

# Lucas County, Ohio

## Recovery Plan

### State and Local Fiscal Recovery Funds

### 2021 Report

Note: The Recovery Plan Performance Report will provide the public and Treasury information on the projects that recipients are undertaking with program funding and how they are planning to ensure program outcomes are achieved in an effective, efficient, and equitable manner. While this template includes the minimum requirements for the Recovery Plan, each recipient is encouraged to add information to the plan that they feel is appropriate to provide information to their constituents on efforts they are taking to respond to the pandemic and promote an equitable economic recovery.

Each jurisdiction may determine the general form and content of the Recovery Plan, as long as it meets the reporting requirements, and recipients are encouraged to tailor this template to best meet their needs. Use of infographics, tables, charts, pictures, case studies, and other explanatory elements are encouraged.

## Notes on using this template

All States and territories, and metropolitan cities and counties with a population that exceeds 250,000 residents that are recipients of State and Local Fiscal Recovery Funds (SLFRF) awards are required to produce a Recovery Plan Performance Report (the “Recovery Plan”). The Recovery Plan provides information on the recipient’s projects and how they plan to ensure program outcomes are achieved in an effective and equitable manner. It will include key performance indicators identified by the recipient and some mandatory indicators identified by Treasury. Each annual Recovery Plan must be posted on the public-facing website of the recipient by or on the same date that the recipient submits the report to Treasury.

The initial Recovery Plan will cover the period from the date of award to July 31, 2021 and must be submitted to Treasury by August 31, 2021. Thereafter, the Recovery Plan will cover a 12-month period and recipients will be required to submit the report to Treasury within 30 days after the end of the 12-month period (by July 31).

Annual Report	Period Covered	Due Date
1	Award Date – July 31, 2021	August 31, 2021
2	July 1, 2021 – June 30, 2022	July 31, 2022
3	July 1, 2022 – June 30, 2023	July 31, 2023
4	July 1, 2023 – June 30, 2024	July 31, 2024
5	July 1, 2024 – June 30, 2025	July 31, 2025
6	July 1, 2025 – June 30, 2026	July 31, 2026
7	July 1, 2026 – December 31, 2026	March 31, 2027

### **Instructions:**

This document is meant as a suggested template for applicable SLFRF recipients to assist them in submitting their Recovery Plan. Recipients should consult the SLFRF Guidance on Recipient Compliance and Reporting Responsibilities (Reporting Guidance) located at <https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf> for detailed guidance on the submission of this report.

Treasury encourages Recipients to tailor this report to best meet their needs in terms of format and content. Treasury recommends the use of infographics, tables, charts, pictures, case studies, and other explanatory elements in describing their programs.

*Text in italics* represents the requirements from the Reporting Guidance and is meant to serve as a reference as recipients prepare their Recovery Plan. This instructions page and the *text in italics* should be removed before the final transmitted report is published and submitted to Treasury.

Additional information around Expenditure Categories is located in Appendix 1 of the Reporting Guidance.

### **For More Information**

More information about the State and Local Fiscal Recovery Fund program and associated reporting requirements are located at [www.treasury.gov/SLFRP](http://www.treasury.gov/SLFRP).

Questions on reporting, eligible uses, or other general topics should be directed to [SLFRP@treasury.gov](mailto:SLFRP@treasury.gov).

**[Recipient's Name}**  
**202x Recovery Plan**

## Table of Contents

<b>General Overview.....</b>	<b>3</b>
Executive Summary .....	3
Uses of Funds .....	3
Promoting Equitable Outcomes.....	4
Community Engagement.....	6
Labor Practices .....	6
Use of Evidence .....	7
Table of Expenses by Expenditure Category .....	7
<b>Project Inventory.....</b>	<b>10</b>
Example Project .....	10
Additional Projects.....	10
<b>Ineligible Activities: Tax Offset Provision .....</b>	<b>10</b>

## GENERAL OVERVIEW

### **Executive Summary**

*In this section, provide a high-level overview of the jurisdiction's intended and actual uses of funding including, but not limited to: the jurisdiction's plan for use of funds to promote a response to the pandemic and economic recovery, key outcome goals, progress to date on those outcomes, and any noteworthy challenges or opportunities identified during the reporting period. See Section C(1) on page 24 of the Reporting Guidance for additional information.*

Lucas County will finalize State and Local Fiscal Recovery Funds (SLFRF) investment strategy when two particular benchmarks are met:

1. The U.S. Department of the Treasury releases its Final Rule
2. Feedback from County offices and the public at large is obtained

Upon the attainment of these targets, the Lucas County Board of Commissioners will synthesize collected data and use a data-driven approach to allocate to maximize the impact of SLFRF funds to achieve the following objectives:

- Support COVID-19 mitigation in order to slow the spread of the virus to protect vulnerable populations
- Ensure that the county-delivered services on which Lucas County residents depend are subject to as little disruption as possible
- Stabilize the local economy to speed the recovery and facilitate the growth of opportunities for businesses and households, paying particular attention to equity for traditionally underserved populations

Lucas County has yet to commit any portion of our SLFRF funds. The Board of Lucas County Commissioners is working with the Lucas County Auditor to develop an accurate picture of county-wide revenue loss. In addition, the Commissioners have begun to develop a public engagement strategy designed to obtain and integrate community input into the spending plan.

### **Uses of Funds**

*Describe in further detail your jurisdiction's intended and actual uses of the funds, such as how your jurisdiction's approach would help support a strong and equitable recovery from the COVID-19 pandemic and economic downturn. Describe any strategies employed to maximize programmatic impact and effective, efficient, and equitable outcomes. Given the broad eligible uses of funds and the specific needs of the jurisdiction, explain how the funds would support the communities, populations, or individuals in your jurisdiction. Address how you are promoting each of the following Expenditure Categories, to the extent they apply:*

- a. Public Health (EC 1)
- b. Negative Economic Impacts (EC 2)
- c. Services to Disproportionately Impacted Communities (EC 3)
- d. Premium Pay (EC 4)
- e. Water, sewer, and broadband infrastructure (EC 5)
- f. Revenue Replacement (EC 6)

*Where appropriate, include information on your jurisdiction's use (or planned use) of other federal recovery funds including other programs under the American Rescue Plan such as Emergency Rental Assistance, Housing Assistance, and so forth, to provide broader context on the overall approach for pandemic recovery.*

*See Section C(2) on page 24 of the Reporting Guidance for additional information.*

As stated in the Executive Summary, Lucas County has neither spent nor committed any SLFRF funds from its allocation. The Commissioners plan to utilize them to address the negative economic impacts of the COVID-19, bring the pandemic under control, and facilitate broad-based access to government services provided by Lucas County.

Lucas County has also received Emergency Rental Assistance funding both through the Consolidated Appropriations Act of 2021 and through the American Rescue Plan. To actualize economies of scale and to simplify the application process for our residents, Lucas County has collaborated with our county seat, the City of Toledo, to create a unified program managed by the City.

Our Office of Management and Budget is in contact with the Lucas County Auditor's office to ensure that revenue replacement calculations accurately reflect actual gaps in revenue in compliance with the formula provided by the Treasury.

### **Promoting equitable outcomes**

*In this section, describe efforts to date and intended outcomes to promote equity. Each annual report to follow must provide an update, using qualitative and quantitative data, on how the recipients' approach achieved or promoted equitable outcomes or progressed against equity goals during the performance period.*

*Describe efforts to promote equitable outcomes, including how programs were designed with equity in mind. Include how your jurisdiction will consider and measure equity at the various stages of the program, including:*

- a. *Goals: Are there particular historically underserved, marginalized, or adversely affected groups that you intend to serve?*  
Lucas County is developing a strategy to deploy SLFRF funds in a manner that will provide a measurable benefit to communities of color and low to moderate-income Lucas County residents in conjunction with our Diversity and Inclusion Director.
- b. *Awareness: How equal and practical is the ability for residents or businesses to become aware of the services funded by the SLFRF?*  
The particular uses of SLFRF are not finalized. Lucas County is however committed to the development of an outreach strategy that includes a survey that is currently in development.
- c. *Access and Distribution: Are there differences in levels of access to benefits and services across groups? Are there administrative requirements that result in disparities in ability to complete applications or meet eligibility criteria?*  
In its process of SLFRF fund allocation, Lucas County is committed to equity in both access and impact and is actively engaging our Diversity and Inclusion Director to ensure that the access to and impacts of the funding reflect the needs of the diverse residents of the county.

- d. *Outcomes: Are intended outcomes focused on closing gaps, reaching universal levels of service, or disaggregating progress by race, ethnicity, and other equity dimensions where relevant for the policy objective?*

As stated in section c., in its process of SLFRF fund allocation, Lucas County is committed to equity in both access and impact of the funding in order to reflect the needs of the diverse residents of the county. We are in consultation with our Diversity and Inclusion Director to produce a process whereby barriers to participation resulting from race, ethnicity, gender, and income are effectively addressed.

*Describe how your jurisdiction's planned or current use of funds prioritizes economic and racial equity as a goal, names specific targets intended to produce meaningful equity results at scale, and articulates the strategies to achieve those targets. Explain how your jurisdiction's overall equity strategy translates into the specific services or programs offered by your jurisdiction in the following Expenditure Categories:*

- a. *Negative Economic Impacts (EC 2): assistance to households, small businesses, and non-profits to address impacts of the pandemic, which have been most severe among low-income populations. This includes assistance with food, housing, and other needs; employment programs for people with barriers to employment who faced negative economic impacts from the pandemic (such as residents of low-income neighborhoods, minorities, disconnected youth, the unemployed, formerly incarcerated people, veterans, and people with disabilities); and other strategies that provide disadvantaged groups with access to education, jobs, and opportunity.*

As stated in section c., in its process of SLFRF fund allocation, Lucas County is committed to equity in both access and impact of the funding to reflect the needs of the diverse residents of the county. We are in consultation with our Diversity and Inclusion Director to produce a process whereby barriers to participation resulting from race, ethnicity, gender, and income are effectively addressed.

- b. *Services to Disproportionately Impacted Communities (EC 3): services to address health disparities and the social determinants of health, build stronger neighborhoods and communities (e.g., affordable housing), address educational disparities (e.g., evidence-based tutoring, community schools, and academic, social-emotional, and mental health supports for high poverty schools), and promote healthy childhood environments (e.g., home visiting, child care).*

As stated in section c., in its process of SLFRF fund allocation, Lucas County is committed to equity in both access and impact of the funding to reflect the needs of the diverse residents of the county. We are in consultation with our Diversity and Inclusion Director to produce a process whereby barriers to participation resulting from race, ethnicity, gender, and income are effectively addressed.

*Describe your jurisdiction's efforts to date and intended outcomes to promote equity using qualitative and quantitative data on how the jurisdiction's approach achieved or promoted equitable outcomes or progressed against equity goals. Describe any constraints or challenges that impacted project success in terms of increasing equity.*

*Describe the geographic and demographic distribution of funding, including whether it is targeted toward traditionally marginalized communities.*

*See Section C(3) on pages 24 and 25 of the Reporting Guidance for additional information.*

As stated in section c., in its process of SLFRF fund allocation, Lucas County is committed to equity in both access and impact of the funding to reflect the needs of the diverse residents of the county. We are in consultation with our diversity and inclusion director to produce a process whereby barriers to participation resulting from race, ethnicity, gender, and income are effectively addressed.

### **Community Engagement**

*Describe how your jurisdiction's planned or current use of funds incorporates written, oral, and other forms of input that capture diverse feedback from constituents, community-based organizations, and the communities themselves. Where relevant, this description must include how funds will build the capacity of community organizations to serve people with significant barriers to services, including people of color, people with low incomes, limited English proficiency populations, and other traditionally underserved groups.*

*See Section C(4) on page 25 of the Reporting Guidance for additional information.*

Lucas County is currently in the process of developing an outreach strategy that prioritizes community engagement through a community survey. The survey will be promoted through earned and social media. We have also initiated discussions with the City of Toledo and stakeholders and partners throughout Lucas County to foster a transparent and inclusive process as the County determines the most impactful uses of SLFRF funds.

### **Labor Practices**

*Describe workforce practices on any infrastructure projects being pursued (EC 5). How are projects using strong labor standards to promote effective and efficient delivery of high-quality infrastructure projects while also supporting the economic recovery through strong employment opportunities for workers? For example, report whether any of the following practices are being utilized: project labor agreements, community benefits agreements, prevailing wage requirements, and local hiring.*

*See Section C(5) on page 25 of the Reporting Guidance for additional information.*

While Lucas County has not committed any funds to date for infrastructure projects, the county is dedicated to ensuring labor for projects funded through Lucas County meet a minimum threshold for labor fairness. In all instances where the law permits, therefore, contractors performing construction projects with dollars directed by Lucas County in an amount greater than \$100,000 must agree to a project labor agreement (PLA). The PLA helps to ensure that skilled tradespeople are employed on these projects, are compensated fairly, and enjoy safe worksites.

To ensure that Lucas County's commitment to fair labor practices are prioritized as we deploy SLFRF funding, we will engage the Toledo Lucas County Workforce Development Board, area workforce partners, businesses throughout the county, and the labor community to evaluate and implement the strategy to invest these dollars.

## Use of Evidence

*Identify whether SLFRF funds are being used for evidence-based interventions and/or if projects are being evaluated through rigorous program evaluations that are designed to build evidence. Specifically, in this section, recipients should describe their overall approach for using evidence and evaluation, including how a Learning Agenda (either narrowly focused on SLFRF or broadly focused on the recipient’s broader policy agenda) could support their overarching evaluation efforts in order to create an evidence-building strategy for their jurisdiction. However, detailed evidence information for each project should be included in the Project Inventory (see details in the Project Inventory section below).*

*See Section C(6) on page 26 of the Reporting Guidance for additional information.*

The Lucas County Board of Commissioners is prioritizing evidence-based criteria for all SLFRF-funded projects. Allocation of county-allocated SLFRF funds will measure not only outputs, but will predict outcomes as well to ensure that uses of funds:

- Make a measurable impact on the slowing of the COVID-19 virus in order to ensure that Lucas County makes a meaningful contribution to bringing the pandemic to an end
- Provide Lucas County residents and businesses with expanded opportunity to obtain employment and business growth
- Bring government staffing levels for those operations harmed by the pandemic to pre-pandemic levels so that County-delivered services continue to meet the needs and expectations of our residents

## Table of Expenses by Expenditure Category

*In this section, list the amount of funds used in each Expenditure Category. The table should include cumulative expenses to date within each category, and the additional amount spent within each category since the last annual Recovery Plan.*

*Jurisdictions may modify the table as needed by deleting unused rows where they have not expended any funds or by adding columns to more clearly characterize their program expenditures over time.*

*For the initial Recovery Plan, the amounts listed for “Cumulative expenditures to date” and “Amount spent since last Recovery Plan” will be equal.*

Category		Cumulative expenditures to date (\$)	Amount spent since last Recovery Plan
1	Expenditure Category: Public Health		
1.1	COVID-19 Vaccination	\$0.00	\$0.00
1.2	COVID-19 Testing	\$0.00	\$0.00
1.3	COVID-19 Contact Tracing	\$0.00	\$0.00
1.4	Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)	\$0.00	\$0.00
1.5	Personal Protective Equipment	\$0.00	\$0.00



Category		Cumulative expenditures to date (\$)	Amount spent since last Recovery Plan
1.6	Medical Expenses (including Alternative Care Facilities)	\$0.00	\$0.00
1.7	Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency	\$0.00	\$0.00
1.8	Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)	\$0.00	\$0.00
1.9	Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19	\$0.00	\$0.00
1.10	Mental Health Services	\$0.00	\$0.00
1.11	Substance Use Services	\$0.00	\$0.00
1.12	Other Public Health Services	\$0.00	\$0.00
2	Expenditure Category: Negative Economic Impacts		
2.1	Household Assistance: Food Programs	\$0.00	\$0.00
2.2	Household Assistance: Rent, Mortgage, and Utility Aid	\$0.00	\$0.00
2.3	Household Assistance: Cash Transfers	\$0.00	\$0.00
2.4	Household Assistance: Internet Access Programs	\$0.00	\$0.00
2.5	Household Assistance: Eviction Prevention	\$0.00	\$0.00
2.6	Unemployment Benefits or Cash Assistance to Unemployed Workers	\$0.00	\$0.00
2.7	Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives)	\$0.00	\$0.00
2.8	Contributions to UI Trust Funds*	\$0.00	\$0.00
2.9	Small Business Economic Assistance (General)	\$0.00	\$0.00
2.10	Aid to nonprofit organizations	\$0.00	\$0.00
2.11	Aid to Tourism, Travel, or Hospitality	\$0.00	\$0.00
2.12	Aid to Other Impacted Industries	\$0.00	\$0.00
2.13	Other Economic Support	\$0.00	\$0.00
2.14	Rehiring Public Sector Staff	\$0.00	\$0.00
3	Expenditure Category: Services to Disproportionately Impacted Communities		
3.1	Education Assistance: Early Learning	\$0.00	\$0.00
3.2	Education Assistance: Aid to High-Poverty Districts	\$0.00	\$0.00
3.3	Education Assistance: Academic Services	\$0.00	\$0.00
3.4	Education Assistance: Social, Emotional, and Mental Health Services	\$0.00	\$0.00
3.5	Education Assistance: Other	\$0.00	\$0.00

Category		Cumulative expenditures to date (\$)	Amount spent since last Recovery Plan
3.6	Healthy Childhood Environments: Child Care	\$0.00	\$0.00
3.7	Healthy Childhood Environments: Home Visiting	\$0.00	\$0.00
3.8	Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System	\$0.00	\$0.00
3.9.	Healthy Childhood Environments: Other	\$0.00	\$0.00
3.10	Housing Support: Affordable Housing	\$0.00	\$0.00
3.11	Housing Support: Services for Unhoused persons	\$0.00	\$0.00
3.12	Housing Support: Other Housing Assistance	\$0.00	\$0.00
3.13	Social Determinants of Health: Other	\$0.00	\$0.00
3.14	Social Determinants of Health: Community Health Workers or Benefits Navigators	\$0.00	\$0.00
3.15	Social Determinants of Health: Lead Remediation	\$0.00	\$0.00
3.16	Social Determinants of Health: Community Violence Interventions	\$0.00	\$0.00
4	<b>Expenditure Category: Premium Pay</b>		
4.1	Public Sector Employees	\$0.00	\$0.00
4.2	Private Sector: Grants to other employers	\$0.00	\$0.00
5	<b>Expenditure Category: Infrastructure</b>		
5.1	Clean Water: Centralized wastewater treatment	\$0.00	\$0.00
5.2	Clean Water: Centralized wastewater collection and conveyance	\$0.00	\$0.00
5.3	Clean Water: Decentralized wastewater	\$0.00	\$0.00
5.4	Clean Water: Combined sewer overflows	\$0.00	\$0.00
5.5	Clean Water: Other sewer infrastructure	\$0.00	\$0.00
5.6	Clean Water: Stormwater	\$0.00	\$0.00
5.7	Clean Water: Energy conservation	\$0.00	\$0.00
5.8	Clean Water: Water conservation	\$0.00	\$0.00
5.9	Clean Water: Nonpoint source	\$0.00	\$0.00
5.10	Drinking water: Treatment	\$0.00	\$0.00
5.11	Drinking water: Transmission & distribution	\$0.00	\$0.00
5.12	Drinking water: Transmission & distribution: lead remediation	\$0.00	\$0.00
5.13	Drinking water: Source	\$0.00	\$0.00
5.14	Drinking water: Storage	\$0.00	\$0.00
5.15	Drinking water: Other water infrastructure	\$0.00	\$0.00
5.16	Broadband: "Last Mile" projects	\$0.00	\$0.00
5.17	Broadband: Other projects	\$0.00	\$0.00
6	<b>Expenditure Category: Revenue Replacement</b>		

Category		Cumulative expenditures to date (\$)	Amount spent since last Recovery Plan
6.1	Provision of Government Services	\$0.00	\$0.00
7	Administrative and Other		
7.1	Administrative Expenses	\$0.00	\$0.00
7.2	Evaluation and data analysis	\$0.00	\$0.00
7.3	Transfers to Other Units of Government	\$0.00	\$0.00
7.4	Transfers to Nonentitlement Units (States and Territories only)	\$0.00	\$0.00

See Section C(7) on page 27 of the Reporting Guidance for additional information.

Project Inventory

In this section, jurisdictions should provide a description of each project undertaken. See Section C(8) on page 27 of the Reporting Guidance for additional information. Below is an example of how to present the information noted in the Reporting Guidance, which incorporates project details as well as evidence and performance measure information outlined in the relevant sections above. This information should be replicated for all of the jurisdiction’s projects.

**Example Project**

Project [Identification Number]: [Project Name]

Funding amount: [Funding amount]

Project Expenditure Category: [Category number, Category Name]

Project overview

- A description of the project that includes an overview of the main activities of the project, the approximate timeline, primary delivery mechanisms and partners, if applicable, and intended outcomes.
- Link to the website of the project if available
- How project contributes to addressing climate change (for infrastructure projects under EC 5)

Use of Evidence

- Briefly describe the goals of the project, and whether SLFRF funds are being used for evidence-based interventions, the evidence base for the interventions, and/or if projects are being evaluated through rigorous program evaluations that are designed to build evidence. If a recipient is conducting a program evaluation in lieu of reporting the amount of spending on evidence-based interventions, they must describe the evaluation design (see Reporting Guidance for additional details that should be included).
- Identify the dollar amount of the total project spending that is allocated towards evidence-based interventions for each project in the Public Health (EC 1), Negative

*Economic Impacts (EC 2), and Services to Disproportionately Impacted Communities (EC 3) Expenditure Categories.*

See Section C(6) on page 26 of the Reporting Guidance for additional information.

### **Additional Projects**

Project [Identification Number]: [Project Name]

Funding amount: [Funding amount]

Project Expenditure Category: [Category number, Category Name]

### Performance Report

- *For the Project Inventories in Section 8, include key performance indicators for your jurisdiction's major SLFRF funded projects. Report key performance indicators for each project, or group projects with substantially similar goals and the same outcome measures. Jurisdictions may choose to include some indicators for each individual project as well as crosscutting indicators. Include both output and outcome measures. See Section C(9) on page 27 of the Reporting Guidance for additional information.*
- *In addition, you must include the mandatory performance indicators if your jurisdiction has projects in the relevant areas (this information may be included in each recipient's Recovery Plan as they determine most appropriate). Provide data disaggregated by race, ethnicity, gender, income, and other relevant factors, if possible. Data should be presented in a table and each annual report should include updated data for the performance period as well as prior period data.*
  - a. *Household Assistance (EC 2.2 & 2.5) and Housing Support (EC 3.10-3.12):*
    - *Number of people or households receiving eviction prevention services (including legal representation)*
    - *Number of affordable housing units preserved or developed*
  - b. *Negative Economic Impacts (EC 2):*
    - *Number of workers enrolled in sectoral job training programs*
    - *Number of workers completing sectoral job training programs*
    - *Number of people participating in summer youth employment programs*
  - c. *Education Assistance (EC 3.1-3.5):*
    - *Number of students participating in evidence-based tutoring programs*
  - d. *Healthy Childhood Environments (EC 3.6-3.9):*
    - *Number of children served by childcare and early learning (pre-school/pre-K/ages 3- 5)*
    - *Number of families served by home visiting*

See Section C(10) on page 27 of the Reporting Guidance for additional information.

### Ineligible Activities: Tax Offset Provision (States and territories only)

*For the initial reporting year, States and territories will report the following items related to the Tax Offset Provision 31 CFR 35.8. Baseline revenue or revenue-increasing covered charges are not required at this time.*

Item	Amount
a. Revenue-reducing Covered Changes	\$

*See Section C(11) on page 28 of the Reporting Guidance for additional information.*

*Additional guidance will be forthcoming for reporting requirements regarding the tax offset provision.*