

U.S. DEPARTMENT OF THE TREASURY

Treasury Award Redirect Portal

Completing an Award Redirect Submission

https://portal.treasury.gov/cares/s/slt

Table of Contents

ID.me: Page 3

Transaction Types Overview: Pages 4-5

Accessing and Creating an Award Redirect Submission: Pages 6-8

Submission for NEU Transfers to State: Pages 9-18

Submission for the 75% Budget Cap Return: Pages 19-22

Submission for Action Not Taken NEUs: 23-25

Submission for NEU Termination: Pages 26-28

Submission for Miscellaneous Return: Pages 29-32



Treasury is leveraging ID.me for secure digital identity verification.

All personally identifiable information provided to ID.me is encrypted and disclosed only with the express consent of the user.

Account creation on the first visit only, and takes approximately 15 minutes. All previous submitters can log in with their previously established ID.me account.

For support: <u>https://help.id.me</u>



Sign in to ID.me

New to ID.me?

Create an ID.me account

Email

Enter your email

Password

Enter your password

Sign in to ID.me

Forgot password

Transaction Types Overview

NEU Transfer to State

75% Budget Cap Return

Action Not Taken (No Subsequent Distribution)

NEU Termination

Misc Return

Overview of Transaction Types

\$	NEU Transfer to State	To be used for NEUs who declined funding and are electing to transfer funds to the State under Section 603(C)(4).
	75% Budget Cap Returns	To be used for returns in excess of the NEU's reference budget to Treasury
	Action Not Taken NEUs	To be used for NEUs considered "non-responsive" after the NEU has remained unresponsive for at least 60 days after the state began accepting and processing request for funding from NEUs
Â	NEU Termination	To be used for NEUs that initially accepted an SLFRF award, received funds, and later decided to decline the award prior to the end of the established period of performance (POP).
77 66	Misc Return	A miscellaneous return transaction type to be used to account for erroneous NEU distributions. <i>This transaction type is subject to discussion with Treasury prior to submission.</i>

Accessing and Creating an Award Redirect Submission

Once in the Portal, select "Go to your Submission" at the bottom of the screen.

State, Local and Tribal Support Welcome	Welcome to the Treasury Programs supporting State, Territory, Tribal, and Local Government as part of the 2021 American Rescue Plan. State and Local Fiscal Recovery Funds (SLFRF) \$350 billion available for state, territory, Tribal, and local governments to support the public health response and lay the foundation for a strong and equitable economic recovery.
Introduction	Emergency Rental Assistance (ERA) \$21.6 billion available for state, territory, and local governments to assist households that are unable to pay rent and utilities.
Submissions	Homeowner Assistance Fund (HAF)
Compliance Reports	Nearly \$10 billion available for state, territory, and Tribal governments to provide relief for our country's most vulnerable homeowners.
	Capital Projects Fund (CPF)

\$10 billion available for state, territory, freely associated state, and Tribal governments for capital projects designed to jointly and directly enable work, education, and health monitoring, which includes the provision and improvement of broadband infrastructure where it is lacking.

Submission Process

Depending on if you are a state, territory, local, or Tribal government, you will be eligible for different programs.

You now have a login and 24/7 access to this portal. You have two options while working on the submission/s – save your progress or submit the submission. If you save, you can return and edit information as needed. To resume working on a draft submission, click on "Submissions" using the navigation to the left of the page. This will bring you to your list of submissions, click "Edit" Button to continue working. Once you submit and certify the agreement, you cannot edit your information for that particular program without reaching out to Treasury to re-open the case and edit.

Ready to get started? Click "Go To Your Submissions" below.

Go To Your Submissions

On the following screen, all the submissions for your entity will be viewable. Please note that at this time, you are submitting award redirects on behalf of your state's NEUs under SLFRF.

Accessing and Creating an Award Redirect Submission

On the submissions page is a listing of all pending and completed submissions for your entity. Scroll past previous submissions until you reach "SLFRF Award Redirect Submissions".



Select "New Request" and in the pop-up window, click on the transaction type you would like to create.

You can select between the payment tranche, as well as the transaction type. After the transaction type is selected, click on "Create" at the bottom of the window.

	Select a Transaction Type	×
Select Payment Tranche First Tranche Second Tranche	Select a Transaction Type	
4		Cancel



Accessing and Creating an Award Redirect Submission

Once you've created the award redirect request, it will be assigned a "Request ID". Click the pencil icon next to the award redirect to enter and complete the new submission.



Note: The NEU column will populate later in the process once an individual NEU entity is selected on the "Local Information" tab.

For NEU transfers to the State:

Instructions

which such recipient is located.

Treasury COVID-19 Relief Hub

Help
 Record details
 Status
 Draft
 Request ID
 AR-1608
 Payment Type
 First Tranche
 Transaction Type
 NEU Transfer to State

Instructions State Information

State, Local and

Tribal Support

Award Redirect

 \equiv

m

Local Information

Request for Award Redirect In order for this transfer to be reflected in Treasury's records, this submission must be certified by the authorized representatives for both the State and the NEU.

Section 603(b) of the Social Security Act (the Act), as added by section 9901 of the American Rescue

(Treasury) to make payments from the Coronavirus Local Fiscal Recovery Fund (SLFRF) to states for

Section 603(c)(4) of the Act permits NEUs to transfer funds received from the SLFRF to the State in

Plan Act (ARPA), Pub. L. No. 117-2 (March 11, 2021), authorizes the Department of the Treasury

distribution to nonentitlement units of local government (NEUs).

Submission

The amount of the transfer will need to be returned to Treasury, and the amount will be re-issued from Treasury to the State. The State should not use or plan to use redirected funds until the amount of such funds has been re-issued from Treasury to the State.

Upon receipt of the submission, Treasury will provide further guidance for completing the transfer via an email communication to the State's authorized representative. Treasury will not be able to complete the transfer until the State has submitted its Coronavirus State Fiscal Recovery Fund (SLFRF) certification to Treasury as required by section 602(d) of the Act.

After reviewing the user instructions, select the "State Information" tab to proceed or "Next" at the bottom of the page.

≡

Instructions

	State Information				
State, Local and Tribal Support Award Redirect	Please verify that you are an aut profile.	horized user of the prime recip	pient and confirm the accuracy o	f your organization's program	
	Recipient Information				
Instructions					
State Information	UEIO	123456789000	Address	PO Box 331	
Local Information	TINO	987654321	Address 20	111	
Request for Award Redirect	Legal Entity Name	Client Side Testing	Address 3	111	
Submission	Турео	State or Territory	City●	Kotzebue	
	FAIN	54321	State/Territory	АК	
	CFDA No.	12345	Zip5o	99752	
Verify you	r recipient inforn	nation is	Zip+4	2222	
corre	ct. It will already	he	Reporting Tier •	Tier 1. States, U.S.	
conc				territories, metropolitan	
prepopu	lated from your	SLFRF		cities and counties with a population that exceeds	

prepop reporting submissions. Select "Next" at the bottom of the page when done.

Back



250,000 residents

> Help ✓ Record Details Status Draft Request ID AR-0648 Payment Type Second Tranche Transaction Type NEU Transfer to State


Back

R

11

Next

> Help
✓ Record Details
Status Draft Request ID AR-0648
Payment Type Second Tranche Transaction Type NEU Transfer to State

Local Information î State, Local and * Please select the NEU you are transfering from and verify information. Tribal Support Award Redirect NEU Test 2 Ŧ Instructions **Recipient Information** State Information UEIO SDF234SDF133 Address Street Address 2 Local Information Ste 123 TINO 847289275 Address 20 Request for Award Redirect Legal Entity Name Address 30 NEU Test 2 n/a Submission Fairfax NEU Cityo Type o FAINO 54321 State/Territory® ΑZ 23233 CFDA No. 12345 Zip50 Zip+40 2342 Reporting Tier 0 NEU Back Next

Once an NEU is selected, the recipient information will populate. Please verify this information is accurate. Click on "Next" at the bottom the page to continue.



If "yes" was answered to the previous question:

Local Information	For an NEU that has received or requested a First tranche SLFRF payment from their State – please complete questions below	Final Allocation
Request for Award Redirect Certification	First Tranche Request Amount	Amount will be
	The NEU may elect to redirect a portion or the full amount of their SLFRF allocation to the State government, and as a result the amount of the tranche allocation it would have received will be reduced or eliminated. <u>Allocations available for transfer to the State are capped by the "75 percent budget cap" in line with Treasury's Guidance on Distribution of Funds to Non-</u>	Enter the
	 *Final Allocation Amount (after application of the 75 percent budget cap): \$750,000.00 	allocation to be redirected
	*Amount of Allocation to be Redirected to the State \$750,000.00 1% Percentage of Amount Transferred 100%	This graphic will update
	100%	amounts are entered. Then

If "no" was answered to the previous question:

For an NEU that has not yet received or requested a First tranche SLFRF payment from their State – Local Information **Final** please complete questions below Allocation Request for Award Redirect Amount will be **First Tranche Request Amount** Certification prepopulated The NEU may elect to redirect a portion or the full amount of their SLFRF allocation to the State government, and as a result the amount of the tranche allocation it would have received will be Enter the reduced or eliminated. Allocations available for transfer to the State are capped by the "75 amount of the percent budget cap" in line with Treasury's Guidance on Distribution of Funds to Non-Entitlement Units of Local Government. allocation to be redirected *Final Allocation Amount (after application of the 75 percent budget cap): \$750.000.00 This graphic *Amount of Allocation to be Redirected to the State 0 will update \$750.000.00 once both Percentage of Amount Transferred 100% 1% amounts are 100% entered. Then click "Save". Save



35%

Back



Once you have confirmed the amounts under the Summary section, click on "Next" to continue.

Help Record Details

Status

Draft

Back

Request ID

Payment Type

Second Tranche

Transaction Type

NEU Transfer to State

AR-0648

17

Click here to certify manua

State, Local and Tribal Support ward Redirect

Instructions

State Information

Local Information

Request for Award Redirect

Certification

Submission

The SLFRF recipient acknowledges that SLFRF funds must be obligated by December 31, 2024 and expended by December 31. 2026.

The authorized representatives of the recipient local government and State hereby certify to Treasury that the information provided is true and correct.

In addition, the authorized representative of the State represents that the State accepts the transfer from the local government such that the State will be responsible as the prime recipient for the use and reporting of any funds transferred by the local government. Such funds will be subject to the SLFRF Award Terms and Conditions previously accepted by the State in connection with its payment from the SLFRF.

Verification

I certify that the information provided is accurate and complete after reasonable inquiry of people, systems, and other information available to the SLFRF Recipient. The undersigned acknowledges that a materially false, fictitious, fraudulent statement or representation (or concealment or omission of a material fact) in this submission may be the subject of criminal prosecution under the False Statements Accountability Act of 1996, as amended, 18 U.S.C. § 1001, and also may subject me and the SLFRF Recipient to civil penalties, damages, and administrative remedies for false claims or otherwise (including under to 31 U.S.C. §§ 3729 et seq.). I certify that I have authority to make certifications and representations on behalf of the SLFRF Recipient.



Please note that Authorized **Representatives (AR) for both the State** and NEU must sign the certification. Please ensure the contact information appears correctly here and is typed out correctly in the text boxes.

The designated Authorized Representatives must complete and sign the certification regarding economic conditions and the terms and conditions agreement.

The documents will be emailed directly to the Authorized Representatives with instructions to electronically sign and submit the document with DocuSign.

When the Authorized Representative signs the certification regarding economic conditions and award terms and conditions agreement, the designated contact person will receive an email notification that the submission has been received and Treasury will begin the submission review process.

You may select to certify with DocuSign, or alternatively, the manual option. If submitting with DocuSign, the State AR will receive the certification agreement first, followed by the NEU AR.

By Submitting below, I affirm that all information provided is complete and accurate. Submit with DocuSign

Treasury Submission Portal Walkthrough

Note the submission status changes to "Submitted" and will now enter the verification process.

 _	
$^{\sim}$	Treasury COVID-19 Relief Hub

	-	

	Instructions	> Help
State, Local and Tribal Support Award Redirect	Section 603(b) of the Social Security Act (the Act), as added by section 9901 of the American Rescue Plan Act (ARPA), Pub. L. No. 117-2 (March 11, 2021), authorizes the Department of the Treasury (Treasury) to make payments from the Coronavirus Local Fiscal Recovery Fund (SLFRF) to states and territories for distribution to nonentitlement units of local government (NEUs).	 Record Details Status Submitted Request ID AR-0648
Instructions	Section 603(c)(4) of the Act permits NEUs to transfer funds received from the SLFRF to the State in which such recipient is located.	Payment Type
State Information	In order for this transfer to be reflected in Treasury's records, this submission must be certified by the authorized representatives	Transaction Type
Local Information	for both the State and the NEU.	NEU Transfer to State
Request for Award Redirect	The amount of the transfer will need to be returned to Treasury, and the amount will be re-issued from Treasury to the State. The State should not use or plan to use redirected funds until the amount of such funds has been re-issued from Treasury to the State.	
Certification	Upon receipt of the submission, Treasury will provide further guidance for completing the transfer via an email communication to the State's authorized representative. Treasury will not be able to complete the transfer until the State has submitted its Coronavirus State Fiscal Recovery Fund (SLFRF) certification to Treasury as required by section 602(d) of the Act.	

If any errors are identified during the verification process, the designated point of contact for the State will be contacted via email to correct the information before the payment can proceed. Once verification is complete, the designated point of contact of the eligible government will receive an email notifying them that their submission has been verified.

Payments will still be processed on a quarterly basis and funds are subject to processing times of the financial institution.

For 75% Budget Cap returns:

= 🤇		Treasury	COVID-19	Relief	Hub
-----	--	----------	----------	--------	-----

Instructions	> Help
Section 603(b)(2)(C)(iii) provides that each non-entitlement unit of local government (NEU)'s total award (i.e., the total of distributions under both the first and second tranche) is capped at 75 percent of its total annual budget, including both operating and capital expenditure budgets.	 Record Details Status Draft Request ID AR-0646
In order for the budget cap return to be reflected in Treasury's records, this submission must be initiated and completed by the designated submitter for the State.	Payment Type Second Tranche
Upon receipt of the submission, Treasury will provide further guidance for completing the return via an email communication to the State's designated submitter. Treasury will not be able to accept 75 percent budget cap return funds until the State has completed the submission to Treasury.	Iransaction Type 75% Budget Cap Return

Please note that the "Transaction Type" reflects the option you previously made in the drop down menu. After reviewing the user instructions, click "Next" at the bottom of the page to proceed to the "State Information" and "Local Information" tabs.

Î

State, Local and

Tribal Support

Award Redirect

Instructions

State Information

Local Information

Submission



After confirming all the state information is correct, select the NEU on the "Local Information" tab. The NEU's information will then populate with the information previously provided to Treasury.

Additional Recipient Information

NEU Recipient Name	NEU Test 2 (UAT)	NEU Recipient Number	56233
Total NEU Budget Reference	\$1,000,000.00	NEU 75% Budget Cap	\$750,000.00
Total Allocation on First and Second Tranches	\$1,000,000.00	Final Allocation Across 1st and 2nd Tranches after application of the 75 percent budget cap	\$1,000,000.00
Excess Funds over the 75% Budget Cap	\$0.00	Returns back to Treasury	\$0.00

Back

Next

Once the NEU is selected, previously reported data will appear. This information should be reviewed for accuracy. No fields will be fillable at this time.

Submission > Help Record details The authorized representative of the state hereby affirms to Treasury that the information provided is State, Local and Status Tribal Support true and correct. Draft Award Redirect Request ID In addition, the authorized representative of the state represents that the state will be responsible to AR-1621 return the amount of the allocation in excess of the NEU's reference budget to Treasury. Payment Type Instructions Second Tranche Transaction Type State Information 75% Budget Cap Return Verification Local Information Name of Current User Submission Date: Name: Apr 3, 2023 Title: Email: UAT Testing \mathbb{N}

By submitting below, I affirm that the information provided is accurate and complete. I affirm that I have authority to complete this submission on behalf of the SLFRF recipient.

> There is no certification needed for this transaction type. Select "Submit" to complete the request.

Submit

Back

Submission

For Action Not Taken NEUs:

Treasury COVID-19 Relief Hub ≡ Instructions Ê State, Local and Section 603(b) of the Social Security Act (the Act), as added by section 9901 of the American Rescue Tribal Support Plan Act (ARPA), Pub. L. No. 117-2 (March 11, 2021), authorizes the Department of the Treasury Award Redirect (Treasury) to make payments from the Coronavirus Local Fiscal Recovery Fund (SLFRF) to states for distribution to nonentitlement units of local government (NEUs). Instructions As outlined in the guidance, an NEU may be treated as "non-responsive" after the state has made State Information reasonable efforts to contact an NEU that remains unresponsive for at least 60 days after the state begins accepting and processing requests for funding from NEUs. The state may then return the Local Information unclaimed funding back to Treasury, if no subsequent distribution was made. Submission

In order for this return to be reflected in Treasury's records, this submission must be initiated and completed by the designated submitter for the state.

Upon receipt of the submission, Treasury will provide further guidance for completing the return of funds via an email communication to the state's designated submitter. Treasury will not be able to accept any return of funds until the state has completed the submission to Treasury. Please do not initiate the return of funds until you have received confirmation from Treasury to proceed. Funds received prior to Treasury's review and confirmation will be returned to the recipient.

Next

After reviewing the user instructions, select the "State Information" tab to proceed or "Next" at the bottom of the page.

Help Record details Status Draft Request ID AR-1606 Payment Type First Tranche Transaction Type Action Not Taken NEUs



Submission

State, Local and
Tribal Support
Award Redirect

Instructions

Ê

State Information

Local Information

Submission

Name of Current User

Name:

Verification

Title: UAT Testing

The authorized representative of the state hereby affirms to Treasury that the information is true and correct.

In addition, the authorized representative of the state represents that the State will be responsible for the return of funds back to Treasury.

Submission Date: Apr 3, 2023

> Email: ⊠

By submitting below, I affirm that the information provided is accurate and complete. I affirm that I have authority to complete this submission on behalf of the SLFRF recipient.

Submit

Back

There is no certification needed for this transaction type. Select "Submit" to complete the request.



For NEU Termination Returns:

Treasury COVID-19 Relief Hub

 \equiv

State, Local and Tribal Support Award Redirect

Instructions

Section 603(b) of the Social Security Act (the Act), as added by section 9901 of the American Rescue Plan Act (ARPA), Pub. L. No. 117-2 (March 11, 2021), authorizes the Department of the Treasury (Treasury) to make payments from the Coronavirus Local Fiscal Recovery Fund (SLFRF) to states for distribution to nonentitlement units of local government (NEUs).

State Information

Instructions

Local Information

Submission

As distributions were made, states encountered NEUs that were dissolving or elected to decline funds after initially accepting their allocation.

In order for this return to be reflected in Treasury's records, this submission must be initiated and completed by the designated submitter for the state. The amount of the return will need to be returned from the NEU to the state, and the amount returned from the state to Treasury.

Upon receipt of the submission, Treasury will provide further guidance for completing the return of funds via an email communication to the State's designated submitter. Treasury will not be able to accept any return of funds until the State has completed the submission to Treasury. Please do not initiate the return of funds until you have received confirmation from Treasury to proceed. Funds received prior to Treasury's review and confirmation will be returned to the recipient.



After reviewing the user instructions, select the "State Information" tab to proceed or "Next" at the bottom of the page.





	Submission			
State, Local and Tribal Support Award Redirect	The authorized representatives of the recipient local government and state hereby affirms to Treasury that the information provided is true and correct.			
	In addition, the authorized representative of the state represent	s that the State will be responsible for		
Instructions	the return of funds back to Treasury.			
State Information				
Local Information	Verification			
Submission	Name of Current User			
	Name:	Submission Date: Apr 3, 2023		
	Title:	Email:		
	UAT Testing	2		
	NEU Information			
	Local Authorized Representative:	Local Authorized Representative Email:		
		*		
	By submitting below, I affirm that the information provided is accurate and complete. I affirm that I have authority to complete the submission on behalf of the SLFRF recipient.			
	Back			

> Help > Record details Status Draft Request ID AR-1622 Payment Type Second Tranche Transaction Type NEU Termination

> Enter the name and email of the Authorized Representative for the NEU so they may receive a notification that this was completed on their behalf.

Select "Submit" to complete the request.

For Miscellaneous Returns:

Please note: States should not use this transaction type unless told explicitly by Treasury.

= 🔼 Tre	asury COVID-19 Relief Hub	+ 😩
	Instructions	> Help
State, Local and Tribal Support	Section 603(b) of the Social Security Act (the Act), as added by section 9901 of the American Rescue	Status
Award Redirect	(Treasury) to make payments from the Coronavirus Local Fiscal Recovery Fund (SLFRF) to states for distribution to nonentitlement units of local government (NEUs).	Draft Request ID AR-1639
Instructions		Payment Type First Tranche
State Information	As states encountered erroneous distributions in their reporting, Treasury has added this miscellaneous return transaction type to capture those returns. Use of this transaction type is subject to	Transaction Type Misc Return
Local Information	discussion with Treasury prior to submission.	
Submission	In order for this return to be reflected in Treasury's records, this submission must be initiated and completed by the designated submitter for the state.	
	Upon receipt of the submission, Treasury will provide further guidance for completing the return of	
	funds via an email communication to the state's designated submitter. Treasury will not be able to	
	initiate the return of funds until the state has completed the submission to Treasury. Please do not	
	received prior to Treasury's review and confirmation will be returned to the recipient.	



After reviewing the user instructions, select the "State Information" tab to proceed or "Next" at the bottom of the page.

State Information > Help Record details State, Local and Please verify that you are an authorized user of the prime recipient and confirm the accuracy of your organization's program Tribal Support Status profile. Draft Award Redirect Request ID AR-1639 **Recipient Information** Instructions Payment Type First Tranche UELO 123456789000 Address PO Box 331 State Information Transaction Type Misc Return Local Information TINO 34623562 Address 20 111 Submission State information will automatically Legal Entity Name treasury.gov Address 30 111 populate under the "State Type o State or Territory Cityo Kotzebue FAINo State/Territory AK Information" tab. CFDA No.0 Zip50 99752 Zip+40 2222 Reporting Tier o Tier 1. States, U.S. territories, metropolitan cities and counties with a population that exceeds 250,000 residents Enter return amount here. Unlike other transaction types, instead of creating a

submission for each NEU, download the return template and complete all columns for each NEU impacted.

m

	Local Information
State, Local and Tribal Support Award Redirect	Amount to be returned by state Upload additional NEUs and Amounts using provided template Download Miscelaneous Returns Template
Instructions State Information	Upload Required Document(s) ① Upload Files Or drop files
Local Information Submission	Back

Miscella	neous NEU Returns to Treasury	Template	
			Total amount should
State Name:			Total amount should
Total amount of Return to Treasury:	\$ -	•	be reflected in these
			two cells
Add explanation of return here			
NEU Recipient Number	NEU Recipient Name	Returns back to Treasury	
			/
			/
			Ensure columns A-C are
			— completed for every
			NFU associated with the
			return to Treasury.
		ş -	

Note: Only one return template should be uploaded under this transaction type.

Treasury COVID-19 Relief Hub

	Submission		> Help
State, Local and Tribal Support	The authorized representative of the state hereby affirr true and correct.	ns to Treasury that the information provided is	✓ Record details Status Draft
Instructions	In addition, the authorized representative of the State r the return of funds back to Treasury.	epresents that the state will be responsible for	Request ID AR-1639 Payment Type First Tranche Transaction Type
Local Information	Verification		Misc Return
Submission	Name of Current User		
	Name:	Submission Date: Apr 3, 2023	
	Title: UAT Testing	Email:	
			There is no
	By submitting below, I affirm that the information provid submission on behalf of the SLFRF recipient.	led is accurate and complete. I affirm that I have authority to complete this	needed for this transaction type
	Back		to complete the request.

÷ 🔝