



County of San Luis Obispo  
**Recovery Plan**

**State and Local Fiscal Recovery Funds**  
2021 Report

**County of San Luis Obispo**  
**2021 Recovery Plan**

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## GENERAL OVERVIEW

### **Executive Summary**

For the period of award date through July 31, 2021, the primary focus of the County of San Luis Obispo's efforts with respect to its award of State and Local Fiscal Recovery Funds (SLFRF) under the American Rescue Plan Act of 2021 have been on developing an understanding of SLFRF regulations and eligible uses. The County has also focused on establishing transparent processes to ensure any decisions on the use of funds accomplish broad SLFRF objectives to:

- Support urgent COVID-19 response efforts to continue to decrease spread of the virus and bring the pandemic under control
- Replace lost revenue for eligible state, local, territorial, and Tribal governments to strengthen support for vital public services and help retain jobs
- Support immediate economic stabilization for households and businesses
- Address systemic public health and economic challenges that have contributed to the inequal impact of the pandemic

The County's processes to solicit recommendations for use of SLFRF funds have been designed to ensure transparent and open input from County staff subject matter experts, local community-based organizations, and constituents. As of this report date, proposals are being solicited from community-based organizations and County staff and will ultimately be presented for evaluation and approval by the County Board of Supervisors.

### **Uses of Funds**

As of July 31, 2021, the County Board of Supervisors has adopted its intent to direct its \$55M SLFRF allocation across the following broad Expenditure Categories. Specific uses within the detailed Expenditure Categories are currently being evaluated.

| <b>County Defined Use Category</b>            | <b>Dollar Allocation</b> | <b>Treasury Expenditure Category</b>  |
|---|--------------------------|---|
| "Public Health and Negative Economic Impacts" | \$30M                    | <ul style="list-style-type: none"><li>• EC1 - Public Health</li><li>• EC2 - Negative Economic Impacts</li><li>• EC3 - Services to Disproportionally Impacted Communities</li><li>• EC7 - Administrative</li></ul> |
| "Water, Sewer, Broadband"                     | \$15M                    | <ul style="list-style-type: none"><li>• EC5 - Infrastructure</li></ul>  |
| "Restoration of Government Services"          | \$10M                    | <ul style="list-style-type: none"><li>• EC6 - Revenue Replacement</li></ul>   |
| <b>Total Allocation</b>                       | <b>\$55M</b>             |   |

## **Promoting Equitable Outcomes**

As of July 31, 2021, the County is soliciting requests to provide SLFRF funding support to community-based organizations and County departments. In evaluating requests, the County will consider both specific SLFRF eligibility requirements as well as overall goals of promoting equitable outcomes and reaching underserved groups.

Towards the goals of transparency, access, and equity, the County has formed Advisory Committees made up of community members in the areas of **Housing/Homelessness** and **Business/Non-Profits/Childcare**. The Advisory Committees are formulating funding request processes and award ranking criteria in their subject matter areas. The Advisory Committees will evaluate and rank requests from the community at large, which will ultimately be presented for approval to the County Board of Supervisors in public meetings.

The County believes these processes will maximize access to benefits from SLFRF-funded programs across the entire community. Requests for funding require applicants to describe goals and measurable outcomes and identify ongoing performance measures capable of demonstrating achievement of goals.

As part of its request for funding procedures, the County has directed applicants to consider specific objectives identified in the Treasury Interim Final Rule, including goals of equity. In evaluating requests for funding the County will prioritize requests that best meet stated objectives of the Treasury Interim Final Rule. The County expects to receive funding requests from both its Housing/Homelessness and Business/Non-Profits/Childcare Advisory Committees which address areas identified in the Negative Economic Impacts (EC2) and Services to Disproportionally Impacted Communities (EC3) Expenditure Categories. Requests are required to identify goals, measurable outcomes and performance measures for ongoing assessment of progress and reporting to the Department of Treasury.

## **Community Engagement**

In order to reach the broad community, the County has begun processes for soliciting requests for SLFRF funding utilizing direct outreach to Community Based Organizations. The County intends to emphasize requests that leverage other program funding sources, and/or build the capacity of community organizations to serve people with historic barriers to receiving services.

## **Labor Practices**

SLO County completes several Federally funded contracts per year. The Federal contracts contain Federal Davis-Bacon Act prevailing wage requirements, which include Disadvantage Business Enterprise (DBE) programs; prevailing wage payment oversight, review and verification; apprenticeship or trainee programs; and subcontractor designation requirements to promote fair bidding. The majority of Federally funded projects are highway infrastructure, with Caltrans review and oversight. Staff also receive formal training from Caltrans in contract administration and prevailing wage compliance. Locally funded contracts include prevailing wage requirements under CA law, with oversight by the CA Department of Industrial Relations. As a result, County construction management staff and consultants hired by the County are experienced with administering prevailing wage requirements and providing oversight of these contracts.

## Use of Evidence

The County will determine the extent to which funds are used for evidence-based interventions as it evaluates and finalizes decisions on SLFRF funded programs and projects.

**Table of Expenses by Expenditure Category - No SLFRF funds have been expended as of July 31, 2021**

| Category |   | Cumulative expenditures to date (\$) | Amount spent since last Recovery Plan |
|----------|---|--------------------------------------|---------------------------------------|
| 1        | Expenditure Category: Public Health   |                                      |                                       |
| 1.1      | COVID-19 Vaccination  | -                                    | -                                     |
| 1.2      | COVID-19 Testing  | -                                    | -                                     |
| 1.3      | COVID-19 Contact Tracing  | -                                    | -                                     |
| 1.4      | Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)                       | -                                    | -                                     |
| 1.5      | Personal Protective Equipment   | -                                    | -                                     |
| 1.6      | Medical Expenses (including Alternative Care Facilities)  | -                                    | -                                     |
| 1.7      | Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency | -                                    | -                                     |
| 1.8      | Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)                     | -                                    | -                                     |
| 1.9      | Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19                           | -                                    | -                                     |
| 1.10     | Mental Health Services  | -                                    | -                                     |
| 1.11     | Substance Use Services  | -                                    | -                                     |
| 1.12     | Other Public Health Services  | -                                    | -                                     |
| 2        | Expenditure Category: Negative Economic Impacts   |                                      |                                       |
| 2.1      | Household Assistance: Food Programs   | -                                    | -                                     |
| 2.2      | Household Assistance: Rent, Mortgage, and Utility Aid   | -                                    | -                                     |
| 2.3      | Household Assistance: Cash Transfers  | -                                    | -                                     |
| 2.4      | Household Assistance: Internet Access Programs  | -                                    | -                                     |
| 2.5      | Household Assistance: Eviction Prevention   | -                                    | -                                     |
| 2.6      | Unemployment Benefits or Cash Assistance to Unemployed Workers  | -                                    | -                                     |
| 2.7      | Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives)         | -                                    | -                                     |

| Category |   | Cumulative expenditures to date (\$) | Amount spent since last Recovery Plan |
|----------|---|--------------------------------------|---------------------------------------|
| 2.8      | Contributions to UI Trust Funds*  | -                                    | -                                     |
| 2.9      | Small Business Economic Assistance (General)  | -                                    | -                                     |
| 2.10     | Aid to nonprofit organizations  | -                                    | -                                     |
| 2.11     | Aid to Tourism, Travel, or Hospitality  | -                                    | -                                     |
| 2.12     | Aid to Other Impacted Industries  | -                                    | -                                     |
| 2.13     | Other Economic Support  | -                                    | -                                     |
| 2.14     | Rehiring Public Sector Staff  | -                                    | -                                     |
| 3        | Expenditure Category: Services to Disproportionately Impacted Communities                             |                                      |                                       |
| 3.1      | Education Assistance: Early Learning  | -                                    | -                                     |
| 3.2      | Education Assistance: Aid to High-Poverty Districts   | -                                    | -                                     |
| 3.3      | Education Assistance: Academic Services   | -                                    | -                                     |
| 3.4      | Education Assistance: Social, Emotional, and Mental Health Services                                   | -                                    | -                                     |
| 3.5      | Education Assistance: Other   | -                                    | -                                     |
| 3.6      | Healthy Childhood Environments: Child Care  | -                                    | -                                     |
| 3.7      | Healthy Childhood Environments: Home Visiting   | -                                    | -                                     |
| 3.8      | Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System | -                                    | -                                     |
| 3.9.     | Healthy Childhood Environments: Other   | -                                    | -                                     |
| 3.10     | Housing Support: Affordable Housing   | -                                    | -                                     |
| 3.11     | Housing Support: Services for Unhoused persons  | -                                    | -                                     |
| 3.12     | Housing Support: Other Housing Assistance   | -                                    | -                                     |
| 3.13     | Social Determinants of Health: Other  | -                                    | -                                     |
| 3.14     | Social Determinants of Health: Community Health Workers or Benefits Navigators                        | -                                    | -                                     |
| 3.15     | Social Determinants of Health: Lead Remediation   | -                                    | -                                     |
| 3.16     | Social Determinants of Health: Community Violence Interventions                                       | -                                    | -                                     |
| 4        | Expenditure Category: Premium Pay   |                                      |                                       |
| 4.1      | Public Sector Employees   | -                                    | -                                     |
| 4.2      | Private Sector: Grants to other employers   | -                                    | -                                     |
| 5        | Expenditure Category: Infrastructure  |                                      |                                       |
| 5.1      | Clean Water: Centralized wastewater treatment   | -                                    | -                                     |
| 5.2      | Clean Water: Centralized wastewater collection and conveyance   | -                                    | -                                     |
| 5.3      | Clean Water: Decentralized wastewater   | -                                    | -                                     |
| 5.4      | Clean Water: Combined sewer overflows   | -                                    | -                                     |

| Category |   | Cumulative expenditures to date (\$) | Amount spent since last Recovery Plan |
|----------|---|--------------------------------------|---------------------------------------|
| 5.5      | Clean Water: Other sewer infrastructure                         | -                                    | -                                     |
| 5.6      | Clean Water: Stormwater   | -                                    | -                                     |
| 5.7      | Clean Water: Energy conservation                                | -                                    | -                                     |
| 5.8      | Clean Water: Water conservation                                 | -                                    | -                                     |
| 5.9      | Clean Water: Nonpoint source                                    | -                                    | -                                     |
| 5.10     | Drinking water: Treatment                                       | -                                    | -                                     |
| 5.11     | Drinking water: Transmission & distribution                     | -                                    | -                                     |
| 5.12     | Drinking water: Transmission & distribution: lead remediation   | -                                    | -                                     |
| 5.13     | Drinking water: Source  | -                                    | -                                     |
| 5.14     | Drinking water: Storage   | -                                    | -                                     |
| 5.15     | Drinking water: Other water infrastructure                      | -                                    | -                                     |
| 5.16     | Broadband: "Last Mile" projects                                 | -                                    | -                                     |
| 5.17     | Broadband: Other projects                                       | -                                    | -                                     |
| 6        | Expenditure Category: Revenue Replacement                       |                                      |                                       |
| 6.1      | Provision of Government Services                                | -                                    | -                                     |
| 7        | Administrative and Other  |                                      |                                       |
| 7.1      | Administrative Expenses   | -                                    | -                                     |
| 7.2      | Evaluation and data analysis                                    | -                                    | -                                     |
| 7.3      | Transfers to Other Units of Government                          | -                                    | -                                     |
| 7.4      | Transfers to Nonentitlement Units (States and Territories only) | -                                    | -                                     |

**PROJECT INVENTORY**

**Specific Project Information**

As of July 31, 2021, the County is soliciting requests to provide SLFRF funding support to community-based organizations and County departments and will report on specific projects in future reporting.

**Performance Reporting**

As of July 31, 2021, the County is soliciting requests to provide SLFRF funding support to community-based organizations and County departments and will report on key performance indicators for its major projects in future reporting.