

Outbound Notification System (ONS)

Public Portal User Guide

Version 1.8

June 2025

Table of Contents

1. Purpose	3
2. Overview of the Public Portal	3
3. How to Access the Public Portal.....	4
4. How to Register	7
5. How to Sign In	8
6. How to View and Update Profile.....	12
7. How to Create a Notification	13
8. 850.401 Notifiable Transaction	15
8.1 850.401 User Management	16
8.2 850.401 Representation	18
8.3 850.401 U.S. Person	22
8.4 850.401 Covered Transaction	29
8.5 850.401 Covered Foreign Person	35
8.6 850.401 Covered Activity	45
8.7 850.401 Document Uploads	50
8.8 850.401 Certification.....	52
8.9 850.401 Notification Review	54
9. How to Generate PDF Document	55
10. How to View My Notifications	56
11. How to View Notification Details	57
12. How to Modify a Notification in a Pending Submission State	58
13. How to Submit Notification	59
14. How to Sign Out.....	60
15. Contact Us	61

1. Purpose

This user guide supports the implementation of Executive Order 14105 of August 9, 2023, and the regulations at 31 C.F.R. part 850, by providing Portal users with clear and concise instructions on how to use the ONS Public Portal. The guide systematically covers all essential Portal operations.

2. Overview of the Public Portal

The Public Portal enables users to submit, amend, and manage notifications in compliance with regulations § 850.401, § 850.402, and § 850.403. It also facilitates interaction with the Outbound Notification System for user management and document uploads.

To access the Public Portal, users must register through ID.me, a secure digital identity verification and authentication service.

The Public Portal is compatible with modern web browsers, including Microsoft Edge (Chromium-based) and Google Chrome (version 129 and later).

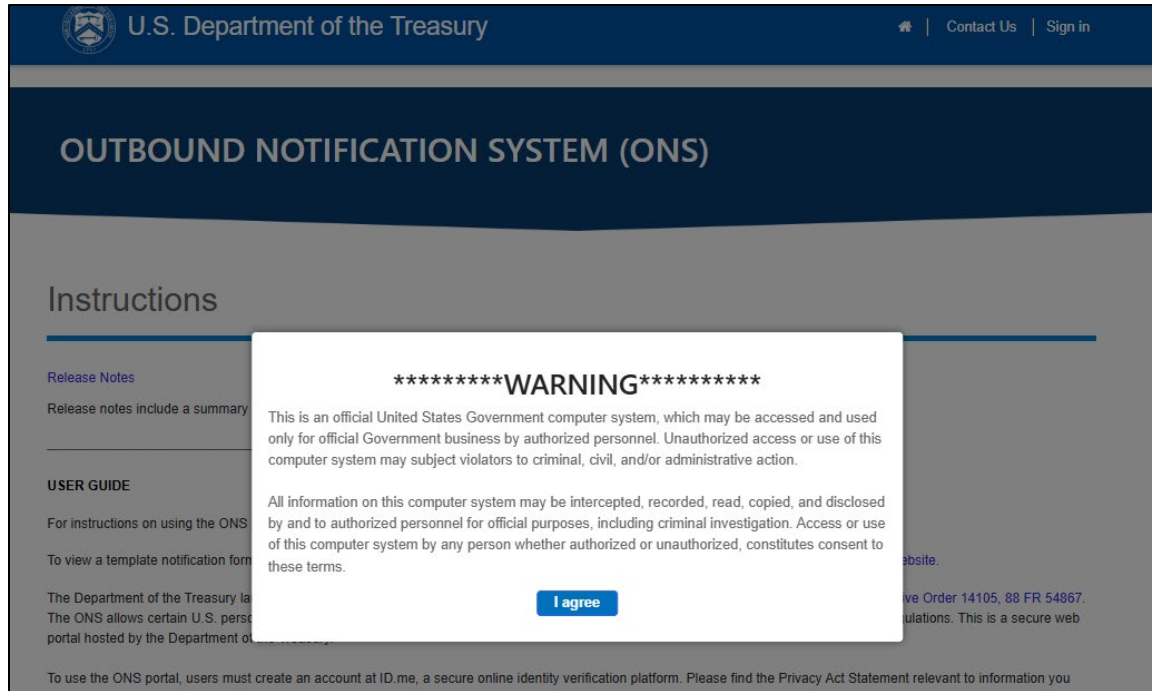
- How to Access the Public Portal
- How to Register
- How to Sign in
- How to Create a Notification
- How to Edit a Notification
- How to Upload a Document
- How to Download a Certification Template
- How to Upload a Certification
- How to Submit a Notification
- How to Contact ONS Support

3. How to Access the Public Portal

To access the ONS Public Portal, launch a web browser (e.g., Google Chrome, Microsoft Edge).

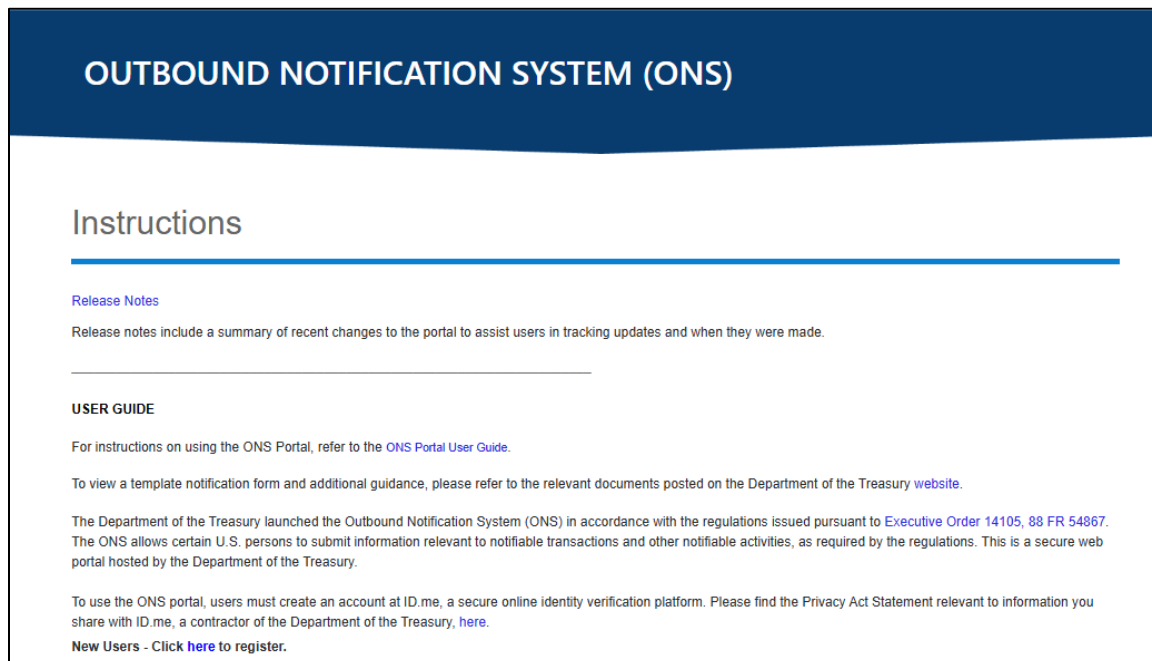
Enter <https://outbound.high.powerappsportals.us/> in your browser's address bar and press **Enter**.

The **ONS Public Portal Home** page will appear.



Click the **I agree** button.

The **Home** page will appear.



The **Home** page displays the following sections:

- Instructions
- Release Notes
- User Guide
- Contact Us
- Confidentiality
- Paperwork Reduction Act Notice
- Warning
- About ONS (Footer)



OUTBOUND NOTIFICATION SYSTEM (ONS)

Instructions

Release Notes

Release notes include a summary of recent changes to the portal to assist users in tracking updates and when they were made.

USER GUIDE

For instructions on using the ONS Portal, refer to the [ONS Portal User Guide](#).

To view a template notification form and additional guidance, please refer to the relevant documents posted on the Department of the Treasury [website](#).

The Department of the Treasury launched the Outbound Notification System (ONS) in accordance with the regulations issued pursuant to [Executive Order 14105, 88 FR 54867](#). The ONS allows certain U.S. persons to submit information relevant to notifiable transactions and other notifiable activities, as required by the regulations. This is a secure web portal hosted by the Department of the Treasury.

To use the ONS portal, users must create an account at ID.me, a secure online identity verification platform. Please find the Privacy Act Statement relevant to information you share with ID.me, a contractor of the Department of the Treasury, [here](#).

New Users - Click [here](#) to register.

CONTACT US

The Department of the Treasury can be reached using the contact information below:

- General Outbound inquiries: Outbound@treasury.gov
- General regulations inquiries: OIS.Outbound.Regulations@treasury.gov
- Media inquiries: Press@treasury.gov
- Outbound Notification System Technical Support: Outbound.ITSupport@treasury.gov

Additional information on the ONS process is also available on the [ONS website](#).

CONFIDENTIALITY

31 CFR 850.801 mandates confidentiality protections with respect to information or documentary material submitted to or filed with the Department of the Treasury, subject to limited exceptions.

PAPERWORK REDUCTION ACT NOTICE

The information collected will be assessed by the U.S. Government in connection with the implementation of Executive Order 14105 of August 9, 2023, 88 FR 54867. The Department of the Treasury estimates that it will take an average of 50-90 hours per notification. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Office of Privacy, Transparency and Records, Department of the Treasury, 1500 Pennsylvania Ave, N.W., Washington, D.C. 20220. An agency may not conduct nor sponsor, and a person is not required to respond to a collection of information unless it displays a valid control number assigned by OMB. The OMB Control Number for this collection is 1505-0282, which is currently approved through 2/28/2028.

*****WARNING*****

This is an official United States Government computer system, which may be accessed and used only for official Government business by authorized personnel. Unauthorized access or use of this computer system may subject violators to criminal, civil, and/or administrative action.

All information on this computer system may be intercepted, recorded, read, copied, and disclosed by and to authorized personnel for official purposes, including criminal investigation. Access or use of this computer system by any person whether authorized or unauthorized, constitutes consent to these terms.

4. How to Register

External parties will register to use the **ONS Public Portal** through ID.me, a registration and authentication service utilized by numerous Federal and commercial entities. Instructions for registering with ID.me and logging in to the ONS Public Portal are available at [Outbound ID.me Instructions](#).

Please [click here](#) for the **Privacy Act Statement** relating to information you share with ID.me.

5. How to Sign In

From the **ONS Home** page, click the **Sign in** button in the top right corner.

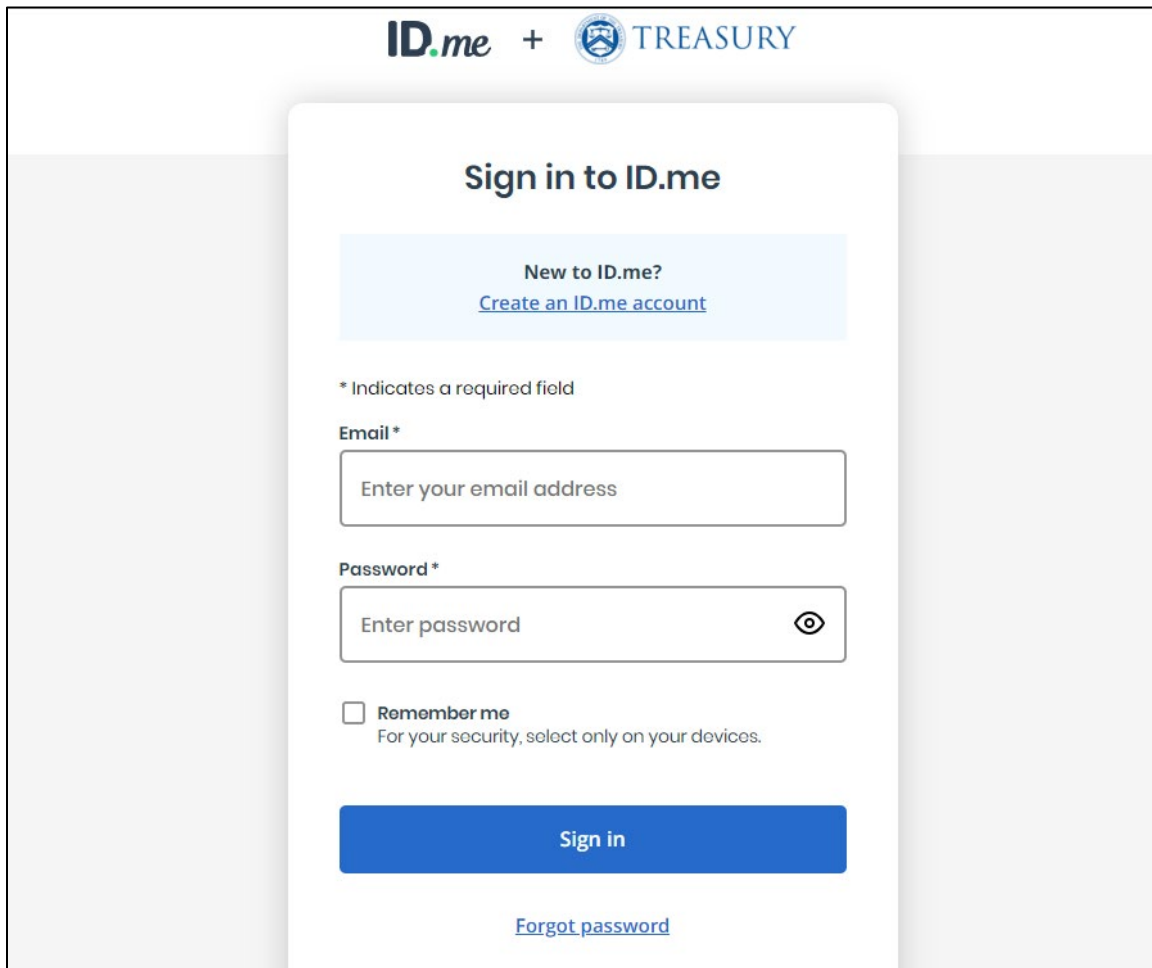
The screenshot shows the top of the ONS website. The header is blue with the U.S. Department of the Treasury logo and name on the left, and a home icon, 'Contact Us', and 'Sign in' link on the right. Below the header is a dark blue banner with 'OUTBOUND NOTIFICATION SYSTEM (ONS)' in white. The main content area is white and titled 'Instructions'. It includes a 'Release Notes' section with a link to 'Release Notes' and a paragraph about release notes. Below that is a 'USER GUIDE' section with a link to 'ONS Portal User Guide', a paragraph about template notification forms, and a paragraph about the ONS launch. At the bottom of the instructions is a link to 'here' for more information.


The **Sign In** page will appear.

The screenshot shows the 'Sign In' page of the ONS Public Portal Prod. The header is light gray with a small American flag icon and the text 'An official website of the United States government'. Below the header is a dark blue banner with the ONS Public Portal Prod logo and name. The main content area is white and features a large green button with the text 'Sign in with ID.me'. To the right of the button is a section titled 'Who is ID.me?' with a paragraph about ID.me's services, a paragraph about redirection, and a paragraph about consent to privacy and security policies. At the bottom of the sign in page is a footer with links to 'Accessibility Statement', 'Freedom of Information Act', 'Privacy Policy', and 'Privacy Impact Assessments'. Below the footer is a light blue banner with a disclaimer about the system's use and data collection.

Click on the **Sign in with ID.me** button.

The **Treasury ID.me** page will appear.



ID.me +  **TREASURY**


Sign in to ID.me

New to ID.me?
[Create an ID.me account](#)

* Indicates a required field

Email *

Password *

☐ **Remember me**
For your security, select only on your devices.

Sign in

[Forgot password](#)

Enter the ID.me **Email address** and **Password**, then click on the **Sign in** button.

The second **Treasury ID.me** page will appear.

ID.me + **TREASURY**

COMPLETE YOUR SIGN IN

1 — 2 — 3

Receive a code by phone

Text me

Call me

You will receive a code at the following number

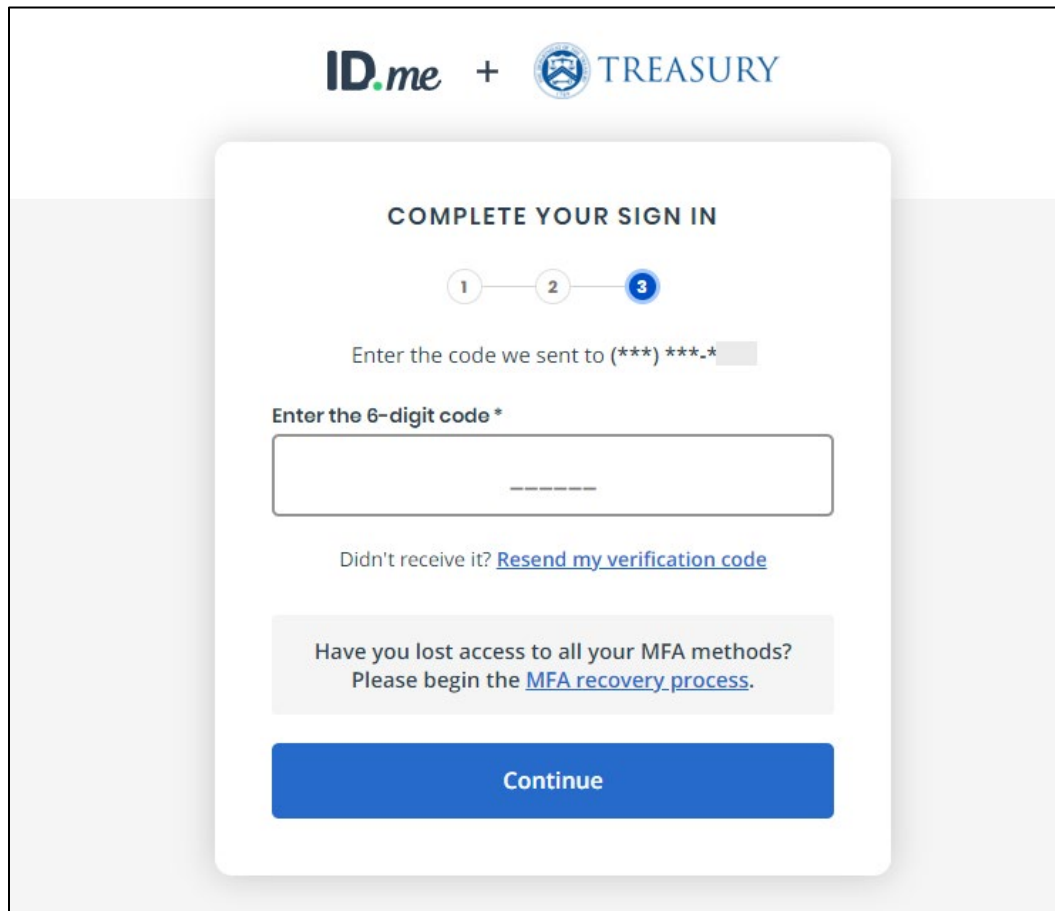
(**) **- **

Have you lost access to all your MFA methods?
Please begin the [MFA recovery process](#).

Continue

Click the **Continue** button to receive a code by phone.

The third **Treasury ID.me** page will appear.



The screenshot shows a sign-in page for ID.me + Treasury. At the top, the logos for ID.me and the U.S. Department of the Treasury are displayed. Below the logos, the heading "COMPLETE YOUR SIGN IN" is centered. A progress indicator shows three steps: 1, 2, and 3, with step 3 being the current step. The text "Enter the code we sent to (***) ***.*" is followed by a text input field. Below the input field, the text "Enter the 6-digit code *" is displayed. A blue button labeled "Continue" is at the bottom. Links for "Resend my verification code" and "MFA recovery process" are also visible.

ID.me + TREASURY

COMPLETE YOUR SIGN IN

1 — 2 — 3

Enter the code we sent to (***) ***.*

Enter the 6-digit code *

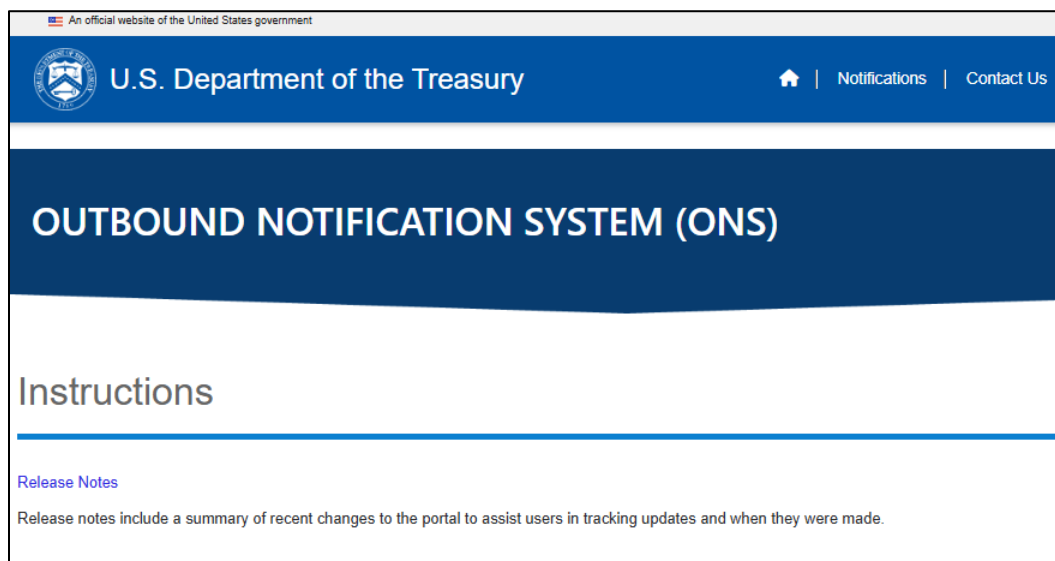
Didn't receive it? [Resend my verification code](#)

Have you lost access to all your MFA methods?
Please begin the [MFA recovery process](#).

Continue

Enter the **6-digit** code, then click on the Continue button.

The **Home** page will appear.



The screenshot shows the home page of the U.S. Department of the Treasury's Outbound Notification System (ONS). The header includes the U.S. Department of the Treasury logo and the text "U.S. Department of the Treasury". The main heading is "OUTBOUND NOTIFICATION SYSTEM (ONS)". Below the heading, the word "Instructions" is displayed. A link for "Release Notes" is provided, followed by a brief description of release notes.

An official website of the United States government

U.S. Department of the Treasury

Home | Notifications | Contact Us

OUTBOUND NOTIFICATION SYSTEM (ONS)

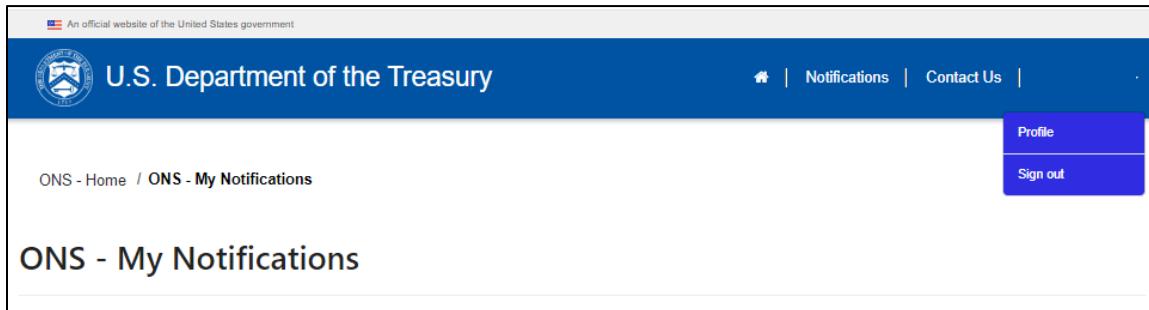
Instructions

[Release Notes](#)

Release notes include a summary of recent changes to the portal to assist users in tracking updates and when they were made.

6. How to View and Update Profile

Click the **User's name** in the top right corner and select **Profile**.



An official website of the United States government

U.S. Department of the Treasury

Notifications | Contact Us

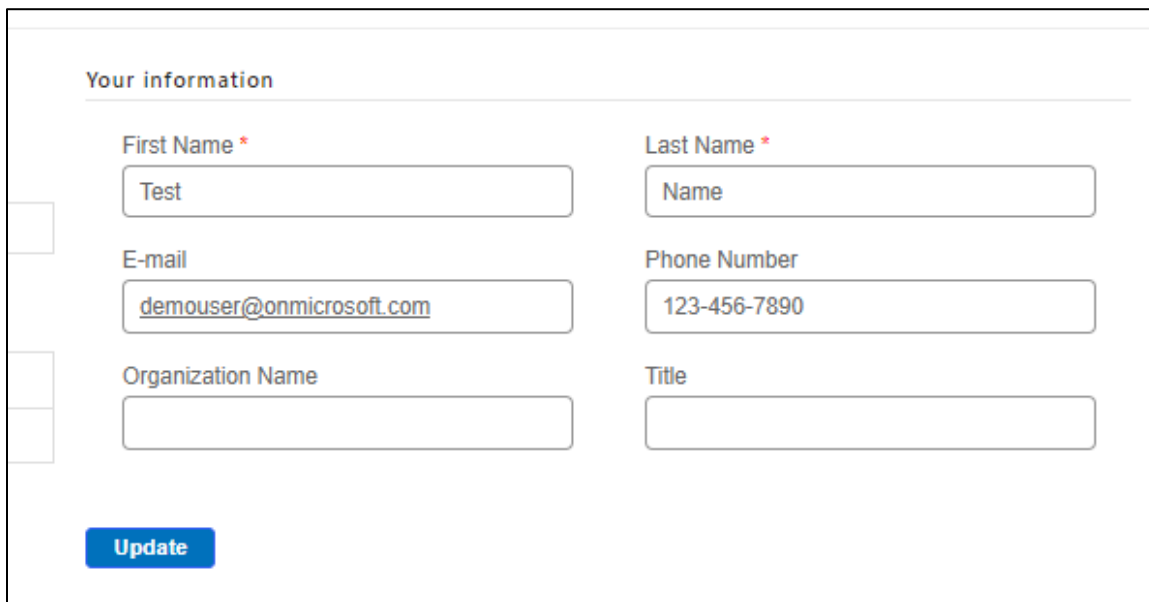
ONS - Home / ONS - My Notifications

ONS - My Notifications

Profile

Sign out

The **Profile** page will appear.



Your information

First Name *

Test

Last Name *

Name

E-mail

demouser@onmicrosoft.com

Phone Number

123-456-7890

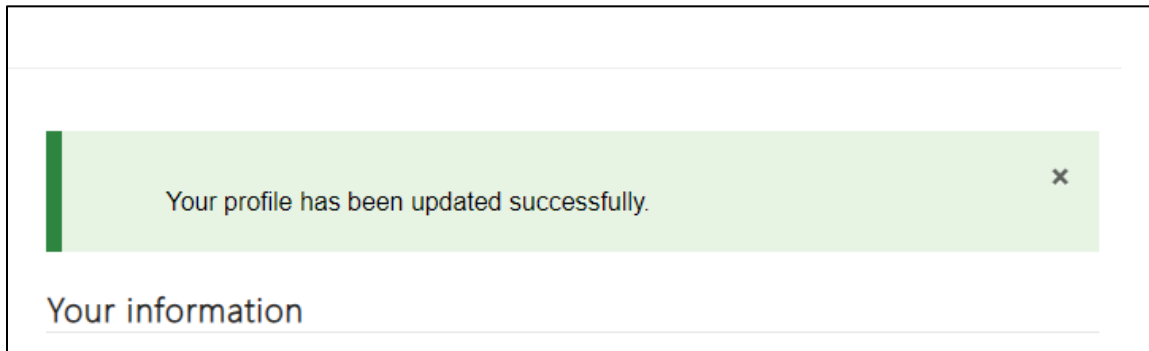
Organization Name

Title

Update

Update any incorrect or missing Profile information and click the **Update** button.

A message will appear saying “**Your profile has been updated successfully.**”



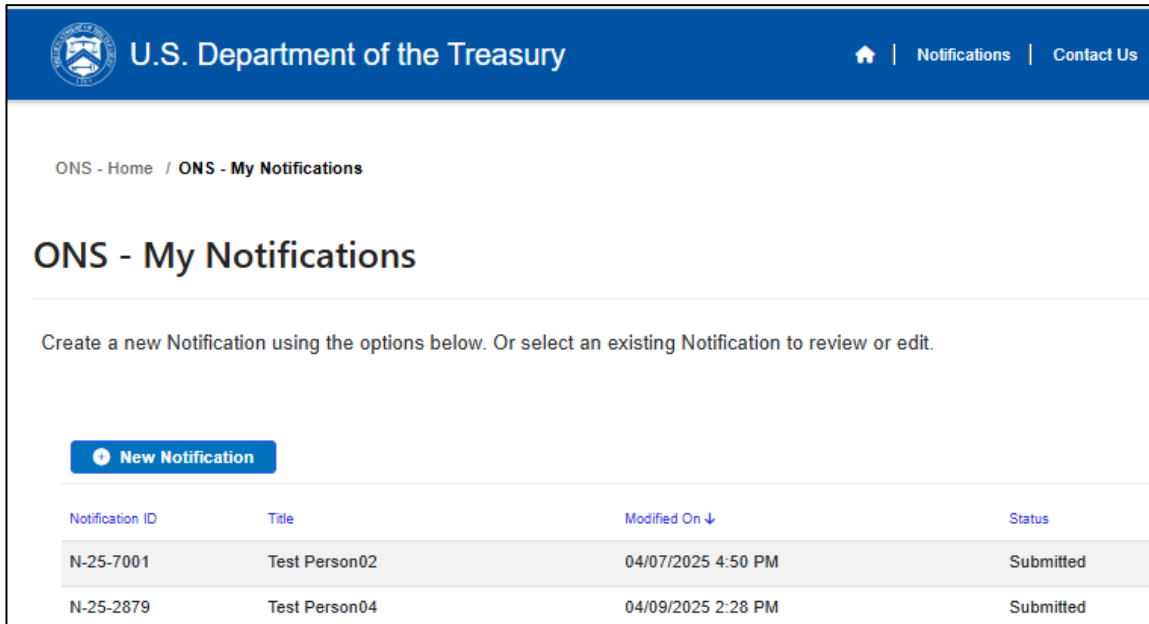
Your profile has been updated successfully.

Your information

7. How to Create a Notification

From the **Home** page, click on the **Notifications** tab.

The **ONS – My Notifications** page will appear.

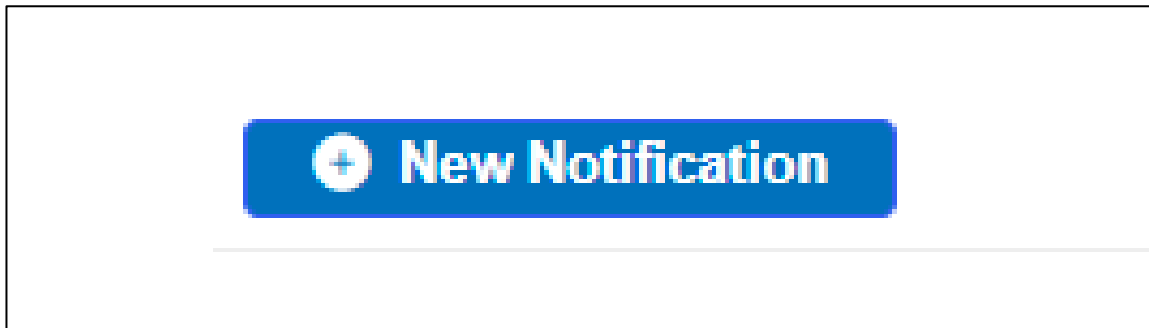


The screenshot shows the U.S. Department of the Treasury website. The header includes the Treasury seal and the text "U.S. Department of the Treasury". Navigation links for "Home", "Notifications", and "Contact Us" are present. The breadcrumb trail reads "ONS - Home / ONS - My Notifications". The main heading is "ONS - My Notifications". Below this, a message states: "Create a new Notification using the options below. Or select an existing Notification to review or edit." A blue button with a plus icon and the text "+ New Notification" is displayed. Below the button is a table with four columns: "Notification ID", "Title", "Modified On", and "Status".

Notification ID	Title	Modified On	Status
N-25-7001	Test Person02	04/07/2025 4:50 PM	Submitted
N-25-2879	Test Person04	04/09/2025 2:28 PM	Submitted

The **ONS – My Notifications** page allows you to create, view, edit, and delete a Notification.

To create a Notification, click the **+ New Notification** button.



Note: You must be signed in to create a Notification.

The **ONS – Create Notification** page will appear.

ONS - Home / **ONS - Create Notification**

To start processing a Notification, read the definitions below and select the Notification type that best describes the reason of this Notification. Then, fill out these fields and click 'Create New Record'. These fields will be used to generate the Notification ID for your draft.

§ 850.401 Undertaking a notifiable transaction.
A U.S. person that undertakes a notifiable transaction shall file a notification of that transaction with the Department of the Treasury pursuant to § 850.404.

§ 850.402 Notification of actions of a controlled foreign entity.
A U.S. person shall file a notification with the Department of the Treasury pursuant to § 850.404 with respect to any transaction by a controlled foreign entity of that U.S. person that would be a notifiable transaction if engaged in by a U.S. person.

§ 850.403 Notification of post-transaction knowledge.
A U.S. person that acquires actual knowledge after the completion date of a transaction of a fact or circumstance such that the transaction would have been a covered transaction if such knowledge had been possessed by the relevant U.S. person at the time of the transaction shall promptly, and in no event later than 30 calendar days following the acquisition of such knowledge, submit a notification pursuant to § 850.404. This requirement applies regardless of whether the transaction would have been a notifiable transaction or a prohibited transaction.

Please note there may be a delay of a few minutes before this information fills in on your notification.

Notification Type

Notification Type *

Select

Select

850.401 - Notifiable Transaction

850.402 - Notification of actions of a controlled foreign entity

850.403 - Notification of post-transaction knowledge

There are three types of Notifications. Select the desired Notification Type, then click on the Create New Record button.

- **850.401 – Notifiable Transaction**
- **850.402 – Notification of actions of a controlled foreign entity**
- **850.403 – Notification of post-transaction knowledge**

8. 850.401 Notifiable Transaction

For the purposes of this user guide, the section headers reflect the notification stages for **850.401 – Notifiable Transaction**. However, the stages for **850.402 – Notification of actions of a controlled foreign entity** and **850.403 – Notification of post-transaction knowledge** are nearly identical, as shown below.

The **Notification** page appears.

Notification

The instructions in these forms are provided to help facilitate the submission of information required under 31 CFR Part 850. Please consult the regulations or the Outbound Investment Security Program website for additional information.

Please note that information and documentary material saved in or submitted via this system will be visible to the U.S. Government. However, as a matter of practice by the Department of the Treasury, information will not be accessed until the U.S. Person has submitted the notification in final form.

Notification Components	
User Management	Edit
Representation	Edit
U.S. Person	Edit
Covered Transaction	Edit
Covered Foreign Person	Edit
Covered Activity	Edit
Document Uploads	Edit
Certification	Edit
Notification Review	Edit

Click on the **Edit** button next to **User Management** to move onto the **User Management** page.

8.1 850.401 User Management

Add or remove users from the **User Management** page if necessary.

The screenshot shows the 'User Management' page. On the left is a sidebar with a 'Main Page' button at the top and a list of navigation items: 'User Management' (highlighted), 'Representation', 'U.S. Person', 'Covered Transaction', 'Covered Foreign Person', 'Covered Activity', 'Document Uploads', 'Certification', and 'Notification Review'. The main content area is titled 'User Management' and contains a paragraph of instructions: 'On this tab you may add other individuals to your notification. By adding an individual to a notification, you acknowledge that such individual will be able to view all aspects of the notification and to submit the notification to ONS. If you add such individual as an admin, they may also remove users from the notification and add new registered individuals to the notification with the same abilities. It is your responsibility to appropriately limit and keep current the individuals added to your notification. If the individual you wish to add does not have an account, they will need to create one prior to accessing this notification.' Below this text is a table with columns 'Name ↑', 'Email', and 'Notification Admin?'. The table currently has one row with the value 'Yes' in the 'Notification Admin?' column. To the right of the table is a blue '+ Add New' button. At the bottom of the page are two buttons: 'Save' and 'Save and Next'.

To add a new user, click on the **+Add New** button. The **create** pop-up window will appear.

The screenshot shows a 'Create' pop-up window. At the top left is a pencil icon and the word 'Create'. The main content area contains the following text: 'PLEASE NOTE: Only users with an ID.me account associated with the provided email address can be added to the submission.' followed by 'For security reasons user entries without ID.me accounts will be removed from the list and must be added after an ID.me account is acquired.' Below this is the heading 'New additions that are not Admins are Standard Users'. There are two input fields: 'Name *' and 'Email'. Below the 'Email' field is a question: 'Would you like to make this new user a notification admin?' with two radio buttons, 'No' (selected) and 'Yes'. At the bottom is a blue 'Submit' button.

Enter the new users **Name** and **Email Address**, select yes or no to make them a **Notification Admin**, then click the **Submit** button.

The **new user** is added in the table.

			Add New
Name ↑	Email	Notification Admin?	
		Yes	<input type="checkbox"/>
		No	<input type="checkbox"/>

Click the dropdown arrow and select **Delete**, then click **Delete** button in pop up to remove the user.

×

 Delete

Are you sure you want to delete this record?

Delete

Cancel

Click the **Save and Next** button to move onto the **Representation** page.

8.2 850.401 Representation

Add or remove **Representatives**.

The screenshot shows a web application interface for the 'Representation' page. On the left is a sidebar with a 'Main Page' header and a list of navigation items: 'User Management', 'Representation' (highlighted), 'U.S. Person', 'Covered Transaction', 'Covered Foreign Person', 'Covered Activity', 'Document Uploads', 'Certification', and 'Notification Review'. The main content area is titled 'Representation' and contains the instruction: 'Please identify the individuals representing each party to the transaction and provide Treasury with a name and address for correspondence.' Below this is a table with two columns: 'Name of party to the Notification ↑' and 'Company/Firm of Representative(s)'. The table is currently empty, with a yellow message box stating 'There are no records to display.' To the right of the table is a blue '+ Add New' button. At the bottom of the main content area are three buttons: 'Back', 'Save', and 'Save and Next'.

To add a new **Representative**, click on the + **Add New** button.

The **Representative's Information** page appears.

Fill out the **Representative's Information** and **Mailing Address**.

The screenshot shows the 'Representative's Information' page. It has a title 'Representative's Information' at the top. Below the title are two input fields: 'Name of Party to the Notification *' and 'Company/Firm of Representative'. Below these fields is a section titled 'Representative's Mailing Address'. This section contains a checkbox for 'International'. Below the checkbox are five input fields: 'Address Line 1 *', 'Address Line 2', 'Address Line 3', 'City', and 'State *'. The 'State *' field is a dropdown menu with 'Select' as the current selection. Below the 'State *' field is a 'Postal Code *' input field. At the bottom of the page is a blue 'Next' button.

Note: Checking the **International** Checkbox causes **Country** and **State/Province** dropdown menus to appear. Also, the **Phone number** and **Postal Code** fields only accept numerical values for **US Addresses** and the **Postal Code** accepts alphanumeric values for **International Addresses**.

Once complete, click the **Next** button.

The second Representative's Information page displays the **Contact Information**:
- **Primary Contact** and **Secondary Contact** tables.

Representative's Information

Contact Information

Primary Contact

[+ Add New](#)

First Name ↑	Last Name	Email	Business Phone
There are no records to display.			

Secondary Contacts

[+ Add New](#)

First Name ↑	Last Name	Email	Business Phone
There are no records to display.			

[Previous](#) [Save Representative Information](#)

To add a **Primary Contact**, click the upper **+ Add New** button. The **Create** pop-window appears.

Create

First Name *

Last Name *

Salutation

Title

Email *

Business Phone *

[Save Primary Contact](#)

Note: Salutation field (example: Mr., Mrs., Dr. etc.)


Fill out the required fields, then click the **Save Primary Contact** button.

The **Primary Contact** is added in the table.

Primary Contact				
First Name ↑	Last Name	Title	Email	Business Phone
Primary	Contact	Officer	test@test.com	1234567890

To delete a **Primary Contact**, click the dropdown arrow then select **Delete**.

To add a **Secondary Contact**, click the lower **+ Add New** button. The **Create** pop-window appears.

 Create ×

First Name *

Last Name *

Salutation

Title

Email *

Business Phone *

Provide a telephone number

Save Secondary Contact

Fill out the required fields, then click the **Save Secondary Contact** button.

Secondary Contacts

Add New

First Name ↑	Last Name	Title	Email	Business Phone	
Tester	Name	Officer	tester@test.com	2345678910	▼

Previous

Save Representative Information

To delete a **Secondary Contact**, click the dropdown arrow then select **Delete**.

To save the representative's information click the **Save Representative Information** button.

The new **Representative** is added in the table.

Representation

Please identify the individuals representing each party to the transaction and provide Treasury with a name and address for correspondence.

Add New

Name of party to the Notification ↑	Company/Firm of Representative(s)	
Test Rep	Test Co.	▼

Back

Save

Save and Next

To **Edit** or **Delete** the Representative, click the dropdown arrow and select **Edit** or **Delete**.

Once complete, click the **Save and Next** button to move on to the **U.S. Person** page.

8.3 850.401 U.S. Person

Fill out the **U.S. Person** information.

User Management

Representation

U.S. Person

Covered Transaction

Covered Foreign Person

Covered Activity

Document Uploads

Certification

Notification Review

U.S. Person

The term U.S. person means any United States citizen, lawful permanent resident, entity organized under the laws of the United States or any jurisdiction within the United States, including any foreign branch of any such entity, or any person in the United States.

U.S. Person Information

Is the U.S. Person an individual or entity? *

Select

Full Name: *

Principal Place of Business [City]: *

Principal Place of Business [Country]: *

Select

Place of Incorporation or Legal Organization: *

Primary NAICS Code: *

Please provide a brief description of the U.S. Person: *

B *I* U **≡** **=** **>** **<**

Enter text...

Website Address (if any):

U.S. Person Ultimate Parent(s)

Add Entity

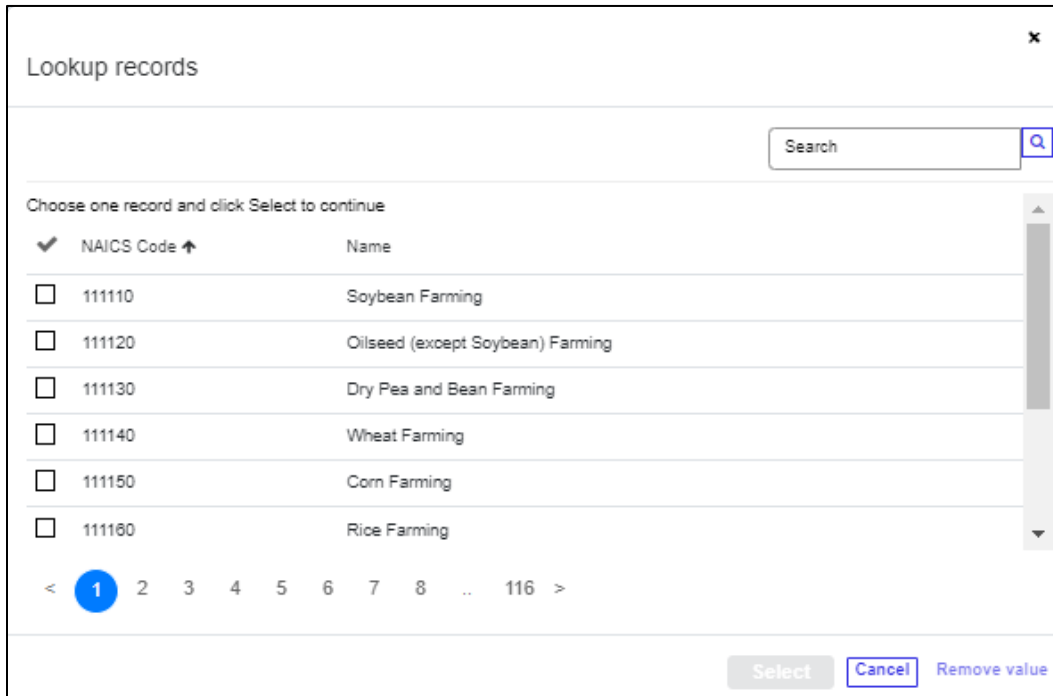
Type	Name	Principal Place of Business	Place of Incorporation
There are no records to display.			

☐ Does the U.S. Person have any Intermediate Parent(s)?

Note: For the first question, “Is the U.S. Person an individual or entity?” selecting **Individual** will hide the **U.S. Person Ultimate Parent(s)** table and **Intermediate Parent(s)** question. In contrast, selecting **Entity** will display the **U.S. Person Ultimate Parent(s)** table and **Intermediate Parent(s)** question.

To select a **NAICS Code**, click on the **NAICS Code** magnifying glass search button.

The **lookup records** pop-up window will appear.

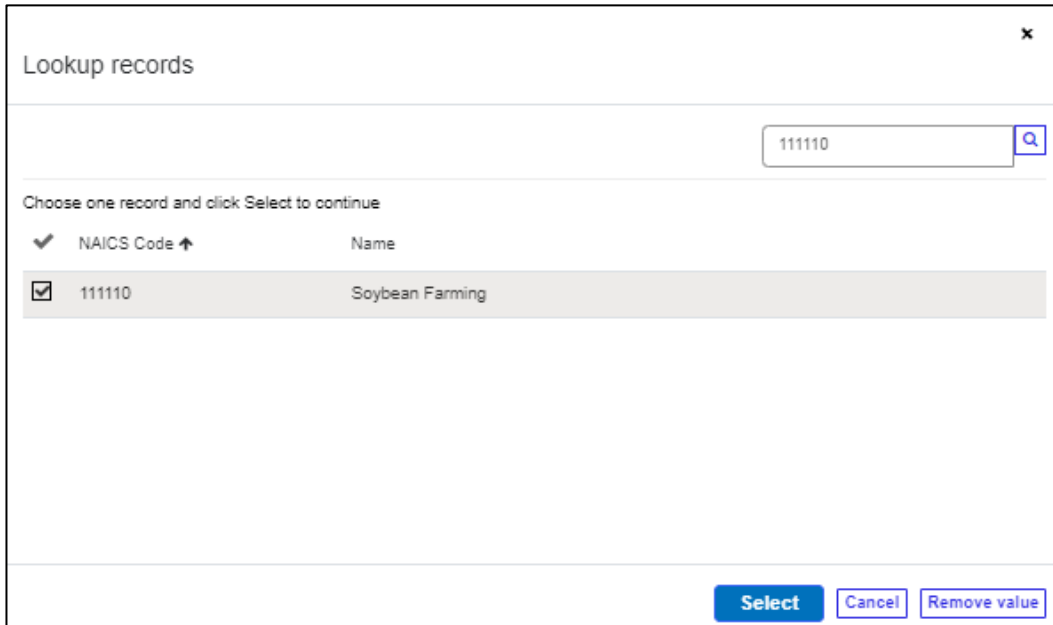


The 'Lookup records' pop-up window displays a search bar at the top right. Below it, a table lists NAICS codes and their corresponding names. The first column is labeled 'NAICS Code' with an upward arrow, and the second column is labeled 'Name'. The table contains six rows of data. At the bottom of the table, there is a pagination bar with a blue circle containing the number '1' and a series of numbers from 2 to 116. At the bottom right of the window, there are three buttons: 'Select', 'Cancel', and 'Remove value'.

NAICS Code ↑	Name
<input type="checkbox"/> 111110	Soybean Farming
<input type="checkbox"/> 111120	Oilseed (except Soybean) Farming
<input type="checkbox"/> 111130	Dry Pea and Bean Farming
<input type="checkbox"/> 111140	Wheat Farming
<input type="checkbox"/> 111150	Corn Farming
<input type="checkbox"/> 111160	Rice Farming

You can either type in the full **NAICS Code** or the **NAICS Code** Name into the search bar, then either press enter or click the magnifying glass button. The Filtered list will appear.

Click the desired **NAICS Code**. **Note:** Only one **NAICS Code** can be selected per Notification.



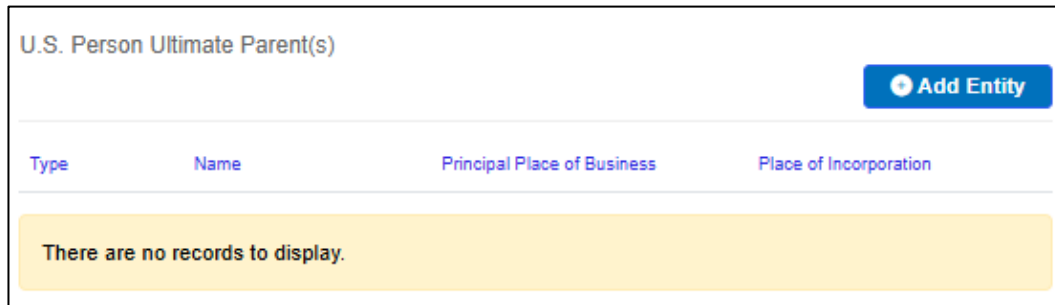
The 'Lookup records' pop-up window shows the same list of NAICS codes as before. The first row, '111110 Soybean Farming', is now highlighted in grey, and its checkbox is checked. The search bar at the top right now contains the text '111110'. The 'Select' button at the bottom right is now blue, while 'Cancel' and 'Remove value' remain white.

NAICS Code ↑	Name
<input checked="" type="checkbox"/> 111110	Soybean Farming

Once the check box is checked, unselect by clicking the **NAICS Code** again, clicking cancel or the remove value button. Click the **Select** button to select the **NAICS Code** and return to the current page.

The **Lookup record** pop-up window will disappear.

The **U.S. Person Ultimate Parent(s)** section allows you to add one **Entity** or **Individual** and up to four **Intermediate Parents**.



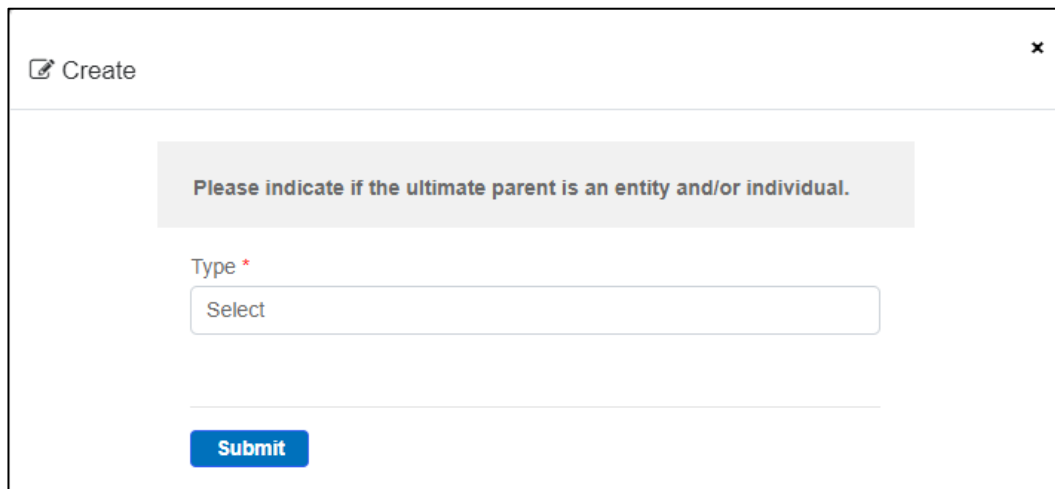
U.S. Person Ultimate Parent(s)

[+ Add Entity](#)

Type	Name	Principal Place of Business	Place of Incorporation
There are no records to display.			

Click the **+Add Entity** button to add the **U.S. Person Ultimate Parent**.

The **create** pop-up window will appear.



Create

Please indicate if the ultimate parent is an entity and/or individual.

Type *

Select

[Submit](#)

Select **Entity** or **Individual** from the Type dropdown menu.



Type *

Select

Select

Entity

Individual

[Submit](#)

Selecting **Entity** will cause its additional fields to appear.

The screenshot shows a web form titled "Create" with a close button (X) in the top right corner. A grey instruction box at the top states: "Please indicate if the ultimate parent is an entity and/or individual." Below this, the form contains four text input fields: "Type *" (containing "Entity"), "Entity Name *" (empty), "Principal Place of Business" (empty), and "Place of Incorporation" (empty). A blue "Submit" button is located at the bottom left of the form area.

Fill out the **Entity** information, then click the **Submit** button.

Selecting **Individual** will cause its required fields to appear.

The screenshot shows the same "Create" form, but now with "Individual" selected in the "Type *" field. A grey instruction box at the top states: "If there is no middle name, insert 'NMN' (No Middle Name)." The form now includes five text input fields: "Title/Position *" (empty), "First Name *" (empty), "Middle Name *" (empty), and "Last Name *" (empty). The "Submit" button remains at the bottom left. A vertical scrollbar is visible on the right side of the form area.

Note: If there is no middle name, insert 'NMN' (No Middle Name).

Fill out the Individual information, then click the **Submit** button.

The **Create** pop-up window will disappear, and the U.S Person Ultimate Parent will be added to the table.

U.S. Person Ultimate Parent(s)

Add Entity

Type	Name	Principal Place of Business	Place of Incorporation
Entity	Test Entity	Test City	Test Country

Note: You can only add one Ultimate Parent.

U.S. Person Ultimate Parent(s)

Add Entity

Type	Name	Principal Place of Business	Place of Incorporation
Individual	First Middle Last		

To delete the **U.S. Person Ultimate Parent Entity** or **Individual** click the dropdown arrow and select **delete**.

If the U.S. Person **does not have** Intermediate Parents **do not check** the checkbox next to the question: **“Does the U.S. Person have any Intermediate Parent(s)?”**

If yes, **check** the check box and the **Intermediate Parent(s)** table will appear.

☐ Does the U.S. Person have any Intermediate Parent(s)?

To add **Intermediate Parent(s)** click the **Add Entity** button.

☒ Does the U.S. Person have any Intermediate Parent(s)?


Intermediate Parent(s)

Add Entity

Entity Name	Principal Place of Business	Place of Incorporation
There are no records to display.		

Note: You can add up to **four Intermediate Parent(s)** per Notification.

The **create** pop-up window will appear.

 Create ×

Entity Name *

Principal Place of Business *

Place of Incorporation *

Submit





Fill out the **Intermediate Entity** information, then click the **Submit** button.

☒ Does the U.S. Person have any Intermediate Parent(s)?

Intermediate Parent(s)

+

 Add Entity

Entity Name	Principal Place of Business	Place of Incorporation
Test Entity	Test City	Test Country 
Test Entity2	Test City2	Test Country 
Test Entity3	Test City3	Test Country 
Test Entity4	Test City4	Test Country 

To delete the **Intermediate Parent(s)** click the dropdown and select **delete**.

Next, fill out the **U.S. Person Address** information.

U.S. Person Address

Street Address: *





City: *

State: *

Select

Zip Code: *

Additional Information:

B *I* U    

Enter text...

Back

Save

Save and Next

Then, click the **Save and Next** button go to the **Covered Transaction** page.

8.4 850.401 Covered Transaction

Fill out the **Covered Transaction** information.

User Management	Covered Transaction
Representation	Click into each field to see the specific information requirements for the relevant section.
U.S. Person	
Covered Transaction	
Covered Foreign Person	
Covered Activity	
Document Uploads	
Certification	
Notification Review	

Notification Type

850.401 - Notifiable Transaction

Provide a brief description of why the U.S. person has determined the transaction is a covered transaction that includes an explanation of the nature of the transaction, its structure, reference to the paragraph of § 850.210(a) that best describes the transaction type, and whether the notification is being submitted pursuant to § 850.401, § 850.402, or § 850.403: *

[Regulation](#)


B I U [List Bulleted] [List Numbered] [Link] [Unlink]

Enter text...

B I U [List Bulleted] [List Numbered] [Link] [Unlink]


Enter text...

Provide the actual date of the completed transaction: *

MM/DD/YYYY 

Note: The **actual date** of the completed transaction must be within 30 days prior to the current date.

Provide the actual date of the completed transaction:

MM/DD/YYYY 

< December 2024 >

Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31	1	2	3	4
5	6	7	8	9	10	11

action:

Continue filling out the **Covered Transaction** information.

<p>Please describe the status of the transaction: *</p> <div><div>B <i>I</i> <u>U</u> ≡ ≡ ≡ ≡ ≡ ≡ ≡ ≡</div><div>Enter text...</div></div>	
<p>Total transaction value (in U.S. dollars): *</p> <div></div>	
<p>Please provide an explanation of how the transaction value was determined: *</p> <div><div>B <i>I</i> <u>U</u> ≡ ≡ ≡ ≡ ≡ ≡ ≡ ≡</div><div>Enter text...</div></div>	
<p>Provide the consideration from the U.S. Person (including cash, securities, other assets, and debt forgiveness) for the transaction: *</p> <div><div>B <i>I</i> <u>U</u> ≡ ≡ ≡ ≡ ≡ ≡ ≡ ≡</div><div>Enter text...</div></div>	

<p>Pre-transaction equity interest percentage (do not include special characters): *</p> <div></div>	<p>Post-transaction equity interest percentage (do not include special characters): *</p> <div></div>
<p>Provide the aggregate equity interest, voting interest, board seat (or equivalent holdings) of the U.S. Person and its affiliates in the Covered Foreign Person (or in the joint venture, as applicable) following the completion date of the transaction. If the transaction includes the acquisition of contingent equity interest, please include the maximum beneficial ownership the U.S. Person expects to hold following the conversion of equity: *</p> <div><div>B <i>I</i> <u>U</u> ≡ ≡ ≡ ≡ ≡ ≡ ≡ ≡</div><div>Enter text...</div></div>	
<p>Was this transaction undertaken directly or indirectly? *</p> <div>Select</div>	

Select **Yes** or **No** to any agreement(s) or options for future investments. If **yes**, provide a description.

Agreements or Future Investments

Are there any agreements or commitments for future investment or options to make future investment(s) not discussed above? *

Yes

If yes, please include a description of any agreements or commitments for future investment or options to make future investments in the covered foreign person (or joint venture): *

B *I* U **≡** **≡** **↶** **↷**

Enter text...

Note: The **Post-Transaction Knowledge** questions will be under the Agreements or Future Investments section and only apply to the **850.403 Notification Type**. (see below)

Post-Transaction Knowledge


Please provide an identification of the fact or circumstance of which the U.S. Person acquired knowledge post-transaction: *

B *I* U **≡** **≡** **↶** **↷**

Enter text...

Please provide the date upon which the U.S. Person acquired such knowledge: *

MM/DD/YYYY



Please provide a statement explaining why the U.S. Person did not possess or obtain such knowledge at the time of the transaction: *

B *I* U **≡** **≡** **↶** **↷**

Enter text...

Provide a description of any pre-transaction diligence undertaken by the U.S. Person, including, as applicable, any steps described in § 850.104(c): *

B *I* U **≡** **≡** **↶** **↷**

Once complete, select the type of transaction undertaken.

Select the type of transaction undertaken: *

☐ Equity Interest

☐ Contingent Equity Interest

☐ Conversion of Equity Interest

☐ Debt Financing

☐ Assets

☐ Joint Venture

☐ Acquisition of Interest in a Fund

Note: Selecting different transaction types will cause different questions/fields to hide or appear on the **Covered Foreign Person** page.

Select the type of transaction undertaken: *

☒ Equity Interest

Select

☐ Select

☐ Majority

☐ Minority

☐ Full Acquisition

☒ Assets

☐ Acquisition

☐ Leasing

☐ Development

☐ Joint Venture

☐ Acquisition of Interest in a Fund

Selecting **Equity Interest** will cause a dropdown menu to appear with the following options:

- **Majority**
- **Minority**
- **Full Acquisition**

Selecting **Assets** will cause the following options to appear:

- **Acquisition**
- **Leasing**
- **Development**

Next, complete the **Prior Transactions** information.

Prior Transactions

Is the transaction identified in this notification related to a prior transaction (e.g., between similar parties, acquiring additional interests)? *

Select

Has any party to this notification ever submitted a prior notification to the U.S. Department of the Treasury under 31 CFR Part 850? *

Select

Additional Information

B *I* U **≡** **≡** **?** **?**

Enter text...

Back

Save

Save and Next

Selecting **Yes** to each question cause table to appear to add the **Notification ID**.

Prior Transactions

Is the transaction identified in this notification related to a prior transaction (e.g., between similar parties, acquiring additional interests)? *

Yes

If yes, please provide the related Notification ID, if applicable.

+ Notification ID

Related Notification ID

There are no records to display.

Has any party to this notification ever submitted a prior notification to the U.S. Department of the Treasury under 31 CFR Part 850? *

Yes

If yes, please provide the relevant Notification ID, if applicable.

+ Notification ID

Prior Notification ID

There are no records to display.

To add the **Related** or **Prior Notification ID**, click the **+Notification ID** button. The **create** pop-up window will appear.

Create

Related Notification ID *

eg. N-24-1234

Submit

Input the auto formatted **Notification ID**, then click the **Submit** button.

The **Notification ID** is added to the table.

If yes, please provide the related Notification ID, if applicable.

Notification ID

Related Notification ID

N-25-1234

Has any party to this notification ever submitted a prior notification to the U.S. Department of the Treasury under 31 CFR Part 850? *

Yes

If yes, please provide the relevant Notification ID, if applicable.

Notification ID

Prior Notification ID

N-25-2345

To delete the **Notification ID**, click the dropdown arrow and select **delete**.

Additional information:

B I U

Provide a brief description of why the U.S. person has determined the transaction is a covered transaction that includes an explanation of the nature of the transaction, its structure, reference to the paragraph of § 850.210(a) that best describes the transaction type, and whether the notification is being submitted pursuant to § 850.401, § 850.402, or § 850.403:

Once complete, click the **Save and Next** button to move on to the **Covered Foreign Person** page.

8.5 850.401 Covered Foreign Person

Note: The sections of this page will display in different orders, based on the selected transaction type on the **Covered Transaction** page.

Fill out the **Covered Foreign Person** information.

User Management	<h3>Covered Foreign Person</h3> <p>Click into each field to see the specific information requirements for the relevant section. For more context on Covered Foreign Persons, please click here.</p> <p>Please use the space below to describe the Covered Foreign Person, the Person of a Country of Concern, and the Covered Activities' location.</p> <p>Full Name: *</p> <input type="text"/> <p>Principal Place of Business [City]: *</p> <input type="text"/> <p>Principal Place of Business [Country]: *</p> <input type="text" value="Select"/> <p>Place of Incorporation or Legal Organization: *</p> <input type="text"/> <p>NAICS Code *</p> <input type="text"/> <input type="button" value="Q"/> <p>Website Address (if any):</p> <input type="text"/> <p>Please include a statement identifying and describing the attributes that cause the entity to be a covered foreign person, and any other relevant information regarding the covered foreign person and covered activity or activities: *</p> <p style="text-align: right;">Regulation</p> <div><div>B <i>I</i> <u>U</u> ≡ ≡ ? ?</div><div>Enter text...</div></div>
Representation	
U.S. Person	
Covered Transaction	
Covered Foreign Person	
Covered Activity	
Document Uploads	
Certification	
Notification Review	

Note: The fields in the screenshot above apply to the following transaction types: **Equity Interest**, **Contingent Equity Interest**, **Conversion of Equity Interest**, **Debt Financing**, and/or **Acquisition of Interest in a Fund**.

Select all the **Countries of Concern** related to the Covered Foreign Person or Covered Activities.

- **People's Republic of China, Hong Kong SAR, or Macau SAR.**

Please select all Countries of Concern related to the Covered Foreign Person or Covered Activities, if known:

☐ People's Republic of
China

☐ Hong Kong SAR

☐ Macau SAR

Note: The selections in the screenshot above apply to all transaction types.

Fill out the **Fund Information**.

Fund Information

If this notice is related to § 850.210(a)(6), please provide the below information

Fund Name: *

General Partner or equivalent (if any):

Principal Place of Business [City]: *

Principal Place of Business [Country]: *

Select

Place of Incorporation or Legal Organization: *

Fund Manager Website Address (if any):

Describe the fund in which the U.S. person acquired interest, including the fund name and identification of any general partner, managing member, and investment adviser: *

Describe the process by which the U.S. Person became aware that the relevant fund likely would invest in a person of a country of concern in the semiconductors and microelectronics, quantum information technologies, or artificial intelligence sectors: *

Describe the process by which the U.S. Person became aware that the relevant fund may undertake or undertook a transaction that would be a covered transaction if undertaken by a U.S. Person: *

Note: The section in the screenshots above applies to the following transaction type: **Acquisition of Interest in a Fund**.

If the **Covered Foreign Person** information provided above is attributed to a person of a country of concern, check the checkbox. The **Person of a Country of Concern** section will disappear. If not, leave it unchecked.

☐ Is the information above attributable to a person of a country of concern?

Note: The question in the screenshot above applies to the following transaction types: **Equity Interest, Contingent Equity Interest, Conversion of Equity Interest, and/or Debt Financing**.

Fill out the **Person of a Country of Concern** information.

Person of a Country of Concern

If known, please provide the below information regarding the Person of a Country of Concern.

Full Name:

Principal Place of Business [City]:

Principal Place of Business [Country]:

Select

Place of Incorporation or Legal Organization:

Website Address (if any):

Note: The section in the screenshot above applies to all transaction types.

Fill out the **Provide the address for the Covered Foreign Person or the location where the Covered Activities will take place** information.

Provide the address for the Covered Foreign Person or the location where the Covered Activities will take place:

Street Address: *

City: *

Country: *

Postal Code: *

Note: The section in the screenshot above applies to the following transaction types: **Equity Interest, Contingent Equity Interest, Conversion of Equity Interest, Debt Financing, Assets, and or Joint Venture.**

Describe how the transaction will result in the establishment of a covered foreign person or will engage a person of a country of concern in a covered activity:

Note: The field in the screenshot above applies to the following transaction type: **Joint Venture & Assets.**

If applicable, identify the covered foreign person established through the transaction:

Note: The field in the screenshot above applies to the following transaction type: **Assets.**

If the **Covered Foreign Person** has Intermediate or Ultimate Parents not referenced above, check the checkbox. If so, check the checkbox. If not, leave unchecked.

☐ Does the Covered Foreign Person or Person of a Country of Concern have any intermediate or ultimate parents not referenced above?

Note: The question in the screenshot above applies to the following transaction types: **Equity Interest, Contingent Equity Interest, Conversion of Equity Interest, Debt Financing, and/or Assets.**

Once checked, The **Intermediate and Ultimate Parent(s)** table appears.

☒ Does the Covered Foreign Person or Person of a Country of Concern have any intermediate or ultimate parents not referenced above?

Intermediate and Ultimate Parent(s)

+ Add Entity

Parent Type	Parent Name	Principal Place of Business	Place of Incorporation/Organization
There are no records to display.			

Click the **+Add Entity** button to add **Intermediate Entities/Individual(s)** or an **Ultimate Entity/Individual**.

The **create** pop-up window will appear.

Select **Intermediate** and **Entity**, fill out the required information, then click the **submit** button.

Parent

Is this an intermediate or ultimate parent? *

Intermediate

Is the parent an entity or individual? *

Entity

Parent Name: *

Place of Incorporation or Legal Organization: *

Principal Place of Business: *

Submit

The **Intermediate Entity** is added to the table.

Intermediate and Ultimate Parent(s)			
			Add Entity
Parent Type	Parent Name	Principal Place of Business	Place of Incorporation/Organization
Intermediate	Test Name	Test Place	Test LLC

Or select **Intermediate** and **Individual**, fill out the required information, then click the **submit** button.

Parent

Is this an intermediate or ultimate parent? *

Intermediate

Is the parent an entity or individual? *

Individual

Parent Name: *

Citizenship: *

Select

Residence: *

Select

Submit

The **Intermediate Individual** is added to the table.

Intermediate and Ultimate Parent(s)			
			Add Entity
Parent Type	Parent Name	Principal Place of Business	Place of Incorporation/Organization
Intermediate	Test Name		

Select **Ultimate** and **Entity**, fill out the required information, then click the **submit** button.

Parent

Is this an intermediate or ultimate parent? *

Ultimate

Is the parent an entity or individual? *

Entity

Parent Name: *

Place of Incorporation or Legal Organization: *

Principal Place of Business: *

Submit

The **Ultimate Entity** is added to the table.

Intermediate and Ultimate Parent(s)				Add Entity
Parent Type	Parent Name	Principal Place of Business	Place of Incorporation/Organization	
Ultimate	Test Name	Test Place	Test LLC	v

Or select **Ultimate** and **Individual**, then fill out the required information, then click the **submit** button.

Parent

Is this an intermediate or ultimate parent? *

Ultimate

Is the parent an entity or individual? *

Individual

Parent Name: *

Citizenship: *

Select

Residence: *

Select

Submit

The **Ultimate Individual** is added to the table.

Intermediate and Ultimate Parent(s)				Add Entity
Parent Type	Parent Name	Principal Place of Business	Place of Incorporation/Organization	
Ultimate	Beta Tester			v

Next, add the Covered Foreign Person's **Corporate Leadership** members.

Corporate Leadership

Please provide the full legal names and titles of each officer, director, and other members of management of the covered foreign person.

Add Individual

Title/Position	First Name	Middle Name	Last Name
There are no records to display.			

Back

Save

Save and Next

Click the **+Add Individual** button. The create pop-up window will appear.

Fill out the **Corporate Leadership** information, then click the **submit** button.

Create

If no middle name, please put NMN.

Title/Position *

First Name *

Middle Name *

Last Name *

Other Name(s)

Save

The **Corporate Leadership** member is added to the table.

Corporate Leadership

Please provide the full legal names and titles of each officer, director, and other members of management of the covered foreign person.

Add Entity

Title/Position	First Name	Middle Name	Last Name	
Officer	First	Middle	Last	<div></div>

Back

Save

Save and Next

To delete the **Corporate Leadership** members, click the drop-down arrow and select **delete**.
Click the **Save and Next** button to move on to the **Covered Activity** page.

8.6 850.401 Covered Activity

Select all the **Technologies** and **products** that apply, then fill out the **Covered Activity** information.

User Management	Covered Activity
Representation	Select all of the technologies and products that apply:
U.S. Person	<input type="checkbox"/> Artificial Intelligence <input type="checkbox"/> Semiconductors and Microelectronics <input type="checkbox"/> Quantum Information Technologies
Covered Transaction	Please identify and describe each of the covered activity or activities undertaken by the covered foreign person that makes the transaction a covered transaction: *
Covered Foreign Person	<div><div>B <i>I</i> <u>U</u> ≡ ≡ ↶ ↷</div><div>Enter text...</div></div>
Covered Activity	Please describe the known end use(s) and end user(s) of the covered foreign person's technology, products, or services: *
Document Uploads	<div><div>B <i>I</i> <u>U</u> ≡ ≡ ↶ ↷</div><div>Enter text...</div></div>
Certification	If a transaction involves a covered activity identified in § 850.217(a), (b), or (c), identification of the technology node(s) at which any applicable product is produced: *
Notification Review	<div><div>B <i>I</i> <u>U</u> ≡ ≡ ↶ ↷</div><div>Enter text...</div></div> <div>Regulation</div>
	<div><div>Back</div><div>Save</div><div>Save and Next</div></div>

Note: When you select **Semiconductors and Microelectronics** an additional prompt will appear asking, "If a transaction involves a covered activity identified in § 850.217(a), (b), or (c), please identify the technology node(s) at which any applicable product is produced:". However, if you choose **Artificial Intelligence** and/or **Quantum Information Technologies**, this prompt will be hidden and is not required.

Selecting **Artificial Intelligence** will cause its subcategories to appear.

Select all of the technologies and products that apply:

☒ Artificial Intelligence☐ Semiconductors and Microelectronics☐ Quantum Information Technologies

Artificial Intelligence Option(s):

☐ Develops any AI system designed exclusively for or intended to be used for military, government intelligence, or mass surveillance end use☐ Develops any AI system trained using a quantity of computing power greater than 10^{25} computational operations

☐ Develops any AI system trained using a quantity of computer power greater than 10^{24} computational operations using primarily biological sequence data☐ Develops any Other AI system trained using a quantity of computing power greater than 10^{23} computational operations

☐ Develops any Other AI system designed to be used for government intelligence or mass-surveillance end use☐ Develops any Other AI system intended to be used for cybersecurity applications

☐ Develops any Other AI system intended to be used for digital forensics tools☐ Develops any Other AI systems intended to be used for penetration testing tools

☐ Develops any Other AI systems intended to be used for the control of robotic systems

You can select multiple subcategories.

Selecting **Semiconductors and Microelectronics** will cause its subcategories to appear and the final Covered Activity question to be hidden.

Select all of the technologies and products that apply:

☐ Artificial Intelligence☒ Semiconductors and Microelectronics☐ Quantum Information Technologies

Semiconductors and Microelectronics Option(s):

☐ Integrated Circuits

☐ IC Equipment

☐ IC Design

☐ IC Fabrication

☐ IC Packaging

Selecting the following subcategories below will cause its subcategories to appear.

- Integrated Circuits
- IC Equipment
- IC Design
- IC Packaging

Semiconductors and Microelectronics Option(s):

☒ Integrated Circuits

- ☐ Design of any integrated circuit meeting or exceeding performance parameters in ECCN 3A090.a, or designed for operation at or below 4.5 Kelvin
- ☐ Fabricates any logic integrated circuits (using a non-planar transistor architecture or with a production technology node of 16/14 nanometers or less)
- ☐ Fabricates any NAND memory integrated circuits (at least 128 layers)
- ☐ Fabricates any DRAM integrated circuits (technology node of 18 nanometer half-pitch or less)
- ☐ Fabricates any ICs manufactured from gallium-based semiconductor
- ☐ Fabricates any ICs using graphene transistors or nanotubes
- ☐ Fabricates any ICs designed for operation at or below 4.5 Kelvin

☒ IC Equipment

- ☐ Develops or produces any front-end semiconductor fabrication equipment designed for performing volume fabrication of integrated circuits
- ☐ Develops or produces any commodity, material, software, or technology designed exclusively for use in/with extreme ultraviolet lithography fabrication equipment
- ☐ Develops, installs, sells, or produces any supercomputer enabled by advanced integrated circuits with certain levels of processing power

☒ IC Design

- ☐ Develops or produces any electronic design automation software for design of integrated circuits or advanced packaging
- ☐ Other IC Design

☐ IC Fabrication

☒ IC Packaging

- ☐ Develops or produces any equipment for performing volume advanced packaging
- ☐ Advanced packaging techniques used to package any IC
- ☐ Other IC Packaging

Selecting **Quantum Information Technologies** will cause its subcategories to appear.

Quantum Information Technologies Option(s):

- ☐ Develops any Quantum Computer
- ☐ Producing any critical components required to produce a quantum computer
- ☒ Develops or produces any quantum product designed or intended to be used for any military, government intelligence, or mass-surveillance end use
 - ☐ Quantum Sensing
 - ☐ Quantum Network
 - ☐ Quantum Communication
- ☒ Develops or produces any quantum product designed or intended to be used for networking to scale up capabilities of quantum computers
 - ☐ Quantum Network
 - ☐ Quantum Communication
- ☒ Develops or produces any quantum Product designed or intended to be used for secure communications
 - ☐ Quantum Network
 - ☐ Quantum Communication

After selecting all the **Technologies** and **products** that apply, complete filling out the remaining text fields.

Please identify and describe each of the covered activity or activities undertaken by the covered foreign person that makes the transaction a covered transaction: *

B *I* U ≡ := ↶ ↷

Enter text...

Please describe the known end use(s) and end user(s) of the covered foreign person's technology, products, or services: *

B *I* U ≡ := ↶ ↷

Enter text...

If a transaction involves a covered activity identified in § 850.217(a), (b), or (c), identification of the technology node(s) at which any applicable product is produced: *

B *I* U ≡ := ↶ ↷

Enter text...

Regulation

Back

Save

Save and Next

Once complete, click the **Save and Next** button to move onto the **Document Uploads** page.

8.7 850.401 Document Uploads

To add a document to the Notification, click the + **Add file** button.

Document Uploads

Attach here any documents in connection with this notification (only PDF and XLSX file types are allowed).

+ Add File

Name ↑	Document Type
There are no records to display.	

Back **Save** **Save and Next**

The create pop-up window will appear.

Create

Upload

Document Type *

Select

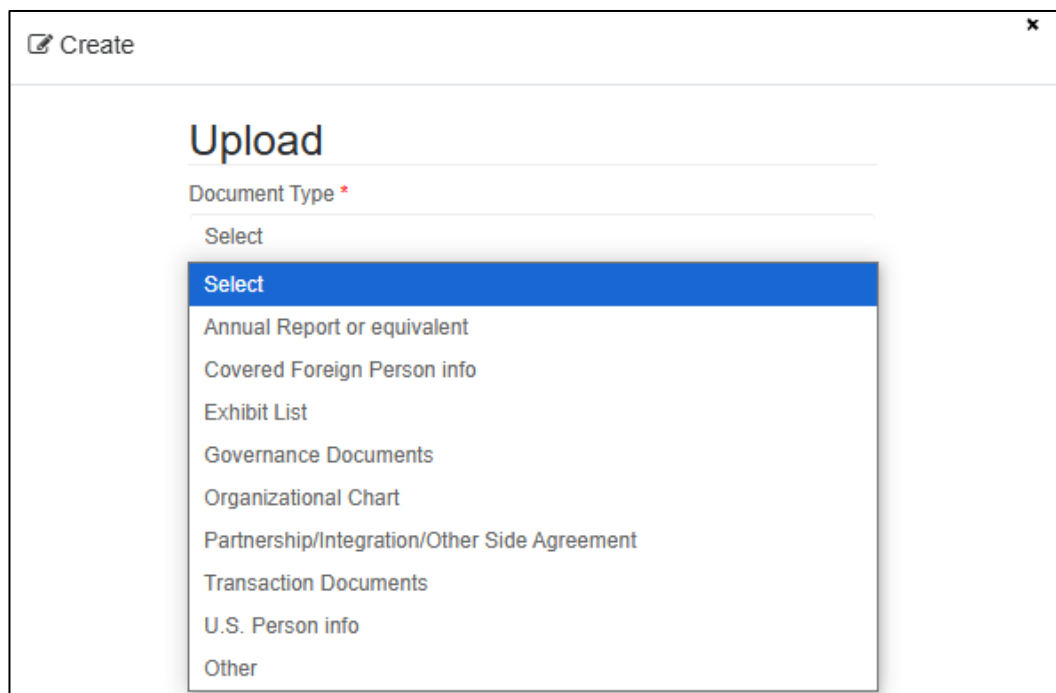
Attach a file

Choose File No file chosen

Submit

Note: Uploading an **Organizational Chart** is required to **Save** or **Submit Notification**. Also, each document must either be a new **PDF** or **XLSX** file.

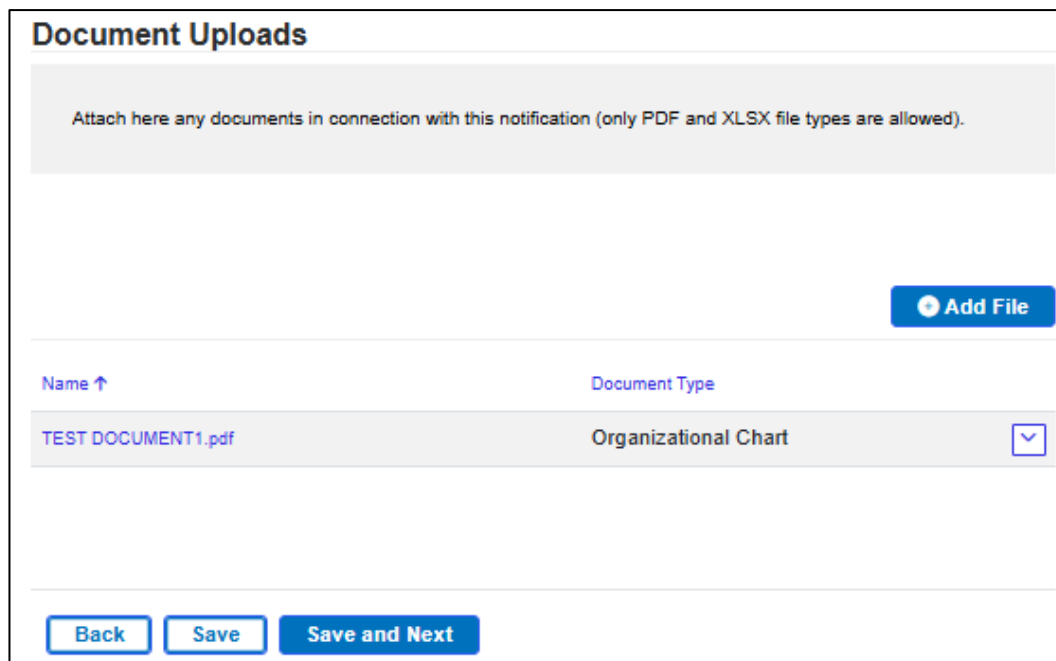
Select the **Document Type** from the dropdown menu.



The screenshot shows a 'Create' modal window with a title bar containing a pencil icon and the word 'Create'. Inside the modal, the heading 'Upload' is followed by a 'Document Type' dropdown menu. The dropdown is open, showing a list of options: 'Select' (highlighted in blue), 'Annual Report or equivalent', 'Covered Foreign Person info', 'Exhibit List', 'Governance Documents', 'Organizational Chart', 'Partnership/Integration/Other Side Agreement', 'Transaction Documents', 'U.S. Person info', and 'Other'.

Click the **Choose File** button, select the desired documents, then click the **Submit** button.

The **Document** file is added to the table.



The screenshot shows the 'Document Uploads' section. At the top, there is a message: 'Attach here any documents in connection with this notification (only PDF and XLSX file types are allowed)'. Below this message is an 'Add File' button. A table lists the uploaded document: 'TEST DOCUMENT1.pdf' with 'Organizational Chart' as the document type. At the bottom of the table is a dropdown arrow. Below the table are three buttons: 'Back', 'Save', and 'Save and Next'.

To **delete** the uploaded document, click the dropdown arrow and select **delete**.

Then, click the **Save and Next** button to move onto the **Certification** page.

8.8 850.401 Certification

To add a certification to the Notification, click the **+Add files** button.

Certification

Attach here a certification from the U.S. person submitting the notification that the information contained in the form is consistent with 31 C.F.R. 850.405 (only PDF, JPEG and XLSX file types are allowed). You can directly download the template by clicking [here](#).

For additional information, please visit the [Treasury Outbound Website](#).

+ Add File

Name ↑	Document Type
There are no records to display.	

Back

Save

Save and Next

The create pop-up window will appear.

Create

×

Upload

Attach a file

Choose File

No file chosen

Submit

Note: Uploading a **Certification** is required to **Save** or **Submit Notification**. Also, each document must either be a **PDF**, **JPEG** or **XLSX** file.

Click the **Choose Files** button, select the desired documents, then click the **Submit** button.

The **Certification** is added to the table.

Certification

Attach here a certification from the U.S. person submitting the notification that the information contained in the form is consistent with 31 C.F.R. 850.405 (only PDF, JPEG and XLSX file types are allowed). You can directly download the template by clicking [here](#).

For additional information, please visit the [Treasury Outbound Website](#).

Add File

Name ↑	Document Type
TEST CERTIFICATION1.pdf	Certification

Back

Save

Save and Next

To **delete** the uploaded document, click the dropdown arrow and select **delete**.

TEST CERTIFICATION1.pdf	Certification	▼
-------------------------	---------------	---

View details

Delete

Back

Save

Save and Next

Click the **Save and Next** button to move onto the **Notification Review** page.

8.9 850.401 Notification Review

Review the entire Notification for any errors or omissions.

User Management

Representation

U.S. Person

Covered Transaction

Covered Foreign Person

Covered Activity

Document Uploads

Certification

Notification Review

Representation

Please identify the individuals representing each party to the transaction and provide Treasury with a name and address for correspondence.

Add New

Name ↑	Company/Firm of Representative(s)	Created On	
Test Person06	Test Co	04/23/2025 9:30 AM	▼

U.S. Person

U.S. Person Information

Is the U.S. Person an individual or entity?

Select

Full Name:

Principal Place of Business [City]:

Principal Place of Business [Country]:

Select

Place of Incorporation or Legal Organization:

Primary NAICS Code:

Please provide a brief description of the U.S. Person:

Click the **Save** button to save the Notification and remain on the same page, click the **Save and Next** button to return to Notifications page, or click the **Submit Notification** button to submit the Notification.

☐ We have uploaded signed certifications and are prepared to submit this as a notification.

Back

Save

Save and Exit

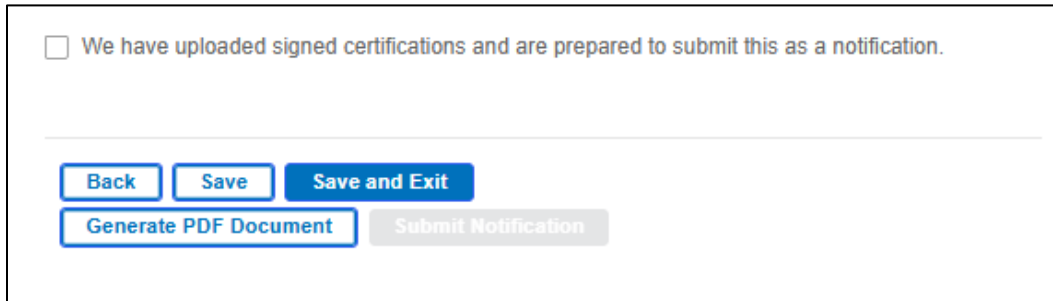
Generate PDF Document

Submit Notification

Click the **Save and Exit** button to return to the **ONS – My Notifications** page.

9. How to Generate PDF Document

After creating a new Notification or editing a previous Notification, navigate to the bottom of the Notification Review page. Then, click the **Generate PDF Document** button.



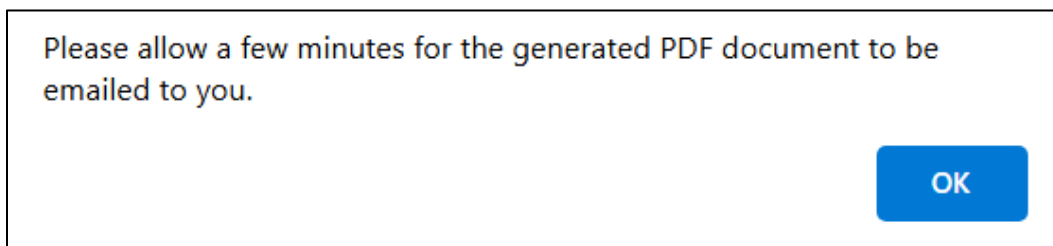
☐ We have uploaded signed certifications and are prepared to submit this as a notification.

[Back](#) [Save](#) [Save and Exit](#)

[Generate PDF Document](#) [Submit Notification](#)

Note: This feature is available only when the Notification is in the “Pending Submission” state. Users can close the browser window after clicking the **OK** button on the initial pop-up.

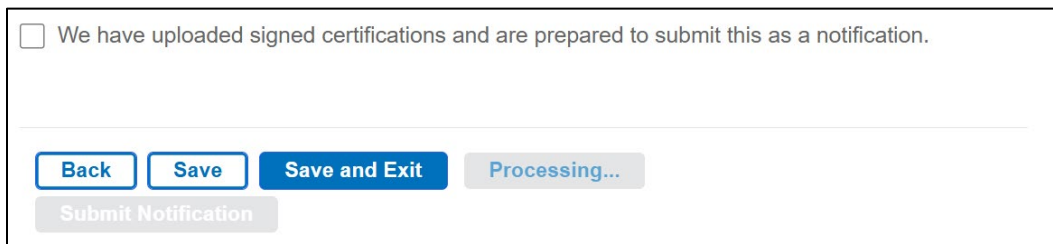
A pop-up window will appear that the system will generate the PDF document in a few minutes and email it to the submitter's registered email address.



Please allow a few minutes for the generated PDF document to be emailed to you.

[OK](#)

Click **OK**.

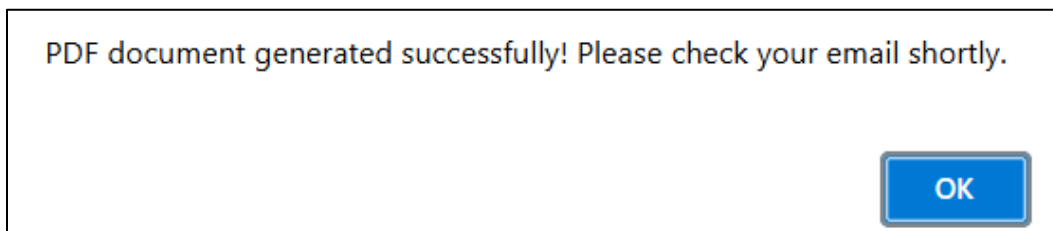


☐ We have uploaded signed certifications and are prepared to submit this as a notification.

[Back](#) [Save](#) [Save and Exit](#) [Processing...](#)

[Submit Notification](#)

The status changes to Processing while the system is generating the PDF.



PDF document generated successfully! Please check your email shortly.


[OK](#)

Click **OK** to exit the pop-up window.

10. How to View My Notifications

From the **Home** page, click on the Notifications button at the top.

The **ONS – My Notifications** page appears.

 U.S. Department of the Treasury

[Home](#) | [Notifications](#) | [Contact Us](#)

ONS - Home / **ONS - My Notifications**

ONS - My Notifications

Create a new Notification using the options below. Or select an existing Notification to review or edit.

New Notification

Notification ID	Title	Modified On ↓	Status	
N-25-6480	Test Person04	04/10/2025 4:13 PM	Submitted	▼
N-25-551	Test Person03	04/09/2025 4:00 PM	Submitted	▼
N-25-3025	Test Person02	04/09/2025 1:18 PM	Submitted	▼

< 1 2 3 >

11. How to View Notification Details

From the **ONS – My Notifications** page, click on the **downward arrow** button to the right of one of your Notifications, then select **View Details**.

New Notification			
Notification ID	Title	Modified On ↓	Status
N-25-9506	Test Person06	04/23/2025 1:22 PM	Submitted
N-25-1032	Test Person07	04/23/2025 12:42 PM	Pending Su
N-25-7001	Test Person02	04/23/2025 11:23 AM	Submitted

View Details
User Management

The **Representation** section will be **read-only**.

Representation

Please identify the individuals representing each party to the transaction and provide Treasury with a name and address for correspondence.

Name ↑	Company/Firm of Representative(s)	Created On
Test Person07	Test co	04/23/2025 11:42 AM

U.S. Person

U.S. Person Information

Is the U.S. Person an individual or entity?

Individual

Full Name:

Test Person07

Principal Place of Business [City]:

Test city

Principal Place of Business [Country]:

United States

Place of Incorporation or Legal Organization:

Test co

Primary NAICS Code:

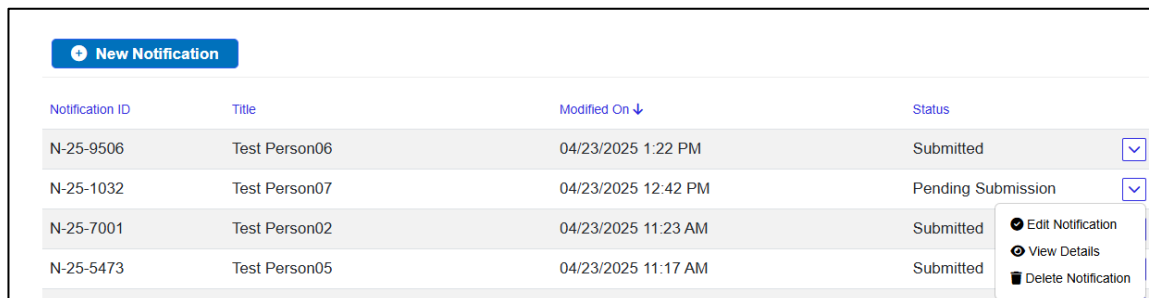
TEST NAICS

Please provide a brief description of the U.S. Person:

test

12. How to Modify a Notification in a Pending Submission State

From the **ONS – My Notifications** page, click on the **dropdown arrow** button to the right of one of your Notifications, then select **Edit Notification**.

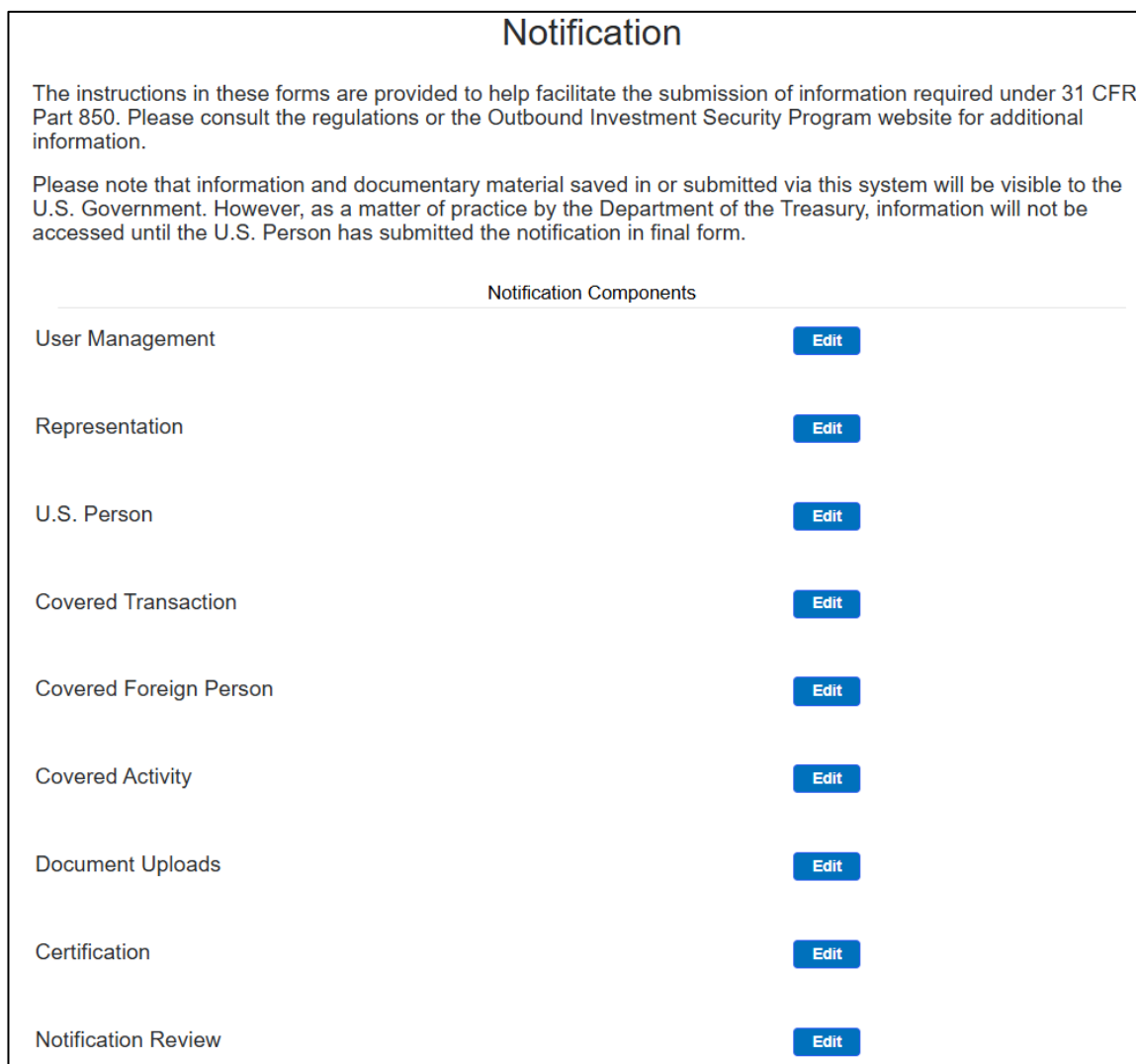


The screenshot shows the 'ONS – My Notifications' interface. At the top left is a 'New Notification' button. Below it is a table with columns: Notification ID, Title, Modified On, and Status. The table contains five rows of notifications. The second row, with ID 'N-25-1032' and status 'Pending Submission', has a dropdown arrow button to its right. This dropdown menu is open, showing three options: 'Edit Notification' (selected), 'View Details', and 'Delete Notification'.

Notification ID	Title	Modified On	Status
N-25-9506	Test Person06	04/23/2025 1:22 PM	Submitted
N-25-1032	Test Person07	04/23/2025 12:42 PM	Pending Submission
N-25-7001	Test Person02	04/23/2025 11:23 AM	Submitted
N-25-5473	Test Person05	04/23/2025 11:17 AM	Submitted

The **Notification Components** page will appear.

To edit each Notification Component, click its **Edit** button.



The screenshot shows the 'Notification' page. At the top is the title 'Notification'. Below it is a paragraph of instructions: 'The instructions in these forms are provided to help facilitate the submission of information required under 31 CFR Part 850. Please consult the regulations or the Outbound Investment Security Program website for additional information.' Below this is another paragraph: 'Please note that information and documentary material saved in or submitted via this system will be visible to the U.S. Government. However, as a matter of practice by the Department of the Treasury, information will not be accessed until the U.S. Person has submitted the notification in final form.' Below the paragraphs is a section titled 'Notification Components'. This section contains a list of components, each with an 'Edit' button to its right.

Notification

The instructions in these forms are provided to help facilitate the submission of information required under 31 CFR Part 850. Please consult the regulations or the Outbound Investment Security Program website for additional information.

Please note that information and documentary material saved in or submitted via this system will be visible to the U.S. Government. However, as a matter of practice by the Department of the Treasury, information will not be accessed until the U.S. Person has submitted the notification in final form.

Notification Components

User Management	Edit
Representation	Edit
U.S. Person	Edit
Covered Transaction	Edit
Covered Foreign Person	Edit
Covered Activity	Edit
Document Uploads	Edit
Certification	Edit
Notification Review	Edit

13. How to Submit Notification

After creating a new Notification or after editing a previous Notification, navigate to the bottom of the **Notification Review** page.

You must click the **checkbox** next to the statement below to view the red asterisks on the required fields of the Notification. It also enables the **Submit Notification** button.

- We have uploaded signed certifications and are prepared to submit this as a notification.

☒ We have uploaded signed certifications and are prepared to submit this as a notification.

Back

Save

Save and Exit

Submit Notification

Generate PDF Document

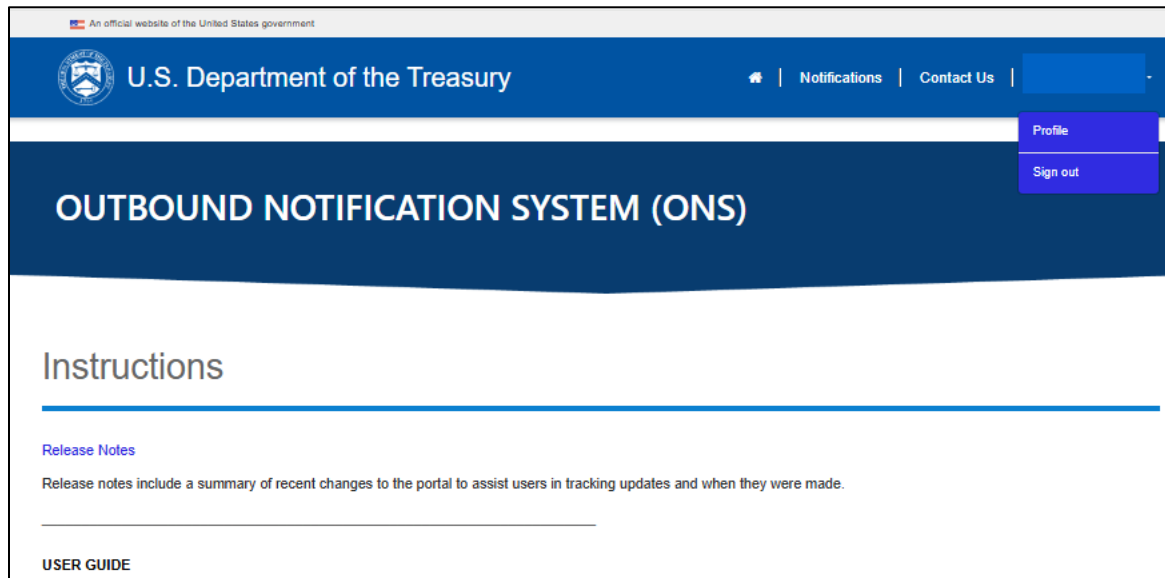
Then, click the **Submit Notification** button.

You will receive a confirmation that the new Notification was submitted, and it will appear in your list of Notifications on the **ONS – My Notifications** page.

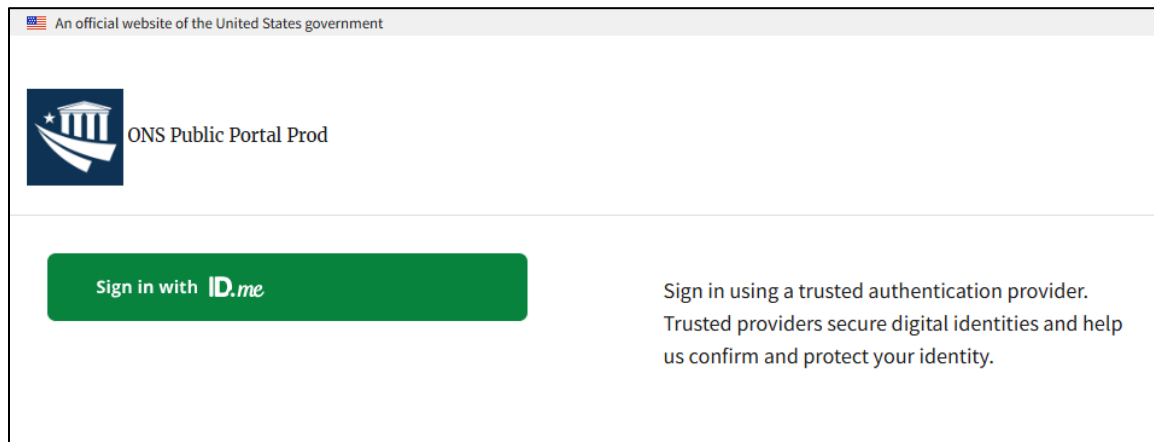
New Notification				
Notification ID	Title	Modified On ↓	Status	
N-25-6480	Test Person04	04/10/2025 4:13 PM	Submitted	▼
N-25-551	Test Person03	04/09/2025 4:00 PM	Submitted	▼
N-25-3025	Test Person02	04/09/2025 1:18 PM	Submitted	▼

14. How to Sign Out

Click the **User's name** in the top right corner and select **Sign Out**.



The following page will appear.



15. Contact Us

The Department of Treasury can be reached using the **contact information** below.

Additional information is also available on the [ONS website](#).

Contact Us

The Department of the Treasury can be reached using the contact information below:

- General Outbound inquiries: Outbound@treasury.gov
- General regulations inquiries: OIS.Outbound.Regulations@treasury.gov
- Media inquiries: Press@treasury.gov
- Outbound Notification System Technical Support: Outbound.ITSupport@treasury.gov

Additional information on the ONS process is also available on the [ONS website](#).