

U.S. DEPARTMENT OF THE TREASURY

AN OVERVIEW OF

Tribal Governments' HAF Quarterly and Annual Reports



Disclaimer

This presentation is designed to give an overview of the Homeowner Assistance Fund (HAF) quarterly and annual reporting process under the HAF program for educational purposes. It should not be construed as legal advice or a statement of binding policy guidance from the Treasury.

For official Treasury guidance, go to: [www.Treasury.gov/HAF](https://www.treasury.gov/HAF)



Importance of Compliance Reporting

Compliance Supplement

- [2023 Compliance Supplement](#)
- [2022 Compliance Supplement](#)

A	B	C	E	F	G	H	I	J	L	M	N
Activities Allowed or Unallowed	Allowable Costs/Cost Principles	Cash Management	Eligibility	Equipment and Real Property Management	Matching, Level of Effort, Earmarking	Period Of Performance	Procurement and Suspension and Debarment	Program Income	Reporting	Subrecipient Monitoring	Special Tests and Provisions
Y	Y	N	Y	N	Y	N	N	N	Y	Y	N

Importance of Compliance Reporting

HAF Financial Assistance Agreement

HOMEOWNER ASSISTANCE FUND

U.S. DEPARTMENT OF THE TREASURY

1. Use of Funds. Recipient agrees that the funds disbursed under this award will only be used for the purposes set forth in section 3206 of the American Rescue Plan Act of 2021 (the HAF Statute) and the Guidance for the Homeowner Assistance Fund issued by Treasury on April 14, 2021, as amended from time to time, and any other guidance issued by Treasury regarding the HAF (the Guidance).
2. Reallocation of Funds. Recipient understands and agrees that any funds allocated by Treasury to Recipient that are not subsequently requested by and disbursed to Recipient may be reallocated by Treasury to other eligible entities, in accordance with the HAF statute.
3. Period of Performance. The period of performance for this award begins on the date hereof and ends on September 30, 2026. Recipient shall not incur any obligations to be paid with the funding from this award after such period of performance ends.
4. Reporting. Recipient agrees to comply with any reporting obligations established by Treasury related to this award. Recipient acknowledges that any such information required to be reported pursuant to this section may be publicly disclosed.

Review before you start

Go to: <http://www.treasury.gov/HAF>

ASSISTANCE FOR STATE, LOCAL, AND TRIBAL GOVERNMENTS

State and Local Fiscal Recovery
Funds

Capital Projects Fund

Local Assistance and Tribal
Consistency Fund

Homeowner Assistance Fund

Allocations, Payments, and Award
Terms

Guidance

HAF Plans

Program and Service Design

Promising Practices

Reporting

Report Waste, Fraud, and Abuse

Homeowners

HAF Self-Service Resources

Looking for homeowner assistance?

Homeowners can [find out what homeowner assistance covers, how it works, and who's eligible](#) on the interagency housing portal hosted by the Consumer Financial Protection Bureau (CFPB).

Homeowner Assistance Fund

The Homeowner Assistance Fund (HAF) authorized by the American Rescue Plan Act, provides \$9.961 billion to support homeowners facing financial hardship associated with COVID-19. HAF funds were distributed to states, U.S. Territories, and Indian Tribes. Funds from HAF may be used for assistance with mortgage payments, homeowner's insurance, utility payments, and other specified purposes.

Through 2022, HAF-funded programs have assisted over 230,000 homeowners, helping to prevent mortgage delinquencies and defaults, foreclosures, losses of utilities and home energy services, and displacement. In 2022, foreclosure filings were significantly lower than in any pre-pandemic year with available data. Data also show that very low-income homeowners, homeowners of color, and female homeowners have received a majority of HAF assistance.

Find homeowner assistance

View information about how to connect with
homeowner assistance near you.

[Find homeowner assistance in your area](#)

QUESTIONS?

Program recipients with questions about reporting, technical issues, eligible uses of funds, or other items visit the self-service resources.

[Self-Service Resources](#)

New resource!



Review before you start

Go to: <http://www.treasury.gov/HAF>

HIGHLIGHTED

Resources

Treasury offers a variety of resources to support successful HAF program implementation and increase program transparency.

- [Strategic Outreach](#)
- [Income Verification](#)
- [Determining Eligibility with Fact Specific Proxies](#)
- [Coordinating with Servicers](#)

RECENT UPDATES

September 2023


- **Guidance (Tribal Resources):** On September 1, Treasury published a recorded webinar on [Single Audit Compliance for Tribal Entities](#).

August 2023

- **Promising Practices:** On August 9, Treasury published a resource to support HAF Programs with [Expanding HAF Uses of Funds](#).

RESOURCES FOR TRIBES

Treasury has developed resources to help Tribes implement their programs.

- [Guidance: Tribal Resources](#) (Webinars and Slides on HAF Plan Submissions and Single Audit Requirements)
- [Allocations and Payments for Tribes:](#)
 - [HAF Allocation Adjustment Overview](#)
 - [Adjustment Process](#)  (Instructional Slides)
- [Tribal Resources for Reporting](#)
- [Designating HAF Points of Contact by HAF Account Administrators](#) 
- [Tribal Affairs Newsletter Sign Up](#)
- [2023 HAF Compliance Supplement](#) 

New section!




HAF Guidance Page

GUIDANCE

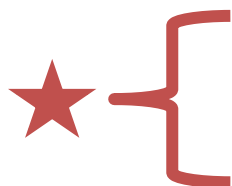


- Homeowner Assistance Fund Guidance 

PROGRAM DEVELOPMENT RESOURCES

- HAF Plan Template (Allocations of \$5 million or more)  *DO NOT SUBMIT THIS PDF. HAF PLANS MUST BE SUBMITTED THROUGH THE ONLINE PORTAL*
- HAF Plan Template (Allocations less than \$5 million)  *DO NOT SUBMIT THIS PDF. HAF PLANS MUST BE SUBMITTED THROUGH THE ONLINE PORTAL*
- Sample Term Sheets for HAF Program Design Elements (updated) 
- HAF Income Limit Look-up Tool (provided by the Department of Housing and Urban Development)



OTHER GUIDANCE



- Allocations, Payments, and Award Terms
- Reporting Guidance
- 2023 HAF Compliance Supplement 
- 2022 HAF Compliance Supplement 
- ORP Conflict of Interest FAQs 

TRIBAL RESOURCES



- Introduction to Single Audit Compliance for Tribal Entities (July 2023): [WEBINAR & SLIDE DECK](#) 
- An Overview of Submitting HAF Plans for Tribal Governments (October 2022): [WEBINAR & SLIDE DECK](#) 

Tips

- **Accessing Treasury's Portal requires ID.me or Login.gov**
 - The email associated with your ID.me or Login.gov account must be associated with your Tribe's account within Treasury's Portal
- **Only an authorized user using an ID.me verified account can submit a HAF Plan and reports**
- Enable pop-ups in your browser
- Browsers that work well with the portal:
 - **Microsoft Edge**
 - **Google Chrome**
 - **Most recent version of Safari**
- Treasury's Portal does not work well on Internet Explorer.
- **Click "save" when you've completed each section then click "next" to move forward to the next section**
- Look for the validation buttons at the end of each page which may require scrolling:

Save and Back

Save Information

Save and Next



Commonly Asked Questions

1. Do we have to complete both the quarterly and annual report? What's the difference?

- Quarterly reports capture more quantitative data.
 - For Tribes with a HAF award that exceed \$5 million, quarterly reports are due 45 days after the end of each quarterly reporting period.
 - For Tribes with a HAF award that is less than \$5 million, one quarterly report reflecting cumulative activities through September 30 is due mid-November each year.
- Annual reports capture more qualitative data.
 - All Tribes are required to complete and submit an Annual report reflecting activities October 1 - September 30 that is due mid-November each year.

2. What is an obligation?

- An obligation is an order placed for property and services, entry into contracts, subawards, and similar transactions that require payment by the Tribe to a person or vendor with HAF funds (as defined in Uniform Guidance at 2 CFR 200.1,). For example, an approved invoice, application, timesheet, purchase order, etc. are examples of documentation that supports that your Tribe is obligated to pay a person or a vendor.

3. Do we still have to report if we haven't spent any of the funds?

- Yes, your Tribe should complete and submit to Treasury each required report regardless of the amount of HAF award funds expended for each reporting period.

4. When does the HAF Award Period of Performance end?

- The HAF Award Period of Performance ends on September 30, 2026. All HAF award funds must be obligated by that date and expenditures for such obligations must be completed no later than 120 days after that date.

5. What is the reporting period for annual and quarterly reports?

- Annual reports must reflect activities funded with the HAF award from October 1 – September 30.
- Quarterly reports for Tribes with a HAF award that exceed \$5 million must reflect HAF award funded activities for the latest quarter.
- Quarterly reports for Tribes with HAF award that is less than \$5 million must reflect HAF award funded activities from October 1 – September 30.



Reporting Deadlines

Table 1: Reporting requirements by HAF participant type

Recipient	Quarterly Report	Annual Performance Report
States	By June 10, 2022, and then 45 days after the end of each quarter thereafter. ★	By November 15, 2022, and annually thereafter by mid-November. ★
Tribal Governments with Allocation > \$5M		
Tribal Governments with Allocation < \$5M & Department of Hawaiian Home Lands	By November 15, 2022, and annually thereafter by mid-November.	



Next Reports due:

Quarterly – November 15, 2023

Annual – November 15, 2023

Accessing Treasury's Portal


Enter the portal with your credentials

Using Login.gov: <https://portal.treasury.gov/compliance/s>

Using ID.me: <https://portal.treasury.gov/cares/s/HAF>

An official website of the United States government [Here's how you know](#)

LOGIN.GOV



Department of the Treasury is using Login.gov to allow you to sign in to your account safely and securely.

Email address

Password

☐ Show password

Sign in

First time using Login.gov?

Create an account

[Sign in with your government employee ID](#)

[Back to Department of the Treasury](#)
[Forgot your password?](#)
[Security Practices and Privacy Act Statement](#) &
[Privacy Act Statement](#) &



Sign in to ID.me

New to ID.me?
[Create an ID.me account](#)





Email

Password

Sign in

[Forgot password](#)

OR

[View more options](#)

Accessing Treasury's Portal

Program areas

For best site performance, it is recommended that you use Chrome, Mozilla-Firefox or Safari. Application is also compatible with Internet Explorer 10 or newer.



Airline Support

Contains information on the 4112 and 4003 programs in the CARES Act designed to support the airline industry as well as applications for airline payroll support in the Consolidated Appropriations Act and the American Rescue Plan.

[VIEW THE PROGRAMS](#)



State, Local, and Tribal Support

Relief to state, local, and Tribal governments to enable them to continue to support the public health response and lay the foundation for a strong and equitable economic recovery.

[VIEW THE PROGRAMS](#)



Emergency Capital Investment

For LMI Community Financial Institutions to apply for capital investments through the Emergency Capital Investment Program. Applications must be submitted by Tuesday, January, 2023 at 11:59 PM ET.

[VIEW THE PROGRAMS](#)



CERTS Program

The Coronavirus Economic Relief for Transportation Services (CERTS) Program is providing eligible transportation service companies with resources to help to maintain payroll, hire back employees who may have been laid off, and cover applicable overhead and operational expenses.

[VIEW THE PROGRAM](#)

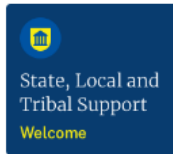


SSBCI Program

The State Small Business Credit Initiative (SSBCI) supports state programs that use funds to support private sector loans and investments to small businesses and small manufacturers that are creditworthy but are not able to access the capital they need to expand and create jobs.

[VIEW THE PROGRAMS](#)

Accessing Your Tribe's HAF Submissions

[Introduction](#)[Submissions](#)[Compliance Reports](#)

Introduction

Welcome to the Treasury Programs supporting State, Territory, Tribal, and Local Government as part of the 2021 American Rescue Plan.

Programs

State and Local Fiscal Recovery Funds (SLFRF)

\$350 billion available for state, territory, Tribal, and local governments to support the public health response and lay the foundation for a strong and equitable economic recovery.

Emergency Rental Assistance (ERA)

\$21.6 billion available for state, territory, and local governments to assist households that are unable to pay rent and utilities.

Homeowner Assistance Fund (HAF)

Nearly \$10 billion available for state, territory, and Tribal governments to provide relief for our country's most vulnerable homeowners.

Capital Projects Fund (CPF)

\$10 billion available for state, territory, freely associated state, and Tribal governments for capital projects designed to jointly and directly enable work, education, and health monitoring, which includes the provision and improvement of broadband infrastructure where it is lacking.

Local Assistance and Tribal Consistency Fund (LATCF)

\$2 billion available for eligible tribal governments and eligible revenue sharing counties to support a strong and equitable economic recovery.

Submission Process

Depending on if you are a state, territory, local, or Tribal government, you will be eligible for different programs.

You now have a login and 24/7 access to this portal. You have two options while working on the submission/s – save your progress or submit the submission. If you save, you can return and edit information as needed. To resume working on a draft submission, click on "Submissions" using the navigation to the left of the page. This will bring you to your list of submissions, click "Edit" Button to continue working. Once you submit and certify the agreement, you cannot edit your information for that particular program without reaching out to Treasury to re-open the case and edit.

Ready to get started? Click "Go to your submissions" below.

[Go to your submissions](#)

Compliance Process

You now have a login and 24/7 access to this portal. You have two options while working on your compliance report(s) – save your progress or submit the report. If you save, you can return and edit information as needed. To resume working on a draft report, click on "Compliance Reports" using the navigation to the left of the page. This will bring you to your list of compliance reports, click "Edit" button to continue the process.

Ready to get started? Click "Go to your compliance reports" below

[Go to your reports](#)

Finding Reports

Compliance Process

You now have a login and 24/7 access to this portal. You have two options while working on your compliance report(s) – save your progress or submit the report. If you save, you can return and edit information as needed. To resume working on a draft report, click on "Compliance Reports" using the navigation to the left of the page. This will bring you to your list of compliance reports, click "Edit" button to continue the process.

Ready to get started? Click "Go to your Reports" below

[Go To Your Reports](#)

Click to open




My Compliance Reports

Click to edit

HAF Compliance Reports

Search

Records per page: 10 Page: 1 of 1

	Report Name	Report Type	Report Period	Due Date	Status	Edit	View	Download
1	HAF-QR	Quarterly	Award Date - September 30, 2023	Nov 15, 2023	Not Started			

Report Type

HAF Quarterly Report

Instructions



Instructions

Participant Information

Budget Reporting

Programmatic Information

Programs

Obligations & Expenditures Verification

Participant Compliance

Certification

OMB CONTROL NUMBER: 1505-0269

EXPIRATION DATE: 07/31/2025

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

Thank you for your participation in the U.S. Department of the Treasury (Treasury) Homeowner Assistance Fund.

Please complete and submit this Quarterly Report covering activity of your HAF awards for the period beginning on your **Award Date through September 30, 2023**. The submission deadline is **Wednesday, November 15, 2023**.

The Homeowner Assistance Fund ("HAF") is authorized by Title III, Subtitle B, Section 3206 of the American Rescue Plan Act, 2021, Pub. L. No. 117-2 (March 11, 2021).

Data on prime awards for Participants may be found on the [Treasury's HAF website](#) and at [USASpending.gov](#). The assistance listing number for HAF is 21.026 Homeowner Assistance Fund.

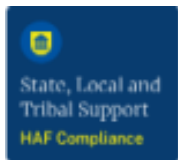
Instructions

The required Quarterly Report includes data requirements as described in the [HAF Guidance on Participant Compliance Reporting Responsibilities](#) provided on [Treasury's HAF website](#).

HAF Participants will be asked to break out their administered HAF programs by Program Design Element and report certain information. If a HAF participant's program(s) cover(s) multiple Program Design Elements, HAF participants are expected to know how the program has impacted each of the Program Design Elements the program covers including the number of Homeowners assisted, Obligations, and Expenditures, among other metrics. Further instructions on quarterly reporting can be found on each page of the Quarterly Report.

Participant Information

This will be filled out



Instructions

Participant Information

Budget Reporting

Programmatic Information

Programs

Obligations & Expenditures Verification

Participant Compliance

Certification

Participant Information

Please verify that you are an authorized representative of the HAF participant and confirm the accuracy of the HAF participant's profile.

Participant Information

Entity Name

Type

Tribal Government or Tribally Designated Housing Entity

UEID

TIN

DUNS

DUNS+4

CFDA#

FAIN#

Address

City

State

Zip

Please report discrepancies (if any) on the above information.

Contacts

This is the current list of Points of Contacts for the Participant. This list can be managed by designated HAF Account Administrators through the Treasury Covid-19 Relief Hub or button below. Please ensure that HAF contacts are accurate before submitting this report.

Name	Title	Email	Roles

Manage Contacts

Update contacts by clicking "Manage Contacts" button

Budget Reporting

The table below lists the budget items that appear in the participant's approved HAF Plan, along with the amounts budgeted for each item. Please report the participant's obligations and expenditures as they relate to these budget items.

Note that on subsequent screens, the participant will be expected to break out programs, obligations and expenditures listed under "Measures Prevent Displacement" to the defined Program Design Elements.

HAF Quarterly Reports reflect the HAF Plan budget as approved at the end of the reporting period. Participants can propose revisions to their HAF Plan budgets and submit them for Treasury approval. However, budget revisions proposed and/or approved after the end of a reporting period will not be reflected on Quarterly Reports for that reporting period. Click [this link](#) to view your HAF Plan budget and to propose and submit HAF Plan budget revisions (the link will open in a new tab/window).

- Double check the administrative costs are at or below 15% total allocation
- Click "Save Information" when complete





HAF Original Plan Budget	Current Budgeted Amount	Cumulative Obligations	Cumulative Expenditures
1 Mortgage Payment Assistance	\$150,000.00	\$150,000.00	\$100,000.00
2 Financial Assistance	\$0.00	\$0.00	\$0.00
3 Mortgage Principal Reduction	\$250,000.00	\$250,000.00	\$225,000.00
4 Facilitating Interest Rate	\$0.00	\$0.00	\$0.00
5 Payment Assistance Utilities	\$30,000.00	\$30,000.00	\$25,000.00
6 Payment Assistance Internet	\$15,000.00	\$15,000.00	\$10,000.00
7 Payment Assistance Insurance	\$0.00	\$0.00	\$0.00
8 Payment Assistance Fees	\$0.00	\$0.00	\$0.00
9 Payment Assistance Loans	\$0.00	\$0.00	\$0.00
10 Payment Assistance Taxes	\$0.00	\$0.00	\$0.00
11 Counseling or Education	\$35,000.00	\$35,000.00	\$15,000.00
12 Legal Services	\$0.00	\$0.00	\$0.00
13 Measures Preventing Displacement Subtotal	\$0.00	\$0.00	\$0.00
14 Reimbursable Expenses Subtotal	\$0.00	\$0.00	\$0.00
15 Administrative Expenses Subtotal	\$0.00	\$0.00	\$0.00
16 Totals	\$480,000.00	\$480,000.00	\$375,000.00

Save



First click "Save" to update totals

Budget Reporting - Errors

11	Counseling or Education	\$35,000.00	\$70,000.00 	\$15,000.00 
12	Legal Services	\$0.00	\$0.00 	\$0.00 
13	Measures Preventing Displacement Subtotal	\$0.00	\$0.00	\$0.00
14	Reimbursable Expenses Subtotal	\$0.00	\$0.00	\$0.00
15	Administrative Expenses Subtotal	\$0.00	\$0.00	\$0.00
16	Totals	\$480,000.00	\$515,000.00	\$375,000.00

The marked rows need attention:

In general, Obligations and Expenditures should not be more than 10% greater than the budget amounts. HAF participants must seek prior approval before making changes to the scope or objectives of their HAF program. As specifically noted in the HAF guidance, Treasury's prior approval is required to reallocate funding from a program previously approved in a HAF plan to be used for a different purpose.

☐ Please review the marked rows and revise entries OR check this box to confirm that you would like to submit this report with Obligations or Expenditures more than 10% greater than budgeted amount, and provide an explanation in the space below.

Be sure to click this box to acknowledge and provide an explanation.

Programmatic Information

Please provide the information requested below related to the Participant's HAF programs. Note that in other sections of this report you will be asked to disaggregate some of this data based on the Participants unique HAF programs and the applicable design elements.

* 1. Please enter the number of unique Homeowners that submitted a draft or completed HAF application for HAF assistance.

* 2. Please enter the number of unique Homeowner that submitted a completed HAF application for HAF assistance.

* 3. Please enter the number of unique Homeowners who submitted a completed HAF application for HAF assistance and then withdrew their application.

* 4. Please enter the number of unique Homeowners whose application for HAF assistance was approved.

* 5. Please enter the number of unique Homeowners whose application for HAF assistance was denied.

* 6. Please enter the number of unique Homeowners that received HAF assistance of any kind.

* 7. Please enter the number of Unique homeowners for whom one or more Delinquencies were resolved through non-monetary HAF assistance (e.g. housing counseling helped resolve a Delinquency through an existing servicer's program).

* 8. Please enter the number of Unique homeowners for whom one or more Delinquencies were resolved with monetary HAF assistance.

Programmatic Information

* 9. Please enter the number of unique Homeowners receiving HAF assistance that were not Delinquent prior to receiving HAF assistance.

10. Breakdown of reimbursement expenses: **Not Applicable.**

[You did not indicate reimbursement expenses obligated and/or expended in the Budget Reporting section. This item applies only if you indicate reimbursement expenses obligated and/or expended in the Budget Reporting section.]

11. Based on your entries on the previous page (Budget Reporting) and above (Reimbursement Breakdown, if applicable), total cumulative Obligations for HAF assistance are **\$480,000.00.**

12. Based on your entries on the previous page (Budget Reporting) and above (Reimbursement Breakdown, if applicable), total cumulative Expenditures for HAF assistance are **\$375,000.00.**

* 13. Please enter the number of unique Homeowners that applied for assistance after previously receiving HAF monetary assistance (i.e. homeowner seeking 2nd, 3rd, ... HAF payment).

* 14. Please enter the number of unique Homeowners that submitted and received assistance on more than one application.

* 15. Is it part of your standard process to speak with Homeowner applicants about the availability of loss mitigation and other loss mitigation options? Please respond "Yes" if your organization includes communication with Homeowner applications about the availability of loss mitigation options, otherwise select "No."

[Save and Back](#)[Save Information](#)[Save and Next](#)

Programs

Use this module to enter general HAF participant program information. Please add a new line item for each program of the HAF participant and fill in the corresponding information.

Please do NOT include Administrative Expenses in program or design element Expenditures or Obligations. Administrative expenses should be captured in the Budget Reporting section.

Program List

No programs

1 → Add Program View / Download All

↑ 2

Add/Edit Program

Use this module to enter information regarding program design elements for each HAF program. Please add a new line item for each program design element under EACH program and fill in the corresponding information. For example, if a HAF program is used for mortgage assistance, internet assistance, and utilities assistance, a HAF participant would enter three separate line items for each of those program design elements and fill out the relevant information.

Please do NOT include **Administrative Expenses** in program or design element **Expenditures** or **Obligations**. Administrative expenses should be captured in the Budget Reporting section.

Program Information

* Program Name

Mortgage payment assistance

* Program Start Date

Jun 1, 2022

* Program Income Earned Cumulative to Calendar Quarter end date

\$200.00

* Program Income Expended Cumulative to Calendar Quarter end date

\$0.00

* Program Description

mortgage payment assistance

* Total Obligations Cumulative to Report Calendar Quarter end date

\$150,000.00

* Total Expenditures Cumulative to Report Calendar Quarter end date

\$100,000.00

* Number of Homeowners Assisted Cumulative to Report Calendar Quarter end date

2,000

* Number of Delinquencies Resolved with Monetary HAF Assistance Cumulative to Report Calendar Quarter end date

2,000

* Number of Delinquencies Resolved with Non-Monetary HAF Assistance Cumulative to Report Calendar Quarter end date

1,500

Save

Design Elements

No design elements

Add Design Element

⚠ You must add at least one design element



Program Information

*Program Name

t

*Program Start Date

Jul 31, 2022

*Program Income Earned Cumulative to Calendar Quarter end date

\$1.00

*Program Income Expended Cumulative to Calendar Quarter end date

\$1.00

*Program Description

1

*Total Obligations Cumulative to Report Calendar Quarter end date

\$1.00

*Total Expenditures Cumulative to Report Calendar Quarter end date

\$1.00

*Number of Homeowners Assisted Cumulative to Report Calendar Quarter end date

1

*Number of Delinquencies Resolved with Monetary HAF Assistance Cumulative to Report Calendar Quarter end date

1

*Number of Delinquencies Resolved with Non-Monetary HAF Assistance Cumulative to Report Calendar Quarter end date

1

Save

Cancel

Design Elements

Add Design Element

Program Design Element	\$ Obligated	\$ Expended	No. Homeowners Assisted	Edit	Remove
Facilitating Interest Rate	\$1.00	\$1.00	1		
Totals	\$1.00	\$1.00			

Design Element Errors

Design Elements

Add Design Element

Program Design Element	\$ Obligated	\$ Expended	No. Homeowners Assisted	Edit	Remove
Facilitating Interest Rate	\$1.00	\$1.00	1		
Mortgage Principal Reduction	\$1.00	\$1.00	2		
Totals	\$2.00	\$2.00			

⚠

The sum of Expenditures entered for design elements is greater than the total Expenditures entered for this program. Please adjust these entries to eliminate this error. You will not be able to submit this compliance report until you eliminate this error.

⚠

The sum of Obligations entered for design elements is greater than the total Obligations entered for this program. Please adjust these entries to eliminate this error. You will not be able to submit this compliance report until you eliminate this error.

[Back to Programs](#)

Obligations & Expenditures Verification

The table below provides a summary of the Design Element Obligations and Expenditures you entered in the Programs module and checks the totals against your entries in the Budget Reporting module. If there are any discrepancies, you will see additional instructions below the table.

Design Element	Cumulative Obligations	Cumulative Expenditures
Mortgage Payment Assistance	\$0.00	\$0.00
Financial Assistance	\$0.00	\$0.00
Mortgage Principal Reduction	\$0.00	\$0.00
Facilitating Interest Rate	\$0.00	\$0.00
Payment Assistance Utilities	\$0.00	\$0.00
Payment Assistance Internet	\$0.00	\$0.00
Payment Assistance Insurance	\$0.00	\$0.00
Payment Assistance Fees	\$0.00	\$0.00
Payment Assistance Loans	\$0.00	\$0.00
Payment Assistance Taxes	\$0.00	\$0.00
Measures Preventing Displacement	\$0.00	\$0.00
Counseling or Education	\$0.00	\$0.00
Legal Services	\$0.00	\$0.00
Totals	\$0.00	\$0.00



There is an inconsistency between the total Expenditures for design elements entered in the Budget Reporting and Program Reporting sections. The total Expenditures for design elements entered in the Budget Reporting section are \$375000.00. The total Expenditures for design elements entered in the Program Reporting section are \$0.00. Please check your data and adjust entries to eliminate this inconsistency. You will not be able to submit this report until this inconsistency is resolved.



There is an inconsistency between the total Obligations for design elements entered in the Budget Reporting and Program Reporting sections. The total Obligations for design elements entered in the Budget Reporting section are \$480000.00. The total Obligations for design elements entered in the Program Reporting section are \$0.00. Please check your data and adjust entries to eliminate this inconsistency. You will not be able to submit this report until this inconsistency is resolved.

Participant Compliance

HAF participants are asked to answer the following questions as they relate to compliance with the HAF statute and established guidance.

* 1. Did the HAF participant use HAF assistance to assist homeowners with loans above the maximum conforming loan limit as defined in the HAF statute?

Yes

* If you selected 'yes,' please provide an explanation that explains why the HAF participant used HAF assistance to assist homeowners with loans above the maximum conforming loan limit.

* 2. Prior to disbursing HAF assistance to homeowners, did the HAF participant require homeowners to provide attestations that they experienced financial hardship after January 21, 2020?

No

* If you selected 'no,' please provide an explanation that explains why the HAF participant did not require homeowners to provide attestations that they experienced financial hardship after January 21, 2020.

* 3. In determining income for each household applying for HAF assistance, did the HAF participant use HUD's definition of "annual income" in 24 CFR 5.609, adjusted gross income as defined for purposes of reporting on IRS Form 1040 series as mentioned in the HAF guidance, or other proxies approved in your HAF Grantee Plan?

No

* If you selected 'no,' please provide an explanation that explains why the HAF participant did not use HUD's definition of "annual income" in 24 CFR 5.609, adjusted gross income as defined for purposes of reporting on IRS Form 1040 series as mentioned in the HAF guidance, or other proxies approved in your HAF Grantee Plan.

Based on your responses, a corresponding question will appear for further explanation.



Certification – Authorized Users Only

I certify that the information provided is accurate and complete after reasonable inquiry of people, systems, and other information available to the HAF participant. The undersigned acknowledges that any materially false, fictitious, fraudulent statement, or representation (or concealment or omission of a material fact) in this submission may be the subject of criminal prosecution under the False Statements Accountability Act of 1996, as amended, 18 USC 1001, and also may subject me and the HAF participant to civil penalties, damages, and administrative remedies for false claims or otherwise (including under 31 USC 3729 et seq.) The undersigned is an authorized representative of the HAF participant with authority to make the above certifications and representations on behalf of the HAF participant.

Name and Title of Certifying Official

Name	Email Address
Your Name	email@service.com
Title	Certification (Re-enter your name to certify)
Your Title	<input type="text"/>

1

Before submitting your report, validate your data by clicking the button below. Any inconsistencies or required items that are missing will be listed so that you can complete or correct your entries. After completing or correcting your entries, click validate again to re-check your data. When all error have been resolved, the Certify and Submit button will be enabled.

2

3

Are you sure you want to submit? If you submit, the form will become read-only to allow the Treasury program to review.

Cancel **Submit**

HAF Annual Report

Finding Reports

Compliance Process

You now have a login and 24/7 access to this portal. You have two options while working on your compliance report(s) – save your progress or submit the report. If you save, you can return and edit information as needed. To resume working on a draft report, click on "Compliance Reports" using the navigation to the left of the page. This will bring you to your list of compliance reports, click "Edit" button to continue the process.

Ready to get started? Click "Go to your Reports" below

[Go To Your Reports](#)




Click to open

My Compliance Reports

Click to edit

HAF Compliance Reports

Records per page: 10 Page: 1 of 1

	Report Name	Report Type	Report Period	Due Date	Status	Edit	View	Download
1	HAF-QR	Annual	October 1, 2022 - September 30, 2023	Nov 15, 2023	Not Started			

Report Type

Introduction

Thank you for your participation in the U.S. Department of the Treasury (Treasury) Homeowner Assistance Fund.

Please complete the Annual Report covering activity of your HAF awards for the period from your **October 1, 2022 through September 30, 2023**. The submission deadline is **Wednesday, November 15, 2023**.

Note: The Homeowner Assistance Fund ("HAF") is authorized by Title III, Subtitle B, Section 3206 of the American Rescue Plan Act, 2021, Pub. L. No. 117-2 (March 11, 2021).

The assistance listing number for HAF is 21.026 Homeowner Assistance Fund. Data on prime awards for Participants may be found on the HAF page of Treasury.gov and USASpending.gov at the following URL: <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/homeowner-assistance-fund>.

Instructions

The required Annual Report includes data requirements as described in the HAF Guidance on Participant Compliance Reporting Responsibilities provided on Treasury's HAF website at the following URL: <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/homeowner-assistance-fund/reporting>.

HAF participants are required to comply with the reporting requirements established by Treasury pursuant to the "Reporting" section of the HAF Financial Assistance Agreement, which provides in pertinent part, "Recipient agrees to comply with any reporting obligations established by Treasury related to this award. Recipient acknowledges that any such information required to be reported pursuant to this section may be publicly disclosed."

Treasury will use the information reported by HAF participants to determine whether participants are complying with HAF requirements. HAF participants should maintain all documents and financial records sufficient to support the data requests and establish compliance with program requirements.

We appreciate your attention on these issues. Please email HAF@Treasury.gov with any questions or concerns.

Next



If your Tribe has not yet submitted a HAF Plan

October 1,

Submit HAF annual report without updates

Annual reports ask for updates based on information in approved HAF plans.

Our records reflect that your entity has not yet submitted a HAF plan as of October 10, 2023. Treasury encourages your entity to submit a HAF plan as soon as possible.

Treasury understands that there are many reasons for delayed submission. Please email HAF_Tribal@Treasury.gov with the subject "HAF Plan Support" with any questions or concerns, support needed to submit your HAF plan, or if you are no longer interested in participating in HAF.

HAF participant	HAF plan status	
Your Tribe	Draft	
Name	Title	Email
Your Name	QA	youremail@tribe.net

Please enter your name below to certify and submit your annual report without providing updated program information.

If your Tribe has submitted a HAF Plan that has not yet been approved

Submit HAF annual report without updates

Annual reports ask for updates based on information in approved HAF plans.

Our records reflect that your entity has submitted a plan but is awaiting approval from Treasury. Thank you for your patience.

HAF participant	HAF plan status	
Your Tribe	Follow Up Required	
Name	Title	Email
Your Name	QA	youremail@tribe.net

Please enter your name below to certify and submit your annual report without providing updated program information.

HAF Plan Approved After Applicable Reporting Period

Submit HAF annual report without updates

Annual reports ask for updates based on information in approved HAF plans.

Our records reflect that your entity has submitted a plan and that this plan was approved following the end of the applicable reporting period. As a result, you are not required to provide updates to your plan on this annual report.

HAF participant	HAF plan status	
Your Tribe	Approved	
Name	Title	Email
Your Name	QA	youremail@tribe.net

Please enter your name below to certify and submit your annual report without providing updated program information.

CancelSubmit

Participant Information

Please verify that you are an authorized representative of the HAF participant and confirm the accuracy of the HAF participant's profile.

Participant Information

Entity Name	Type	
Courtney HAF Test	Tribal Government or Tribally Designated Housing Entity	
UEID	TIN	
ABC123456789	123456789	
CFDA#	FAIN#	
Address		
City	State	Zip
	Arizona	

Please report discrepancies (if any) on the above information.

Contacts

The current list of HAF-related contacts for the Participant is shown below. This list can be managed by designated HAF Account Administrators through the Treasury Covid-19 Relief Hub, or by clicking the Manage Contact button below. Please ensure that this list is accurate before submitting this report.

← This will be filled out

Update contacts by clicking “Manage Contacts” button

Manage Contacts

Community Engagement & Outreach

HAF participants are asked to answer the following questions as they relate to community outreach.

* 1. Did you continue outreach to communities once your HAF Program(s) began?

No

* 2. Please quantify the total amount of funds spent on outreach.

\$234.00

3. You identified the community-based organizations and providers of counseling services or legal assistance listed below in your HAF Participant Plan or a previous report. Please indicate whether you have performed outreach to each organization or provider by tapping "Provide Data" and selecting Yes or No.

Search

Records per page: 10 Page: 1 of 0

Organization or Provider Name	Type	Outreach Performed	Provide Data
-------------------------------	------	--------------------	--------------

4. Did you perform outreach to any community-based organizations or providers of counseling services or legal assistance that are not on the list above? If so, use the button below to add these organizations and providers to the list.

Add a new Org or Provider

Save and Back

Save Information

Save and Next



Add a new Community-Based Organization or Provider

Organization / Provider Information

*Type

Provider

*Name

asdf

Website (URL)

asdfas

*Street Address 1

asdf

Street Address 2

asdf

*City

asdf

*State

Alaska

*Zip Code

21344

☐ Primarily Serves LMI

☐ Addresses Impact of Housing

Outreach Activity

*Did you perform outreach to this organization or provider during the reporting period?

Yes

No

Cancel

Save new Organization or Provider

Community Engagement & Outreach

Organization or Provider Name		Type	Outreach Performed	Provide Data
1	asdf	Community Organization	✓	Edit Data

Entering Performance Goals

Performance Goals

You identified the measurable outcomes listed below in your HAF Participant Plan. Please report a status and the measurable results for each goal, and provide a narrative description of performance related to the goal, by clicking "Provide Data" in each line of the table below.

You can also add new performance goals for the next Annual Reporting cycle using the button below the table.

Records per page: 10 Page: 1 of 0 ▶

Title	Program Design Element	Status	Provide Data
1	Mortgage Payment Assistance		Provide Data
2	Mortgage Reinstatement		Provide Data

[Add a New Goal](#)

Entering Goal information

Performance Record

* Goal Title

* Design Element

Mortgage Payment Assistance

* Goal Description

* Metric of success

* Status

* Actual measurable results

* Narrative description and comments

* Continue this goal for next year?

Performance Goals

Performance Goals

You identified the measurable outcomes listed below in your HAF Participant Plan. Please report a status and the measurable results for each goal, and provide a narrative description of performance related to the goal, by clicking "Provide Data" in each line of the table below.

You can also add new performance goals for the next Annual Reporting cycle using the button below the table.

Records per page: 10 Page: 1 of 0 ▶

	Title ▼	Program Design Element ▼	Status ▼	Provide Data
1	asdf	Mortgage Payment Assistance	On Track	Edit Data
2	asdf	Mortgage Reinstatement	Not On Track	Edit Data

[Add a New Goal](#)[Back](#)[Next](#)

Methods for Targeting

Methods for Targeting

* 1. Please provide an update on your targeting plan including challenges, successes, etc.

* 2. Is the targeting plan put fourth in the HAF Plan achieving the desired results?

--None--

Save and Back Save Information Save and Next

If the answer is no, be prepared to provide an explanation.

Best Practices and Coordination

*1. Have you coordinated with any of these agencies? (FHA, VA, USDA, GSE's, State or Local Agencies that hold mortgage portfolios)

Yes

* If so, please provide best practices and information on coordination efforts.

*2. Have you coordinated with servicers?

Yes

* If so, please provide best practices and information on coordination efforts.

Save and Back

Save Information

Save and Next

Certification

* 1. Did you earn interest in excess of \$500 through the calendar year ending **December 31, 2022?**

Yes

* If yes, how much interest did you earn in excess of \$500 through the calendar year ending **December 31, 2022?**

\$234.00

* 2. Did you remit the earned interest in excess of \$500 as required by 2 CFR 200.305? (For more information see [HAF Reporting FAQ](#), Section 1.15, on [Treasury.gov](#).)

No

Statement

I certify that the information provided is accurate and complete after reasonable inquiry of people, systems, and other information available to the HAF participant. The undersigned acknowledges that any materially false, fictitious, fraudulent statement, or representation (or concealment or omission of a material fact) in this submission may be the subject of criminal prosecution under the False Statements Accountability Act of 1996, as amended, 18 USC 1001, and also may subject me and the HAF participant to civil penalties, damages, and administrative remedies for false claims or otherwise (including under 31 USC 3729 et seq.) The undersigned is an authorized representative of the HAF participant with authority to make the above certifications and representations on behalf of the HAF participant.

Name

Your name

Title

Your Title

Email Address

your@email.com

Certification (Re-enter your name to certify)

Your Name

Validate

Certify and Submit



Thank you.



For More Information:

Please visit Treasury's HAF website at
www.Treasury.gov/HAF

Questions?

Please contact the HAF Program Team at
haf_tribal@treasury.gov

Join our weekly Office Hours every
Wednesday at 3 pm ET (same link)