



City of Raleigh
Recovery Plan

**State and Local Fiscal Recovery
Funds**

2021 Report

Notes Regarding this Report

All States and territories, and metropolitan cities and counties with a population that exceeds 250,000 residents that are recipients of State and Local Fiscal Recovery Funds (SLFRF) awards are required to produce a Recovery Plan Performance Report (the “Recovery Plan”). The Recovery Plan provides information on the recipient’s projects and how they plan to ensure program outcomes are achieved in an effective and equitable manner. Each annual Recovery Plan must be posted on the public-facing website of the recipient by or on the same date that the recipient submits the report to Treasury.

The initial Recovery Plan will cover the period from the date of award to July 31, 2021 and must be submitted to Treasury by August 31, 2021. Thereafter, the Recovery Plan will cover a 12-month period and recipients will be required to submit the report to Treasury within 30 days after the end of the 12-month period (by July 31).

| Annual Report | Period Covered | Due Date |
|---------------|----------------------------------|-----------------|
| 1 | Award Date – July 31, 2021 | August 31, 2021 |
| 2 | July 1, 2021 – June 30, 2022 | July 31, 2022 |
| 3 | July 1, 2022 – June 30, 2023 | July 31, 2023 |
| 4 | July 1, 2023 – June 30, 2024 | July 31, 2024 |
| 5 | July 1, 2024 – June 30, 2025 | July 31, 2025 |
| 6 | July 1, 2025 – June 30, 2026 | July 31, 2026 |
| 7 | July 1, 2026 – December 31, 2026 | March 31, 2027 |

For More Information

More information about the State and Local Fiscal Recovery Fund program and associated reporting requirements are located at www.treasury.gov/SLFRP .

Questions on reporting, eligible uses, or other general topics should be directed to SLFRP@treasury.gov.

City of Raleigh
2021 Recovery Plan

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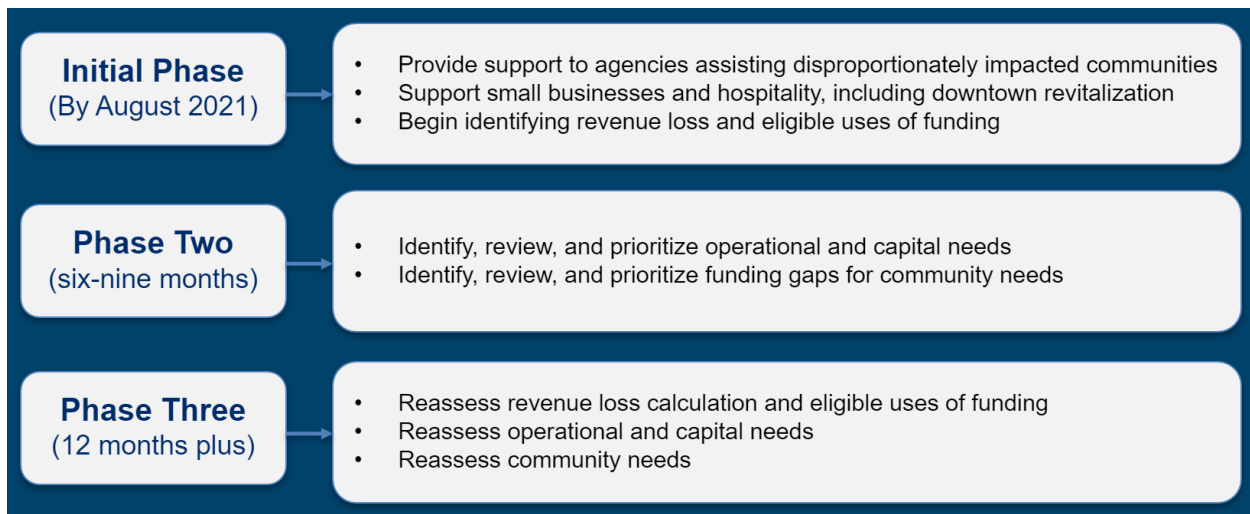
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GENERAL OVERVIEW

Executive Summary

The City of Raleigh received \$73.2M in American Rescue Plan Act funding. Funding will be implemented in three phases. A high-level look at those phases is included in the graphic below:



Internal City collaborations resulted in an extensive list of ideas for possible uses of the funds including some unique opportunities that, at the time of this report, are still in the research phase.

Uses of Funds

The City has proposed a three-phased approach to spending SLFRF:

Phase 1: The initial phase seeks to provide immediate relief to address negative impacts caused by the public health emergency.

The City of Raleigh is seeking to:

- Provide support to agencies assisting disproportionately impacted communities (Services to Disproportionately Impacted Communities - EC 3)
- Support small businesses and the hospitality industry, including downtown Raleigh revitalization (Negative Economic Impacts - EC 2)
- Begin calculating revenue loss and identify uses of funding

Initiatives that will be funded through Phase 1 include:

- Human Service Non-Profit Agency Support - Funding for Human Service agencies. SLFRF funds will be used to address equitable outcomes and provide direct services to low-income Raleigh residents who identify with one or more of the following targeted groups: elderly, youth, individuals with disability (physical or mental), individuals who have substances abuse concerns, or are homeless. These funds will be awarded to

Human Services agencies through a NOFA (Notice of Funding Availability) process to ensure equity.

- Hospitality Support - To support the hospitality industry and mitigate experienced and ongoing negative economic impacts, funding was recommended for built environment improvements at City Plaza located in downtown Raleigh to include lighting and sound system upgrades and shade structures. These investments will promote safe and physical distancing by allowing more events to occur in an outdoor public space.
- Downtown Raleigh Activation Grant - The City will establish a downtown activation grant process in partnership between the Downtown Raleigh Alliance (DRA) and the City's Office of Emergency Management and Special Events. This program will provide grant funding to Raleigh based organizations to host special events and activations that enhance the overall cultural environment and supports the City's ongoing economic prosperity. These funds will be awarded through a NOFA process to ensure equity.
- Downtown Economic Development Study - In partnership with the Downtown Raleigh Alliance (DRA), the City is funding an Economic Development Study to develop a retail strategy and explore ways to increase diversity and equity initiatives, and identify catalytic downtown economic opportunities.
- SLFRF Grant Staff Support - Two grants management positions (one in Budget and Management Services and one in Finance) were approved to oversee federal grant compliance reporting requirements, fiscal monitoring and oversight, and to assist in conducting community outreach and allocating SLFRF funding in an equitable way.

Phase 2: This phase seeks to align SLFRF funding with identified City Council and community goals. This phase will align future obligations of SLFRF funds with the City's FY23 budget process and seek to fund one-time eligible initiatives. These efforts will involve coordination with County and State partners to seek opportunities to leverage the City's SLFRF funds through partnerships.

Phase 3: This phase will reassess City Council and community needs as part of the City's FY24 budget process to ensure remaining SLFRF funding is fully appropriated by December 2024.

Other American Rescue Plan Act (ARPA) funding received by the City of Raleigh

The City of Raleigh received Emergency Rental Assistance funding through the American Rescue Plan (\$11.3 million) and the CARES Act (\$14.2 million). The City collaborated with Wake County's Emergency Rental Assistance allocation to make more than \$33 million in federal aid available to local renters who are behind on rent and/or utility bills. The House Wake! COVID-19 Financial Assistance Program provides monetary support to tenants, landlords and utility companies to cover portions of rent and/or utility shortfalls resulting from a loss of income due to the pandemic.

It is anticipated the City's Transportation (Transit) and Housing & Neighborhoods (Community & Economic Development) Departments will receive additional funding from the ARPA.

Promoting equitable outcomes

Human Service Agency Funding - This funding provides support to non-profit agencies assisting disproportionately impacted communities. A NOFA (Notice of Funding Availability) process will be conducted to ensure equity.

The funds will be used to address equitable outcomes and provide direct services to low-income Raleigh residents who identify with one of the targeted groups: elderly, youth, individuals with disability (physical or mental), individuals who have substance abuse concerns, and/or are homeless.

Target group definitions as defined by the City of Raleigh are:

Youth: Any person 19 years old or younger

Elderly: Any person 55 years old or older

Homeless: Any person who does not have a permanent residence; to include a battered spouse who is facing loss of his/her residence due to threat of bodily harm and any person who is facing eviction (e.g., having received notice to vacate property)

Disability: Any person who has a physical or mental impairment which a substantially limits one or more major life activities; has a record of such impairment; or is regarded as having such impairment. This definition is consistent with the definitions found in federal law regarding a disabled person. The definition excludes active alcoholism or drug addiction and conditions that are temporary in nature

Substance Users: Any person who uses alcohol and/or drugs to the point that it affects their ability to cope with themselves and creates problems for their family, neighbors, and community

Downtown Raleigh Activation (Special Event) Funding – These funds will be used to foster high quality, equitable events that are open to the public and will enhance downtown Raleigh’s overall cultural environment and strengthen the City’s economy. A NOFA process will be conducted to ensure equity.

Applicants must include the following information surrounding equity in their proposal:

- How will your proposed event promote equity and inclusivity for this event?
- Can you share strategies that you have considered that will allow all individuals of the community to have equitable access to the event?
- Will there be ADA accessible activities for the event?
- Ensure the event is open to the public

Community Engagement

The City is evaluating the use of a consultant, or develop specific strategies, to engage the community during Phase 2.

Labor Practices

The City of Raleigh has not appropriated any SLFRF for infrastructure projects as of the time of this performance report.

Use of Evidence

The City is not currently using SLFRF for evidence-based interventions.

Table of Expenses by Expenditure Category

The City has not incurred any expenditures of SLFRF as of July 31, 2021.

| Category | | Cumulative expenditures to date (\$) | Amount spent since last Recovery Plan |
|----------|---|--------------------------------------|---------------------------------------|
| 1 | Expenditure Category: Public Health | | |
| 1.1 | COVID-19 Vaccination | | |
| 1.2 | COVID-19 Testing | | |
| 1.3 | COVID-19 Contact Tracing | | |
| 1.4 | Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.) | | |
| 1.5 | Personal Protective Equipment | | |
| 1.6 | Medical Expenses (including Alternative Care Facilities) | | |
| 1.7 | Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency | | |
| 1.8 | Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine) | | |
| 1.9 | Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19 | | |
| 1.10 | Mental Health Services | | |
| 1.11 | Substance Use Services | | |
| 1.12 | Other Public Health Services | | |
| 2 | Expenditure Category: Negative Economic Impacts | | |
| 2.1 | Household Assistance: Food Programs | | |
| 2.2 | Household Assistance: Rent, Mortgage, and Utility Aid | | |
| 2.3 | Household Assistance: Cash Transfers | | |
| 2.4 | Household Assistance: Internet Access Programs | | |
| 2.5 | Household Assistance: Eviction Prevention | | |
| 2.6 | Unemployment Benefits or Cash Assistance to Unemployed Workers | | |
| 2.7 | Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives) | | |

| Category | | Cumulative expenditures to date (\$) | Amount spent since last Recovery Plan |
|----------|---|--------------------------------------|---------------------------------------|
| 2.8 | Contributions to UI Trust Funds* | | |
| 2.9 | Small Business Economic Assistance (General) | | |
| 2.10 | Aid to nonprofit organizations | | |
| 2.11 | Aid to Tourism, Travel, or Hospitality | | |
| 2.12 | Aid to Other Impacted Industries | | |
| 2.13 | Other Economic Support | | |
| 2.14 | Rehiring Public Sector Staff | | |
| 3 | Expenditure Category: Services to Disproportionately Impacted Communities | | |
| 3.1 | Education Assistance: Early Learning | | |
| 3.2 | Education Assistance: Aid to High-Poverty Districts | | |
| 3.3 | Education Assistance: Academic Services | | |
| 3.4 | Education Assistance: Social, Emotional, and Mental Health Services | | |
| 3.5 | Education Assistance: Other | | |
| 3.6 | Healthy Childhood Environments: Child Care | | |
| 3.7 | Healthy Childhood Environments: Home Visiting | | |
| 3.8 | Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System | | |
| 3.9. | Healthy Childhood Environments: Other | | |
| 3.10 | Housing Support: Affordable Housing | | |
| 3.11 | Housing Support: Services for Unhoused persons | | |
| 3.12 | Housing Support: Other Housing Assistance | | |
| 3.13 | Social Determinants of Health: Other | | |
| 3.14 | Social Determinants of Health: Community Health Workers or Benefits Navigators | | |
| 3.15 | Social Determinants of Health: Lead Remediation | | |
| 3.16 | Social Determinants of Health: Community Violence Interventions | | |
| 4 | Expenditure Category: Premium Pay | | |
| 4.1 | Public Sector Employees | | |
| 4.2 | Private Sector: Grants to other employers | | |
| 5 | Expenditure Category: Infrastructure | | |
| 5.1 | Clean Water: Centralized wastewater treatment | | |
| 5.2 | Clean Water: Centralized wastewater collection and conveyance | | |
| 5.3 | Clean Water: Decentralized wastewater | | |
| 5.4 | Clean Water: Combined sewer overflows | | |

| Category | | Cumulative expenditures to date (\$) | Amount spent since last Recovery Plan |
|----------|---|--------------------------------------|---------------------------------------|
| 5.5 | Clean Water: Other sewer infrastructure | | |
| 5.6 | Clean Water: Stormwater | | |
| 5.7 | Clean Water: Energy conservation | | |
| 5.8 | Clean Water: Water conservation | | |
| 5.9 | Clean Water: Nonpoint source | | |
| 5.10 | Drinking water: Treatment | | |
| 5.11 | Drinking water: Transmission & distribution | | |
| 5.12 | Drinking water: Transmission & distribution: lead remediation | | |
| 5.13 | Drinking water: Source | | |
| 5.14 | Drinking water: Storage | | |
| 5.15 | Drinking water: Other water infrastructure | | |
| 5.16 | Broadband: "Last Mile" projects | | |
| 5.17 | Broadband: Other projects | | |
| 6 | Expenditure Category: Revenue Replacement | | |
| 6.1 | Provision of Government Services | | |
| 7 | Administrative and Other | | |
| 7.1 | Administrative Expenses | | |
| 7.2 | Evaluation and data analysis | | |
| 7.3 | Transfers to Other Units of Government | | |
| 7.4 | Transfers to Nonentitlement Units (States and Territories only) | | |

Project Inventory

The City has not incurred any expenditures of SLFRF and does not have any inventory on hand from purchases as of July 31, 2021.

Performance Report

Project [Identification Number]: Human Service Non-Profit Agency Support

Funding amount: \$- (City has appropriated \$1,000,000)

Project Expenditure Category: 1.10; 1.11; 1.12

Project overview

- These funds will be used to address equitable outcomes and provide direct services to low-income Raleigh residents who identify with one of the targeted groups: elderly, youth, individuals with disability (physical or mental), individuals who use substances, and/or are homeless. These funds will be awarded through a NOFA (Notice of Funding Availability) process to ensure equity.

Performance Report

- Number of targeted participants served through financial assistance to non-profit agency.

Project [Identification Number]: Hospitality Support
Funding amount: \$- (City has appropriated \$400,000)
Project Expenditure Category: 2.11; 2.12

Project overview

- To support the hospitality industry and mitigate experienced negative economic impacts, funding was approved for built environment improvements at Downtown Raleigh City Plaza to include lighting and sound system upgrades and shade structures. These investments will promote safe and physical distancing by allowing more events in an outdoor public space.

Performance Report

- Number of events held at City Plaza

Project [Identification Number]: Downtown Raleigh Activation Grant
Funding amount: \$- (City has appropriated \$200,000)
Project Expenditure Category: 2.11; 2.12; 2.13

Project overview

- The City will establish a downtown Raleigh activation grant process in partnership between the Downtown Raleigh Alliance (DRA) and the City's Office of Emergency Management and Special Events. This program will provide grant funding to Raleigh based organizations to host special events and activations that enhance the overall cultural environment and supports the City's economic prosperity. These funds will be awarded through a NOFA process to ensure equity.

Performance Report

- Number of downtown activation events held through provided financial assistance

Project [Identification Number]: Downtown Economic Development Study
Funding amount: \$- (City has appropriated \$200,000)
Project Expenditure Category: 2.13

- In partnership with the Downtown Raleigh Alliance (DRA), the City is funding an Economic Development Study to develop a retail strategy and explore ways to increase diversity and equity initiatives and identify downtown catalytic economic opportunities.

Performance Report

- Number of implemented strategies identified in the report that advance diversity and equity initiatives

Project [Identification Number]: SLFRF Grant Staff Support

Funding amount: \$- (City has appropriated \$230,000)

Project Expenditure Category: 7.1

- Two grants management positions (one in Budget and Management Services and one in Finance) were approved to oversee federal grant compliance, fiscal monitoring and oversight reporting requirements and to assist in conducting community outreach and allocating SLFRF funding in an equitable way.

Performance Report

Not applicable