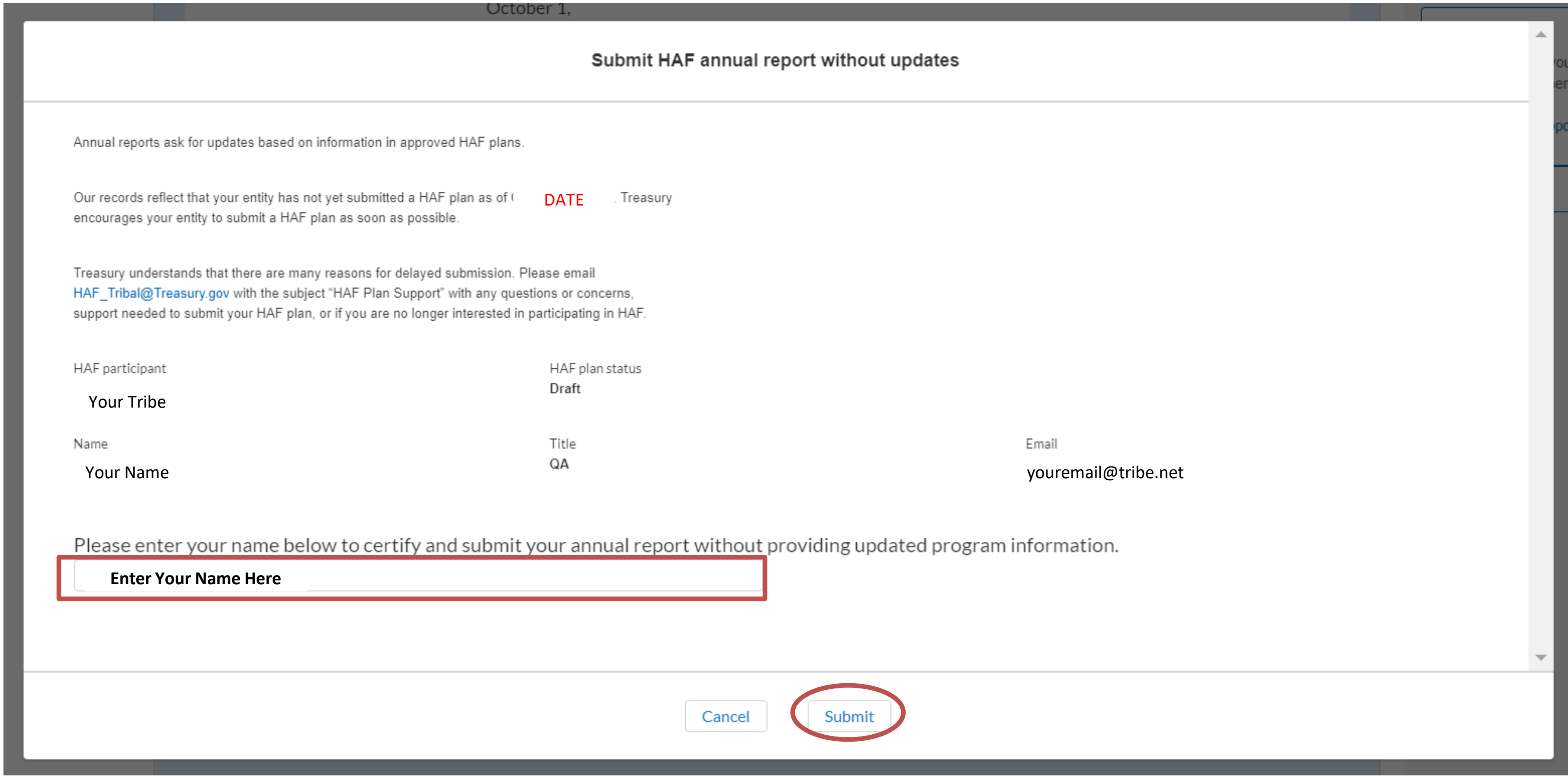
The assistance listing number for HAF is 21.026 Homeowner Assistance Fund. Data on prime awards for Participants may be found on the HAF page of Treasury.gov and USASpending.gov at the following URL: https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/homeowner-assistance-fund.

Instructions

The required Annual Report includes data requirements as described in the HAF Guidance on Participant Compliance Reporting Responsibilities provided on Treasury's HAF website at the following URL: https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/homeowner-assistance-fund/reporting.

HAF participants are required to comply with the reporting requirements established by Treasury pursuant to the "Reporting" section of the HAF Financial Assistance Agreement, which provides in pertinent part, "Recipient agrees to comply with any reporting obligations established by Treasury related to this award. Recipient acknowledges that any such information required to be reported pursuant to this section may be publicly disclosed."

If your Tribe has not yet submitted a HAF Plan



If your Tribe has submitted a HAF Plan that has not yet been approved

Submit HAF annual report without updates

Annual reports ask for updates based on information in approved HAF plans.

Our records reflect that your entity has submitted a plan but is awaiting approval from Treasury. Thank you for your patience.

HAF participant
Your Tribe

HAF plan status
Follow Up Required

Name
Your Name

Title
QA

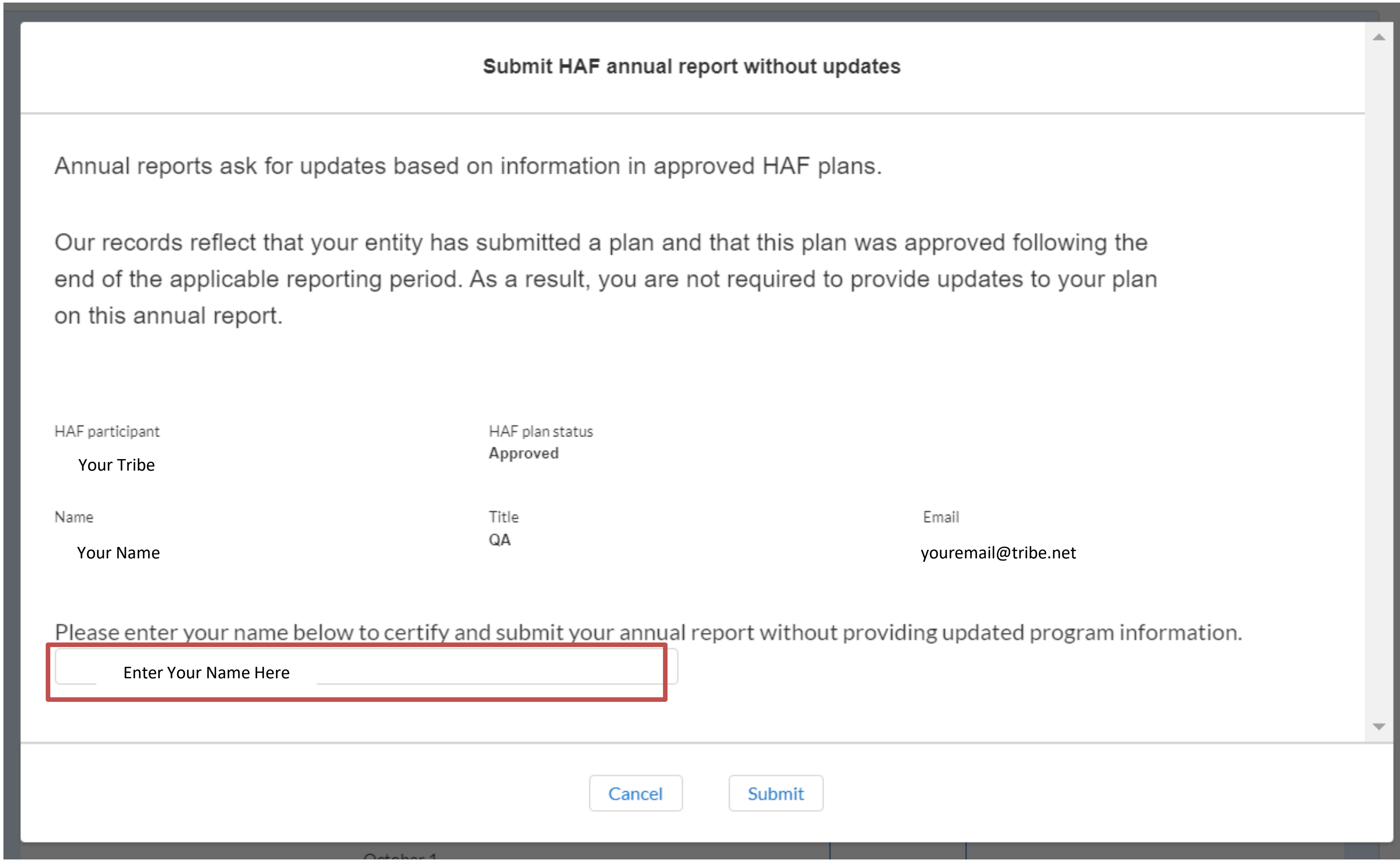
Email
youremail@tribe.net

Please enter your name below to certify and submit your annual report without providing updated program information.

Enter Your Name Here

Cancel Submit

HAF Plan Approved After Applicable Reporting Period



Participant Information

State, Local and Tribal Support
HAF Compliance

- Introduction and Instructions
- Participant Information
- Community Engagement and Outreach
- Performance Goals
- Methods for Targeting
- Best Practices and Coordination
- Certification

Please verify that you are an authorized representative of the HAF participant and confirm the accuracy of the HAF participant's profile.

Participant Information

Entity Name	Type	
Courtney HAF Test	Tribal Government or Tribally Designated Housing Entity	
UEID	TIN	
ABC123456789	123456789	
CFDA#	FAIN#	
Address		
City	State	Zip
	Arizona	

This will be filled out

Please report discrepancies (if any) on the above information.

Contacts

The current list of HAF-related contacts for the Participant is shown below. This list can be managed by designated HAF Account Administrators through the Treasury Covid-19 Relief Hub, or by clicking the Manage Contact button below. Please ensure that this list is accurate before submitting this report.

Manage Contacts

Update contacts by clicking "Manage Contacts" button at the bottom of the page

Community Engagement & Outreach

State, Local and Tribal Support
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Community Engagement & Outreach

HAF participants are asked to answer the following questions as they relate to community outreach.

*1. Did you continue outreach to communities once your HAF Program(s) began?

No

*2. Please quantify the total amount of funds spent on outreach.

$234.00

3. You identified the community-based organizations and providers of counseling services or legal assistance listed below in your HAF Participant Plan or a previous report. Please indicate whether you have performed outreach to each organization or provider by tapping "Provide Data" and selecting Yes or No.

Search

Records per page: 10 Page: 1 of 0

Organization or Provider Name	Type	Outreach Performed	Provide Data

4. Did you perform outreach to any community-based organizations or providers of counseling services or legal assistance that are not on the list above? If so, use the button below to add these organizations and providers to the list.

Add a new Org or Provider

Save and Back | Save Information | Save and Next

Adding a new Community-Based Organization or Provider

State, Local and Tribal Support
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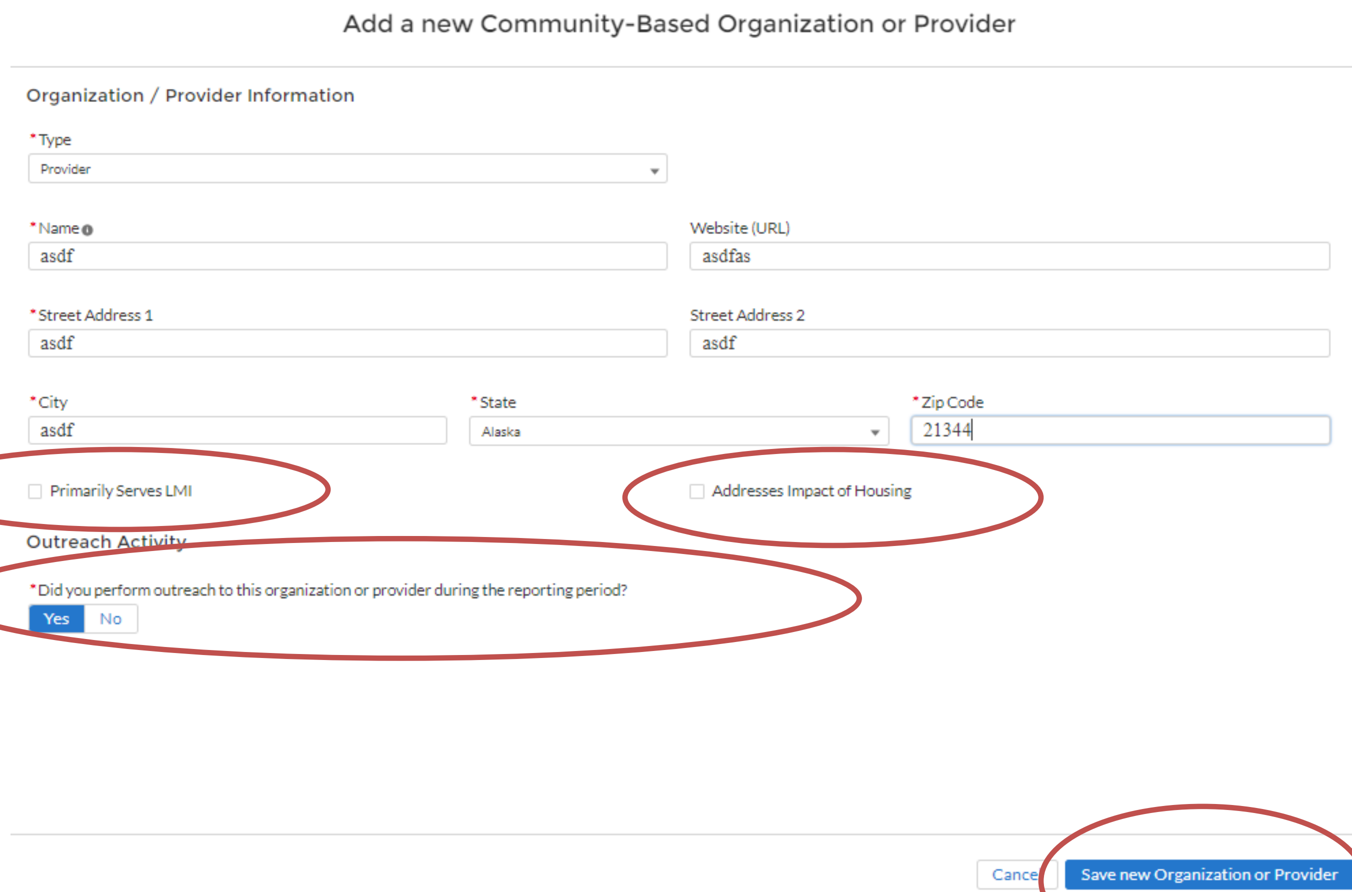

Community Engagement & Outreach

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HAF Compliance

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	Organization or Provider Name	Type	Outreach Performed	Provide Data
1	asdf	Community Organization	✓	Edit Data

Entering Performance Goals

State, Local and Tribal Support
HAF Compliance

- Introduction and Instructions
- Participant Information
- Community Engagement and Outreach
- **Performance Goals**
- Methods for Targeting
- Best Practices and Coordination
- Certification

Performance Goals

You identified the measurable outcomes listed below in your HAF Participant Plan. Please report a status and the measurable results for each goal, and provide a narrative description of performance related to the goal, by clicking "Provide Data" in each line of the table below.

You can also add new performance goals for the next Annual Reporting cycle using the button below the table.

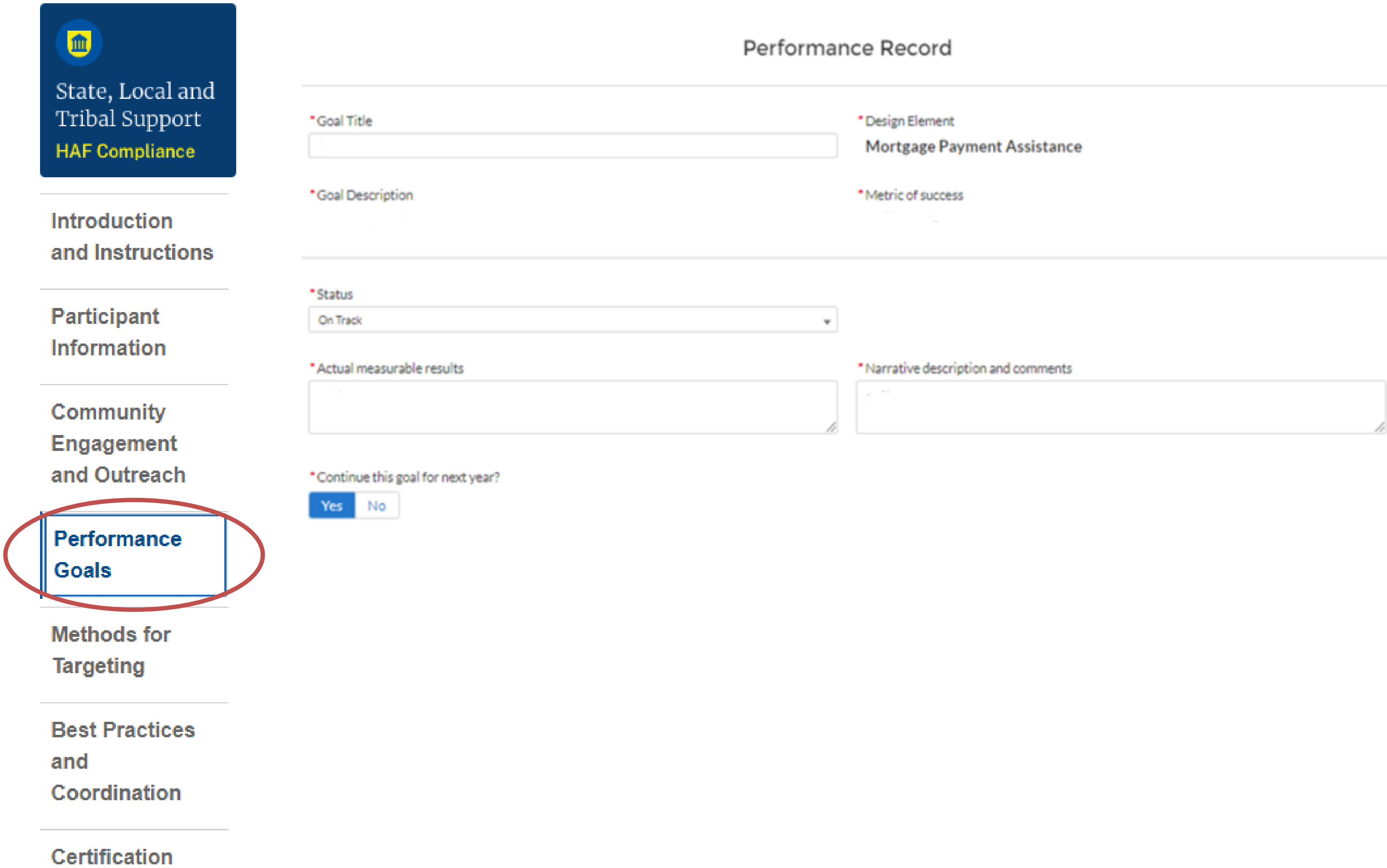
Search

Records per page: 10 Page: 1 of 0

Title	Program Design Element	Status	Provide Data
1	Mortgage Payment Assistance		Provide Data
2	Mortgage Reinstatement		Provide Data

Add a New Goal

Entering Goal information



Performance Goals

State, Local and Tribal Support
HAF Compliance

- Introduction and Instructions
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- Community Engagement and Outreach
- **Performance Goals**
- Methods for Targeting
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Performance Goals

You identified the measurable outcomes listed below in your HAF Participant Plan. Please report a status and the measurable results for each goal, and provide a narrative description of performance related to the goal, by clicking "Provide Data" in each line of the table below.

You can also add new performance goals for the next Annual Reporting cycle using the button below the table.

Search

Records per page: 10 Page: 1 of 0

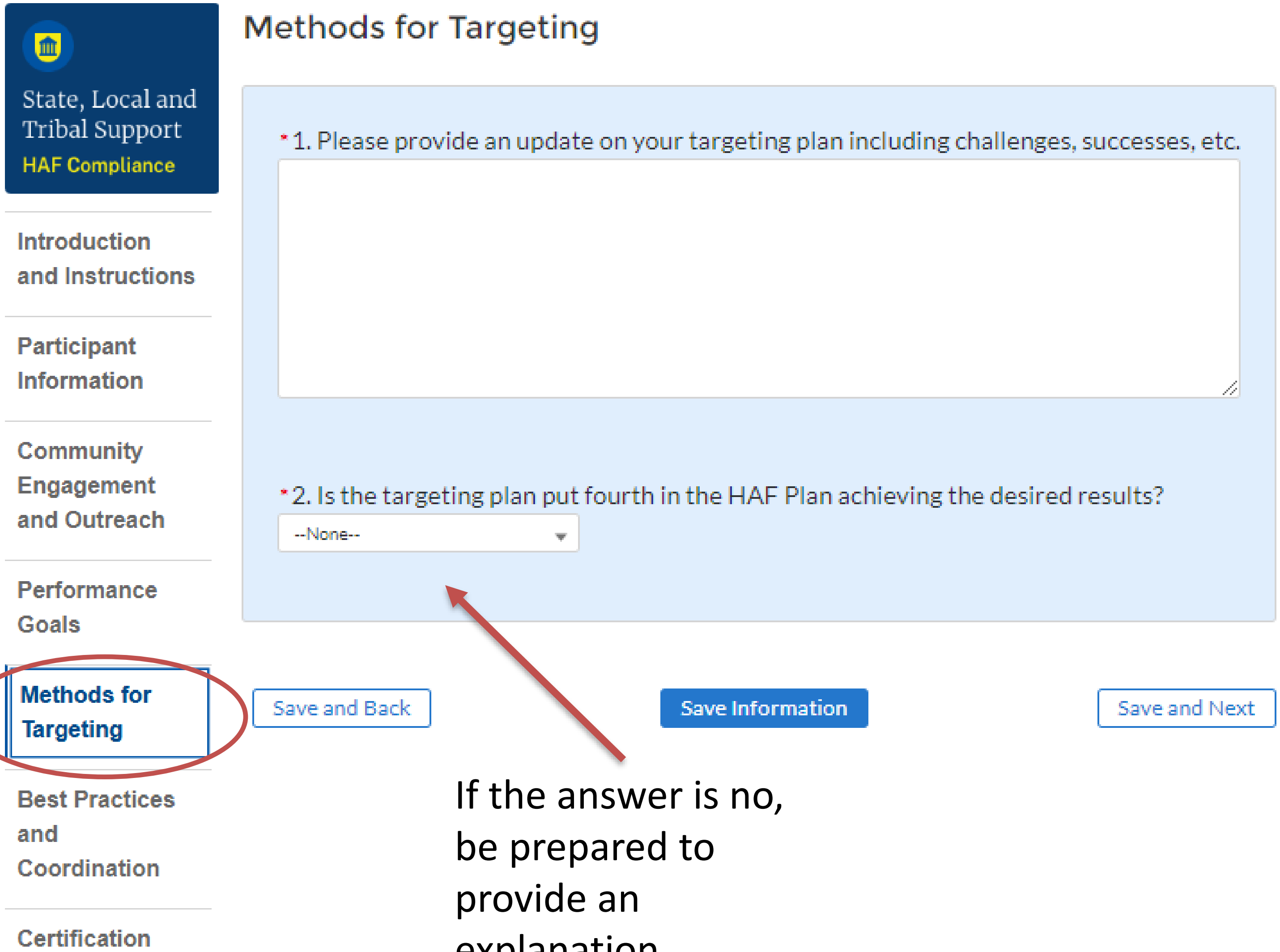
	Title	Program Design Element	Status	Provide Data
1	asdf	Mortgage Payment Assistance	On Track	Edit Data
2	asdf	Mortgage Reinstatement	Not On Track	Edit Data

Add a New Goal

Back

Next

Methods for Targeting



If the answer is no, be prepared to provide an explanation.

Best Practices and Coordination

State, Local and Tribal Support

HAF Compliance

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- Certification

*1. Have you coordinated with any of these agencies? (FHA, VA, USDA, GSE's, State or Local Agencies that hold mortgage portfolios)

Yes

*If so, please provide best practices and information on coordination efforts.

*2. Have you coordinated with servicers?

Yes

*If so, please provide best practices and information on coordination efforts.

Save and Back

Save Information

Save and Next

Commonly Asked Questions about the HAF Annual Report: Reporting Earned Interest

State, Local and Tribal Support
HAF Compliance

- Introduction and Instructions
- Participant Information
- Community Engagement and Outreach
- Performance Goals
- Methods for Targeting
- Best Practices and Coordination
- Certification

Certification

*1. How much in interest did you earn on HAF award funds in your last fiscal year?

*2. If you earned interest in excess of $500, did you remit that excess earned interest to the Department of Health and Human Services Payment Management System (PMS)?

--None--

Statement

I certify that the information provided is accurate and complete after reasonable inquiry of people, systems, and other information available to the HAF participant. The undersigned acknowledges that any materially false, fictitious, fraudulent statement, or representation (or concealment or omission of a material fact) in this submission may be the subject of criminal prosecution under the False Statements Accountability Act of 1996, as amended, 18 USC 1001, and also may subject me and the HAF participant to civil penalties, damages, and administrative remedies for false claims or otherwise (including under 31 USC 3729 et seq.) The undersigned is an authorized representative of the HAF participant with authority to make the above certifications and representations on behalf of the HAF participant.

Name
Your Name

Email Address
Your Email Address

Title
Accounting Manager

Certification (Re-enter your name to certify)

Commonly Asked Question: Definitions

Earned Interest

- Annual earned interest refers to income generated while HAF Award Funds were maintained in a bank account.
- Note that in accordance with 2 CFR 200.305(b)(8), HAF participants must maintain their HAF award funds in interest-earning accounts, except in cases specified by that provision of the regulations. Earned interest exceeding $500 annually must be remitted to the Department of Health and Human Services
- Reported in HAF **Annual** Report

Key Takeaways

Upcoming HAF Annual and Quarterly (two reports) Reporting Due Date

November 17, 2025

HAF Closeout is a separate process

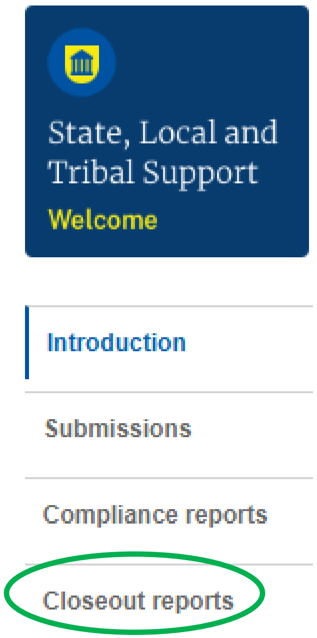
1. Request closeout in the **Closeout Tab** in Treasury's Portal
2. Receive Access to **two Final** HAF Annual and Quarterly Reports
3. Complete and Submit the **two Final** HAF Annual and Quarterly Reports in the **Closeout Tab** in Treasury's Portal

Support is available!

-HAF Resources

-HAF Tribal Office Hours Wednesdays at 3 pm ET

Decision Point!



If your Tribe has expended the total amount of its HAF Award, would the Tribe be interested in requesting early closeout of its HAF award?

***Steps*:**

1. **Review the HAF program's Closeout materials**
   - HAF Early Closeout Checklist: https://home.treasury.gov/system/files/136/HAF-EarlyCloseout-Checklist.pdf
   - HAF Closeout Resource: https://home.treasury.gov/system/files/136/HAF_Closeout_Resource.pdf
   - HAF Closeout Reporting User Guide: https://home.treasury.gov/system/files/136/HAF_CloseoutReportingUserGuide.pdf
2. **Request HAF early closeout of your HAF award in the "Closeout" Tab in Treasury's Portal by checking the box and certifying your early closeout request submission.**
3. **Treasury will review your request and either:**
   - Reach out for additional information; **or**
   - Open the two required reports - the HAF **Final** Quarterly and the **Final** Annual Reports. These will be located under the "**Closeout"** tab in Treasury's Portal.
4. **Once the Final reports are opened, please submit both Final reports by November 17, 2025.**

Deadline: Submit the Final Quarterly and Annual Reports to Treasury by **November 17, 2025** to avoid having to submit the HAF Q3 Quarterly and Annual reports to Treasury for 2025.

Please Note: After the Final Quarterly and Annual Reports are submitted to Treasury, the Tribe may not obligate or expend any remaining award funds for any purpose.

Resources: Accessing Treasury's Portal

Accessing Treasury's Portal

- Using Login.gov - https://portal.treasury.gov/compliance
- Using ID.me - https://portal.treasury.gov/cares/s/slt

Support for Login.gov

- Login.gov Help Center: login.gov/help
- Login.gov Contact Us: login.gov/contact
- User Guide: https://home.treasury.gov/system/files/136/Login.gov-User-Guide.pdf

Support for ID.me

- ID.me support: help.id.me
- ID.me Portal Guidance: https://home.treasury.gov/system/files/136/TreasuryPortalRegInstructions.pdf

Designating HAF Points of Contact:

- https://home.treasury.gov/system/files/136/Designating-HAF-Points-of-Contact.pdf

Resources: HAF Reporting

HAF Guidance

- https://home.treasury.gov/system/files/136/HAF-Guidance.pdf

HAF Reporting

- **HAF Reporting Page** https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/homeowner-assistance-fund/reporting
- **Slides**: https://home.treasury.gov/system/files/136/HAF-Tribal-Report-Oct-2024.pdf
- **Video**: https://www.youtube.com/watch?v=tp_AUKFFS1E
- **Annual Report User Guide** https://home.treasury.gov/system/files/136/HAF_AnnualReportUserGuide.pdf
- **Quarterly Report User Guide** https://home.treasury.gov/system/files/136/HAF_QuarterlyReportUserGuide.pdf
- **HAF Reporting FAQs** https://home.treasury.gov/system/files/136/HAF-Reporting-FAQs.pdf
- **HAF Guidance on Participant Compliance Reporting Responsibilities** https://home.treasury.gov/system/files/136/HAF_GuidanceonParticipantComplianceandReportingResponsibilities.pdf

Upcoming Sessions

Weekly HAF Tribal Office Hours

- Every Wednesday at 3 pm ET
- Register to attend at: https://ustreasury.zoomgov.com/meeting/register/vJIsceyhqToiEm3KAHMcLlL8LgWvGiTMXwY

HAF Reporting Overview Sessions at 3 pm ET

- Wednesday, October 15, 2025 (reports available on this date)
- Wednesday, October 29, 2025
- Wednesday, November 12, 2025
- Register to attend at: https://ustreasury.zoomgov.com/meeting/register/vJIsceyhqToiEm3KAHMcLlL8LgWvGiTMXwY

Next Reports due:
Quarterly – November 17, 2025
Annual – November 17, 2025

HAF Early Closeout Session

- Thursday, October 30, 2025 at 3 pm ET
- Register to attend at: https://ustreasury.zoomgov.com/meeting/register/Vu_pfBkEQ5a6XVyBP7F72w

Thank you.

For More Information:

Please visit Treasury’s HAF website at www.Treasury.gov/HAF

Questions?

Please contact the HAF Program Team at haf_tribal@treasury.gov

Join our weekly Office Hours every Wednesday at 3 pm ET (same link)