

U.S. DEPARTMENT OF THE TREASURY

AN INTRODUCTION TO THE

Coronavirus State and Local Fiscal Recovery Funds for Tribal Governments

Information Session: May 13, 2021, 3:30-5:00 EDT



Agenda

Welcome

Jacob Leibenluft, Chief Recovery Officer, Office of Recovery Programs

Nancy Montoya, Treasury Tribal Affairs Program Coordinator

Overview of State and Local Fiscal Recovery Fund

Casey Lozar (*Confederated Salish and Kootenai Tribes*) Senior Policy Advisor

Tribal Government Allocation Methodology and Payment Overview

Casey Lozar

Treasury Submission Portal

Lisa Rosenmerkel, Office of Recovery Programs

State and Local Fiscal Recovery Fund-Eligible Uses

Casey Lozar

Technical Assistance and Support

Nancy Montoya

Question and Answer



Overview

The Coronavirus State & Local Fiscal Recovery Funds will deliver \$350 billion for state, local, territorial, and Tribal governments to respond to the COVID-19 emergency and bring back jobs. \$20 billion is reserved for Tribal governments.

This aid provides a substantial infusion of resources to Indian Country to help turn the tide on the pandemic, address its economic fallout, and lay the foundation for a strong and equitable recovery.

Key objectives of this funding:

- Support urgent COVID-19 response efforts to continue to decrease spread of the virus and bring the pandemic under control
- Replace lost revenue for eligible state, local, territorial, and Tribal governments to strengthen support for vital public services and help retain jobs
- Support immediate economic stabilization for households and businesses
- Address systemic public health and economic challenges that have contributed to the inequal impact of the pandemic on certain populations



Background

The COVID-19 emergency has caused a broad range of public health and economic challenges in Tribal communities.

1 Public Health

- Mitigating the disproportionate impact of the COVID-19 pandemic in Indian Country continues to require an unprecedented public health response

2 Economic Hardship

- Thousands of Tribal families and businesses continue to struggle
- Impacts have been most severe for Tribal communities and members that were already disadvantaged

3 Essential Workers

- Essential workers have borne a disproportionate share of the health and economic impacts of the pandemic

4 Tribal Government Revenues

- Budget shortfalls can have a devastating impact on Tribal communities
- Tribal governments have reported having to reduce critical essential services to their Tribal community

5 Water, Sewer, and Broadband Infrastructure

- The pandemic has underscored the critical need for reliable broadband access and the public health necessity of clean water in Indian Country



Eligible Jurisdictions and Funding Allocations

Congress has allocated funding for tens of thousands of U.S. jurisdictions, including eligible state, local, territorial, and Tribal governments.

Type	Amount (\$ billions)
States & District of Columbia	\$195.3
Counties	\$65.1
Metropolitan Cities	\$45.6
Tribal Governments	\$20.0
Territories	\$4.5
Non-Entitlement Units of Local Government	\$19.6



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Tribal Government Allocation Methodology and Payments



Tribal Government Allocation Methodology

- \$1 billion to be allocated equally among each of the eligible Tribal governments
- \$19 billion to be allocated to the Tribal governments in a manner determined by the Secretary (Treasury)
- Treasury received extensive input from Tribes in 5 Tribal consultations

The Allocation Formula for the \$19 billion:

- **65% of the \$19 billion (\$12.35 billion) will be distributed based on pro rata, self-certified Tribal enrollment (the Enrollment Allocation)**
 - Treasury will use self-certified enrollment data submitted to the BIA in April 2021.
- **35% of the 19 billion (\$6.65 billion) will be distributed based on pro rata, self-certified Tribal employment data (the Employment Allocation)**
 - Treasury will use 2019 employment numbers submitted to Treasury in May 2020 in connection with the CARES Act Coronavirus Relief Fund and will require that Tribal governments confirm these numbers.



Two Separate Payments

First Payment

- Will include (i) an amount in respect of the \$1 billion allocation that is to be divided equally among Tribal governments and (ii) the Tribal government's pro rata share of the Enrollment Allocation
 - » **The deadline to request funding for the first payment is May 24, 2021 11:59 PST**
 - » After processing the request, Treasury will notify Tribal governments of their allocation amount and delivery of payment

Second Payment

- Will include the pro rata share of the Employment Allocation for each Tribal government that confirms their 2019 employment numbers
 - » **The deadline to confirm 2019 employment numbers is June 7, 2021 11:59 PST**
 - » **If a Tribal government does not confirm or amend employment numbers by the deadline, the Tribal government will not be eligible to receive a share of the Employment Allocation**
 - » Soon after June 7, Treasury will notify Tribal governments of their employment allocation amount and delivery of payment



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Treasury Submission Portal

<https://treasury.gov/SLFRPPortal>



Treasury Submission Portal Walkthrough

Treasury is leveraging ID.me for secure digital identity verification.

All personally identifiable information provided to ID.me is encrypted and disclosed only with the express consent of the user.

Account creation on the first visit only, and takes approximately 15 minutes.

For support: <https://help.id.me>



Sign in to ID.me

New to ID.me?
[Create an ID.me account](#)

Email

Password

[Sign in to ID.me](#)

[Forgot password](#)

Treasury Submission Portal Walkthrough

Once in the Portal, select “Start a Submission” in the upper right corner.

☰ Treasury COVID-19 Relief Hub



🏠 State, Local, and Tribal Support

Start a Submission

Start a Compliance Report

Introduction

Submissions &
Compliance Forms

Welcome to the three Treasury Programs supporting state, territory, Tribal, and local governments as part of the 2021 American Rescue Plan.

Depending on if you are a state, territory, local, or Tribal government, you will be eligible for different programs. Please select “Start a Submission” at Top-Right to begin to identify which programs you may be eligible for.

- **STATE AND LOCAL FISCAL RECOVERY FUNDS** - \$350 billion available for state, territory, Tribal, and local governments to support the public health response and lay the foundation for a strong and equitable economic recovery.
- **HOMEOWNER ASSISTANCE FUND** - Nearly \$10 billion available for state, territory, and Tribal governments to provide relief for our country’s most vulnerable homeowners.
- **EMERGENCY RENTAL ASSISTANCE** - \$21.6 billion available for state, territory, and local governments to assist households that are unable to pay rent and utilities.

Submission Process: You now have a login and 24/7 access to this portal. You have two options while working on the submission/s – save your progress or submit the submission. If you save, you can return and edit information as needed. To resume working on a draft submission, click on “Submissions & Compliance Forms” using the navigation to the left of the page. This will bring you to your list of submissions, click “Provide Information” to continue working. Once you submit and certify the agreement, you cannot edit your information for that particular program without reaching out to Treasury to re-open the case and edit.

Contact Us/Help: For assistance on your submission and other questions, contact covidreliefitsupport@treasury.gov

Ready to get started? Click “Start a Submission” at Top-Right.

On the following screen will have prompts for information on recipient type, after which you can select the name of your entity from a drop down list and select which programs to apply for.



Treasury Submission Portal Walkthrough

On the Submissions page is a listing of all pending and completed submissions.

Click “Provide Information” next to the SLT submission to enter your information for the Coronavirus State and Local Fiscal Recovery Funds.

The screenshot displays the Treasury COVID-19 Relief Hub interface. At the top, there is a navigation bar with a hamburger menu icon, the text 'Treasury COVID-19 Relief Hub', and a user profile icon. Below this is a secondary bar with the text 'State, Local, and Tribal Support' and two green buttons: 'Start a Submission' and 'Start a Compliance Report'. The main content area features a sidebar with 'Introduction' and 'Submissions & Compliance Forms'. The 'Submissions' section contains a table with the following data:

Submission ID	Submission Type	Recipient Name	Status	
SLT-0223	SLT	SLFRF Screenshots	Draft	Provide Information

The 'Provide Information' link in the table is highlighted with a red rectangular box.

Treasury Submission Portal Walkthrough

☰ Treasury COVID-19 Relief Hub

Coronavirus State & Local
Fiscal Recovery Funds
SLT-0223

Status
Draft

Submission Date

User Instructions

Coronavirus State & Local Fiscal Recovery Funds (SLFRF)

Manual Acceptance Option

User Instructions

Welcome to the Treasury Submission Portal for the Coronavirus State and Local Fiscal Recovery Funds (SLFRF)

On the Coronavirus State and Local Fiscal Recovery Funds tab, you will be prompted to complete information about the entity for which you are submitting information, including:

- Entity Information - Including name, Entity Taxpayer Identification Number (EIN) and DUNS
 - *Confirm you are entering the information for the entity.*
- Authorized Representative Name & Contact Information
 - *An Authorized Representative is an individual with legal authority to bind the government entity (e.g., the Chief Executive Officer of the government entity).*
- Contact Person Name & Contact Information
 - *The contact person will receive emails confirming your submission has been received, alerts of any issues with the submission that need resolution, notification of submission approval, and any other action required on behalf of your entity.*
- Financial Institution Information - Including routing and account number
 - *All entities must submit an ACH routing transit number.*
 - *Entities with a Wire routing transit number are asked to submit that information in addition to the ACH routing transit number.*

When the information is complete, click the green button in the lower right corner to have the award terms (including the Financial Assistance Agreement and Title VI Assurance) emailed to your Authorized Representative for signature.

- The designated Authorized Representative must sign the form.
- The Acceptance of Award Terms will be emailed directly to the Authorized Representative with instructions to electronically sign and submit the document with DocuSign.

When the Authorized Representative signs the award terms the designated contact person will receive an email notification that your submission has been received and will begin the submission review process.

If you have questions about the Treasury submission portal or for technical support, please email covidreliefsupport@treasury.gov. If you have general questions about the Coronavirus State and Local Fiscal Recovery Funds please email SLFRP@treasury.gov or call 844-529-9527.

After reviewing the User Instructions, select the “Coronavirus State and Local Fiscal Recovery Funds (SLFRF)” tab to proceed.



Treasury Submission Portal Walkthrough

☰ Treasury COVID-19 Relief Hub



Coronavirus State & Local
Fiscal Recovery Funds
SLT-0223

Status
Draft

Submission Date

User Instructions

[Coronavirus State & Local Fiscal Recovery Funds \(SLFRF\)](#)

Manual Acceptance Option

Recipient Information

Recipient Name

SLFRF Screenshots

Recipient's Taxpayer ID Number (9 Digits)

Recipient's DUNS Number (9 Digits)

Street Address

City

State/Territory

Missouri

Postal Code +4 (xxxxx-xxxx)

Entity Name

Missouri

First Name of Authorized Representative for the Government Entity

Last Name of Authorized Representative for the Government Entity

Title of Authorized Representative for the Government Entity

Authorized Representative Phone

Authorized Representative Email

Contact Person First Name

Contact Person Last Name

Contact Person Title

Contact Person Phone

The Authorized Representative (an individual with legal authority to bind the government entity) must sign the award terms.

The Contact Person will receive emails regarding the submission – including any questions that occur during payment verification.




Treasury Submission Portal Walkthrough


Recipient Type

Type of recipient (choose one):

Financial Institution Information

Routing Transit Number (ACH) 

Confirm Routing Transit Number (ACH)

Routing Transit Number (Wire)(Optional) 

Confirm Routing Transit Number (Wire)

Recipient's Account Number

Confirm Recipient's Account Number

Financial Institution Name

Financial Institution Address

Financial Institution Telephone Number

Click the 'Save Information' button before clicking the 'Submit with DocuSign' button. Through DocuSign, the Acceptance of Award Terms will be emailed directly to the Authorized Representative with instructions to electronically sign (DocuSign) the document. To proceed with DocuSign option click 'Submit with DocuSign' button.

If you are unable to take advantage of DocuSign, please click 'Save Information' then select the 'Manual Acceptance Option' tab and follow the instructions to submit manually.

*Only one of these methods is necessary.

Save Information

Submit with DocuSign

Validate your recipient type is correct.

Provide Financial Institution Information for your deposit.

When complete click blue "Save Information" to check entries for errors.



Treasury Submission Portal Walkthrough

☰ Treasury COVID-19 Relief Hub

Coronavirus State & Local
Fiscal Recovery Funds
SI T-0223

User Instructions

Coronavirus State & Local Fiscal Recovery Funds (SLFRF)

Manual Acceptance Option

When any errors are resolved, the next step is to sign the award terms documentation.

Clicking the green button will send the documents to the designated Authorized Representative via email for their electronic signature.

Financial Institution Information

Routing Transit Number (ACH) ¹

Confirm Routing Transit Number (ACH)

Routing Transit Number (Wire)(Optional) ¹

Confirm Routing Transit Number (Wire)

Recipient's Account Number

Confirm Recipient's Account Number

Financial Institution Name

Financial Institution Address

Financial Institution Telephone Number

Click the 'Save Information' button before clicking the 'Submit with DocuSign' button. Through DocuSign, the Acceptance of Award Terms will be emailed directly to the Authorized Representative with instructions to electronically sign (DocuSign) the document. To proceed with DocuSign option click 'Submit with DocuSign' button.

If you are unable to take advantage of DocuSign, please click 'Save Information' then select the 'Manual Acceptance Option' tab and follow the instructions to submit manually.

*Only one of these methods is necessary.

Save Information

Submit with DocuSign



Treasury Submission Portal Walkthrough

☰ Treasury COVID-19 Relief Hub

Coronavirus State & Local
Fiscal Recovery Funds
SI T-0223

User Instructions

Coronavirus State & Local Fiscal Recovery Funds (SLFRF)

Manual Acceptance Option

As an Alternative to DocuSign:
If you cannot, or prefer not to, sign electronically, you can click on the “Manual Acceptance Option” tab to download the documents, sign them traditionally, scan them and upload them into the system.

Financial Institution Information

Routing Transit Number (ACH) ¹

Confirm Routing Transit Number (ACH)

Routing Transit Number (Wire)(Optional) ¹

Confirm Routing Transit Number (Wire)

Recipient's Account Number

Confirm Recipient's Account Number

Financial Institution Name

Financial Institution Address

Financial Institution Telephone Number

Click the 'Save Information' button before clicking the 'Submit with DocuSign' button. Through DocuSign, the Acceptance of Award Terms will be emailed directly to the Authorized Representative with instructions to electronically sign (DocuSign) the document. To proceed with DocuSign option click 'Submit with DocuSign' button.

If you are unable to take advantage of DocuSign, please click 'Save Information' then select the 'Manual Acceptance Option' tab and follow the instructions to submit manually.

*Only one of these methods is necessary.

Save Information

Submit with DocuSign

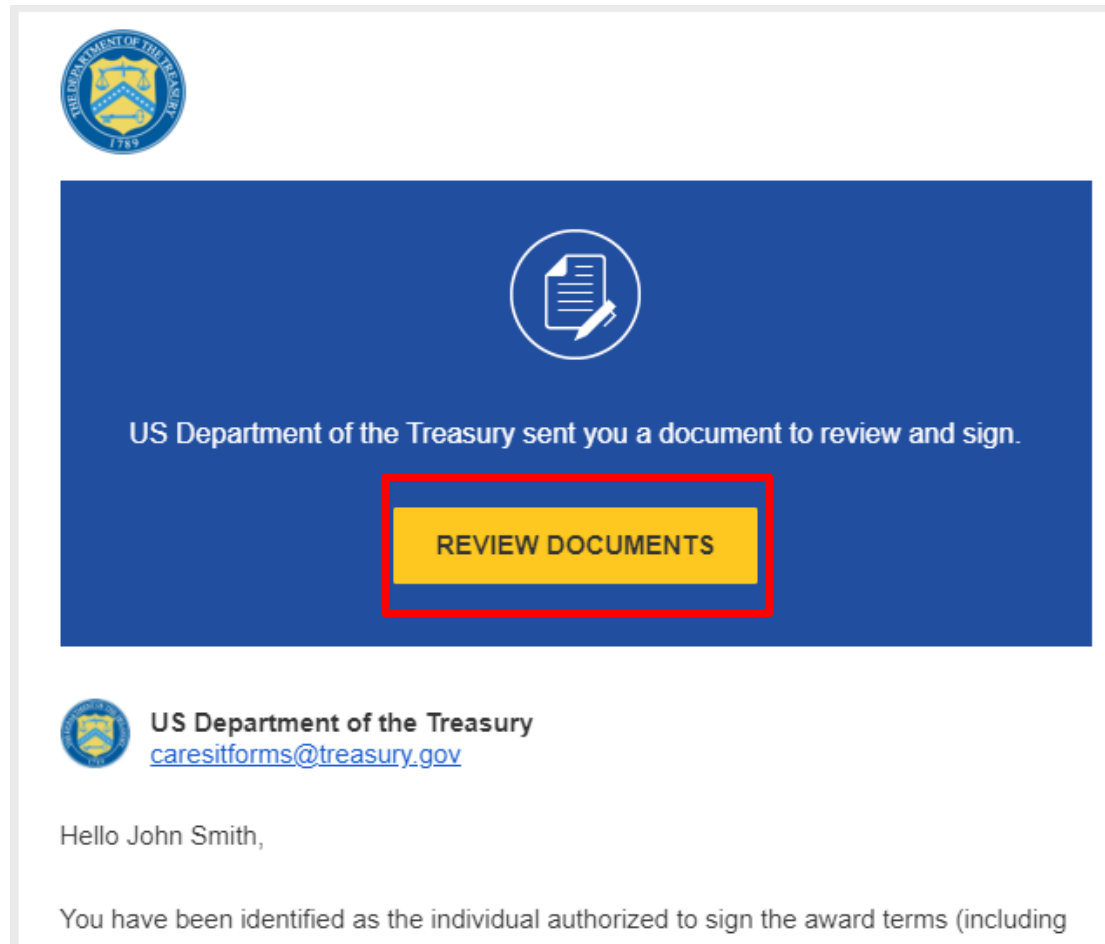



Treasury Submission Portal Walkthrough


Here is an example of the DocuSign email that is sent to the Authorized Representative.

Click the yellow “REVIEW DOCUMENTS” button to start the DocuSign process.

The Contact Person will receive an email when the Authorized Representative has signed the documents.








US Department of the Treasury sent you a document to review and sign.

REVIEW DOCUMENTS

 US Department of the Treasury
caresitforms@treasury.gov

Hello John Smith,

You have been identified as the individual authorized to sign the award terms (including

Treasury Submission Portal Walkthrough

Note the submission status is now “Submitted” and will enter the verification process.

The screenshot shows the Treasury COVID-19 Relief Hub interface. The top navigation bar includes a menu icon, the text 'Treasury COVID-19 Relief Hub', and a user profile icon. Below this is a dark blue banner with the text 'State, Local, and Tribal Support' and two green buttons: 'Start a Submission' and 'Start a Compliance Report'. The main content area has a left sidebar with 'Introduction' and 'Submissions & Compliance Forms'. The 'Submissions' section is active, displaying a table with the following data:

Submission ID	Submission Type	Recipient Name	Status		
SLT-0223	SLT	SLFRF Screenshots	Submitted	View Submission	Download PDF

The 'Submitted' status in the table is highlighted with a red rectangular box.

The verification process takes approximately four business days.

If any errors are identified, the designated point of contact for the government will be contacted via email to correct the information before the payment can proceed.

Once verification is complete, the designated point of contact of the eligible government will receive an email notifying them that their submission has been verified.

Payments are generally scheduled for the next business day after this verification email, though funds may not be available immediately due to processing time of their financial institution.



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Eligible Uses





Support the Public Health Response

This funding may be used to meet and address emergent public health needs.

1 COVID-19 Mitigation & Containment

- A broad range of services and programming that are needed to contain COVID-19

2 Medical Expenses

- Provide care and services to address COVID-19 public health needs, risks presented by new variants, and long-term effects of the virus

3 Behavioral Healthcare

- New or enhanced Tribal government services that may be needed to meet mental health, substance use, and other behavioral health needs

4 Public Health & Safety Staff

- Responding to the public health and negative economic impacts of the pandemic requires a substantial commitment of human resources



Address Negative Economic Impacts

Tribal governments may use these funds to respond to the negative economic impacts of the COVID-19 public health emergency.

1

Workers & Families

- Assistance to unemployed workers and job training
- Food, housing, cash, and other assistance to households
- Survivor's benefits for family members of COVID-19 victims

2

Small Businesses

- Loans and grants to mitigate financial hardship
- Loans, grants, and in-kind assistance to implement COVID-19 prevention or mitigation tactics
- Technical assistance

3

Rehiring Staff

- Re-hiring public sector staff, up to the government's pre-pandemic staffing level

4

Impacted Industries

- Tourism, travel, and hospitality sectors including Tribal development districts
- Other similarly affected sectors



Provide Equity-Focused Services

Treasury will presume the following additional activities are eligible uses when provided by Tribal governments.

1 Addressing Health Disparities

- Community health workers and public benefits navigators
- Remediation of lead paint and other lead hazards
- Community violence intervention programs

2 Investing in Housing & Neighborhoods

- Services to support individuals experiencing homelessness
- Affordable housing development
- Housing vouchers, residential counseling, navigation assistance

3 Addressing Educational Disparities

- New or expanded early learning services
- Expanded resources for high-poverty school districts
- Educational services like tutoring and afterschool programs, as well as supports for social, emotional, and mental health needs

4 Promoting Healthy Childhood Environments

- New or expanded high quality childcare
- Home visiting programs for families with young children
- Services for child welfare-involved families and foster youth



Replace Tribal Government Revenue Loss

Tribal governments may use this funding to provide government services to the extent of the reduction in revenue experienced due to the COVID-19 public health emergency.

- Provide continuity of vital government services by filling budget shortfalls
- Revenue loss is calculated relative to the expected trend, beginning with the last full fiscal year pre-pandemic and adjusted annually for growth
- Recipients may re-calculate revenue loss at multiple points during the program, supporting those entities that experience revenue loss with a lag
- Once a reduction in revenue is identified, recipients have broad latitude to use these funds to support government services (with some exceptions, described later)
- The Interim Final Rule establishes a clear and consistent methodology that each recipient can use to calculate its reduction in revenue

Tribal governments may calculate the revenue loss of their Tribal enterprises in their total revenue loss



Premium Pay for Essential Employees

Tribal governments may use this funding to provide premium pay to eligible workers performing essential work, either in public sector roles or through grants to third-party employers.

Example professions eligible for premium pay:

- ✓ Staff at nursing homes, hospitals, and home-care settings
- ✓ Workers at farms, food production facilities, grocery stores, and restaurants
- ✓ Janitors and sanitation workers
- ✓ Truck drivers, transit staff, and warehouse workers
- ✓ Public health and safety staff
- ✓ Childcare workers, educators, and school staff
- ✓ Social service and human services staff

- Essential work involves regular in-person interactions or physical handling of items that were also handled by others
- Tribal governments have discretion to designate additional sectors

Premium pay should prioritize low- and moderate-income workers, who face the greatest mismatch between employment-related health risks and compensation.



Water, Sewer, and Broadband Infrastructure

Tribal governments may use these funds to make necessary investments in water, sewer, and broadband infrastructure.

Water and Sewer Infrastructure

- ✓ Includes improvements to infrastructure, such as building or upgrading facilities and transmission, distribution, and storage systems
- ✓ Eligible uses aligned to Environmental Protection Agency project categories across the:
 - Clean Water State Revolving Fund
 - Drinking Water State Revolving Fund

Broadband Infrastructure

- ✓ Focus on households and businesses without a wireline connection capable of reliably delivering 25 Mbps download / 3 Mbps upload
- ✓ Fund projects that deliver reliable service
 - Minimum 100 Mbps download / 100 Mbps upload speeds unless impracticable

Ineligible Uses

While Tribal governments have considerable flexibility to use this funding to address the diverse needs of their communities, restrictions on use apply.

1

Deposits into Pension Funds

- This funding may not be used for deposits into pension funds
- A “deposit” is defined as an extraordinary contribution to a pension fund for the purpose of reducing an accrued, unfunded liability
- Recipients may use funds for routine payroll contributions to pensions of employees whose wages and salaries are an eligible use

2

Other Restrictions on Use

- Funding debt service, legal settlements or judgments
- Deposits to rainy day funds or financial reserves

Reporting

Interim Report

- Will include a Tribal government's expenditures by category at the summary level from date of the award to July 31, 2021
- Due date: August 31, 2021

Quarterly Project and Expenditure Reports

- Will include financial data, information on contracts and subawards over \$50,000, the types of projects funded and other information regarding utilization of award funds
- Reports will include the same general data (e.g. obligations, expenditures, contracts, grants and sub-awards) as CRF with some modifications
- Modifications include: updates to the expenditure categories and data related to specific eligible uses
- First quarterly report will cover from the date of the award to September 30, 2021 and is due October 31, 2021

Remaining quarterly reports

- Will cover one calendar quarter and must be submitted 30 days after the end of each calendar quarter



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Technical Assistance and Support



Website: www.treasury.gov/SLFRPTribal


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ABOUT TREASURY
POLICY ISSUES
DATA
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POLICY ISSUES

COVID19 Economic Relief

- Assistance for American Families and Workers
- Assistance for Small Businesses

Assistance for State, Local, and Tribal Governments

- State and Local Fiscal Recovery Fund
 - Request Funding
- Tribal Governments
 - Non-Entitlement Units
 - Capital Projects Fund
 - Homeowner Assistance Fund
 - Emergency Rental Assistance Program
 - State Small Business Credit Initiative
 - Coronavirus Relief Fund
- Assistance for American Industry

Tax Policy

Coronavirus State and Local Fiscal Recovery Funds for Tribal Governments

The Coronavirus State and Local Fiscal Recovery Funds will deliver \$20 billion in funding for Tribal governments.

These funds represent an historic investment in Indian Country and provide a substantial infusion of resources to help turn the tide on the pandemic, address its economic fallout, and lay the foundation for a strong and equitable recovery. These funds will:

- Support urgent COVID-19 response efforts to continue to decrease spread of COVID-19 and bring the pandemic under control
- Replace lost revenue for Tribal governments to strengthen support for vital public services and help retain jobs
- Support immediate stabilization for households and businesses in Indian Country
- Address systemic public health and economic challenges that have contributed to the inequal impact of the pandemic on Tribal communities.

Tribal governments may now request their allocation of Coronavirus State and Local Fiscal Recovery Funds using the Treasury Submission Portal.

REQUEST FISCAL RECOVERY FUNDS

RECEIVE COVID-19 RELIEF UPDATES

REQUEST FISCAL RECOVERY FUNDS

Email Addresses for Assistance

- Questions about the Treasury Submission Portal or for technical support: covidreliefitsupport@treasury.gov
- General questions about Coronavirus State and Local Fiscal Recovery Funds, including eligible uses and other policy questions: SLFRP@treasury.gov
- Questions about Tribal Affairs at Treasury: Tribal.Consult@treasury.gov



Questions



For More Information:

Please visit Treasury's State & Local website at www.treasury.gov/SLFRPTribal

To Request Funding:

Please visit www.treasury.gov/funding

For Media Inquiries:

Please contact the U.S. Treasury Press Office at (202) 622-2960

For General Inquiries:

Please email SLFRP@treasury.gov