

RESTORE Act Direct Component Application Narrative - Department of the Treasury

OMB Approval No. 1505-0250

The Direct Component Funding Opportunity Announcements describe in detail the content and information required for your application submission. This application form must be included with your application, along with the separate Direct Component Application Narrative Supplement (i.e., project and budget narratives) and other documentation as required by the relevant Funding Opportunity Announcement.

General Information

1. Applicant Name:
2. Descriptive Title of the Project (refer to SF-424):
3. Activity Title from Multiyear Plan Matrix, column #6:

Statutory Questions

4. Select the Primary Qualifying Eligible Activity:
 - a. Restoration and protection of the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches and coastal wetlands of the Gulf Coast Region
 - b. Mitigation of damage to fish, wildlife and natural resources
 - c. Implementation of a federally approved marine, coastal, or comprehensive conservation management plan, including fisheries monitoring
 - d. Workforce development and job creation
 - e. Improvements to or on State parks located in coastal areas affected by the Deepwater Horizon oil spill
 - f. Infrastructure projects benefitting the economy or ecological resources, including port infrastructure
 - g. Coastal flood protection and related infrastructure
 - h. Planning assistance
 - i. Promotion of tourism in the Gulf Coast Region, including recreational fishing
 - j. Promotion of the consumption of seafood harvested from the Gulf Coast Region
5. Select all other eligible activities that apply:
 - Restoration and protection of the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches and coastal wetlands of the Gulf Coast Region
 - Mitigation of damage to fish, wildlife and natural resources
 - Implementation of a federally approved marine, coastal, or comprehensive conservation management plan, including fisheries monitoring
 - Workforce development and job creation
 - Improvements to or on State parks located in coastal areas affected by the Deepwater Horizon oil spill
 - Infrastructure projects benefitting the economy or ecological resources, including port infrastructure
 - Coastal flood protection and related infrastructure
 - Planning assistance
 - Promotion of tourism in the Gulf Coast Region, including recreational fishing
 - Promotion of the consumption of seafood harvested from the Gulf Coast Region

6. Was the Proposed activity included in any claim for compensation paid out by the Oil Spill Liability Trust Fund after July 6, 2012? If "Yes," this activity is not eligible for a Direct Component grant.

- Yes
 No

Project Location

7. Location/primary place of performance. Please provide the actual location for the activity.
- Street Address:
 - City/Town:
 - County/Parish:
 - State:
 - Zip code:
8. If there is more than one location for the activity, please include a list of the additional locations.
- Street Address:
 - City/Town:
 - County/Parish:
 - State:
 - Zip code:
9. If available, provide latitude/longitude(s) or GPS coordinates for the location(s) of the project.
10. Attach a map showing the project location in the Gulf Coast Region.
11. Is a GIS shape file available?
- No.
- Yes. If yes, please provide a point of contact (name and email address) from whom the file may be obtained.

Proposed Scope of Work

The applicant must provide a detailed scope of work that fully describes the project or program for which funding is requested, including all the questions below.

12. Key Personnel
- Applicant's Authorizing Official authorized to sign the grant application and award:
Name
Email
Phone
 - Project Director responsible for the project:
Name
Email
Phone
 - Financial Officer responsible for maintaining accounting and financial records of the grant:
Name
Email
Phone

13. Project or Program Description:
- Need:
 - Purpose:
 - Objectives that clearly identify with the eligible activity(ies)
14. Identify how the proposed project activity will be carried out in the Gulf Coast Region as defined in 31 CFR 34.2. (select appropriate response)
- In Gulf Coast States, the coastal zones defined under section 304 of the CZMA that border the Gulf
 - Land within coastal zones held in trust by the Federal Government
 - Any adjacent land, water, and watersheds within 25 miles of coastal zone
 - All federal waters of the Gulf of Mexico
 - The eligible activity is exempt from being located in the Gulf Coast Region. If the eligible activity is exempt, provide the reason for exemption:
15. Describe possible material risks in implementing and maintaining the proposed activity, e.g., operational, legal, regulatory, budgetary, or ecological risks, and include a brief discussion of mitigation strategies that the applicant may need to address in order to implement and/or maintain the proposed activity. If the applicant determines that there are no material risks, then include a statement summarizing the determination.
16. Describe whether the proposed scope of work differs from the corresponding project/program identified in the applicant's Multiyear Plan accepted by Treasury. If no differences exist, make an affirmative statement as to their consistency.

Best Available Science

The RESTORE Act requires activities designed to protect or restore natural resources to be based on the 'best available science,' which is defined in the Act as science that (a) maximizes the quality, objectivity, and integrity of information, including statistical information; (b) uses peer-reviewed and publicly available data; and (c) clearly documents risks and uncertainties in the scientific basis for such projects. The applicant must make a determination that a project designed to protect or restore natural resources is based on the best available science. (See Direct Component FAQs on Treasury's RESTORE Act website.)

17. Is the proposed activity a restoration or natural resource project or does the proposed project include restoration or natural resource objectives?
- No
 - Yes. If yes, a detailed response must be included with the application and supporting documentation may be required. In your responses cite and describe peer reviewed literature or publicly available data. For each source cited, the applicant must provide sufficient citations, including title; journal in which the literature source appeared, if applicable; publication date; author(s); and URL if downloaded or available online.
 - Provide a response with the following:
 - an explanation of how the project's natural resource protection and/or restoration objectives and proposed methods are based on best available science
 - a summary of any risks or uncertainties associated with the project and explain how these risks will be mitigated

- a description of the peer-reviewed information that justifies the proposed objectives, including methods used for the proposed activity.
 - a description of the literature sources' conclusions and any uncertainties or risks in the scientific basis that would apply to the proposed activity.
 - if the information supporting the proposed activity does not directly pertain to the Gulf Coast Region, an explanation on how the applicant's methods reasonably support and are adaptable to that geographic area.
 - an evaluation of uncertainties and risks in achieving the project's best available science objectives over the longer term.
- b. To support the Best Available Science determination, provide copies of any cited planning documents, internal reports and/or other documentation of site conditions that are not part of peer-reviewed literature and/or are not publicly available.

Budget Justification

18. Provide a detailed budget that supports the proposed scope of work. The budget justification should relate each budget category listed in the SF-424A and SF-424C to the specific tasks identified in the proposed scope of work, including any third-party funding. The budget justification should provide specific justification for all budget categories that apply, including an explanation of the necessity, allowability, reasonableness, and allocability of proposed costs. (See relevant Funding Opportunity Announcement for a complete description of the budget categories.)
19. If indirect costs are requested, the applicant must select the appropriate response below and provide relevant supporting documentation:
- Indirect costs are based on a grant-by-grant method and do not exceed 3% of award amount
 - Indirect costs are based on the Aggregate Method and do not exceed 3% of the total Administrative Cost Pool available.
- a. If requesting the aggregate method, provide a table of administrative costs requested to date and the available funds in the recipient's administrative indirect cost pool. (We recommend using the worksheet from the Aggregate Method Administrative Cost Tool.)
- b. Indirect costs must be supported by either a current federally approved Indirect Cost Rate Agreement or an election to use the de minimis indirect cost rate. Applicants electing to use the de minimis rate should upload a letter or other similar document, signed by their Chief Financial Officer or other senior official. Applicants electing to use a federally approved Indirect Cost Rate Agreement (Negotiated Indirect Cost Rate Agreement, NICRA) should upload the current NICRA.
20. Does the project include Other Funding Sources?
- No.
 - Yes. If yes, provide documentation of proof of availability of other funds needed for completion of project activities. (See relevant Funding Opportunity Announcement for a complete description of what Treasury accepts as documentation as proof of available other funds).

Direct Component Funds to Satisfy Non-Federal Cost Share

Treasury's RESTORE Act regulations at 31 CFR § 34.200(b) allow eligible applicants to use funds under the Direct Component to satisfy the non-Federal cost-share of an activity that is eligible for funding under 31 CFR § 34.201 and authorized by Federal law. Applicants seeking to use Direct Component funding to cover the non-Federal cost-share of another federally funded project or program which is a Direct Component eligible activity must include documentation in its application. Treasury will not award funds for the non-federal share of a project receiving funding from another Federal agency until the other Federal agency has approved the activity and Treasury receives documentation of the approval. (See relevant Funding Opportunity Announcement for a complete description of what must be provided.)

21. Will the proposed activity use Direct Component funding to cover the non-Federal cost-share of another federally funded project or program which is a Direct Component eligible activity?
- No.
 - Yes.
 - a. If yes, has the other Federal agency approved the activity?
 - No. If no, the applicant must provide the additional information as follows.
 - i. The project description/scope of work and performance metric.
 - ii. A narrative describing the activity's status and the approximate timeline for the Federal agency to approve or decline the activity.
 - iii. A letter of commitment from that agency or other documentation that indicates the applicant will receive funding.
 - Yes. If yes, the applicant must upload a copy of the grant agreement or other approval document as part of this Direct Component application.

Contractor

22. Does the applicant plan to contract out any work described in the Scope of Work and included in the Budget Justification (do not include subrecipient's contractors)?
- No.
 - Yes.
 - a. Describe the expected number of contracts, method of procurement, and the applicant's plan for monitoring contractor performance and compliance.
 - b. Has a contractor already been selected?
 - No.
 - Yes. If yes, provide information for each contractor.
 - i. Name:
 - ii. Unique Entity ID (SAM):
 - iii. Date the applicant executed contract:
 - iv. Amount of contract awarded:

Subrecipient

23. Does the proposed project include a subaward?
- No.
 - Yes. If yes, provide a separate, clearly defined scope of work and budget for the subrecipient(s).
 - a. Has a subrecipient already been selected?
 - No. If no, describe how the applicant plans to select subrecipient(s), the applicant's plan for monitoring the subrecipient(s) performance and compliance, and the means by which the applicant will assess each subrecipient's level of risk.
 - Yes. If yes, provide information for each subrecipient.
 - i. Name:
 - ii. Unique Entity ID (SAM):
 - iii. Date of applicant selection of subrecipient:
 - iv. Amount of funds to be provided to subrecipient:
 - v. Describe how the applicant selected the subrecipient; the applicant's plan for monitoring the subrecipient's performance and compliance, and the means by which the applicant assessed the subrecipient's level of risk.

Land Acquisition, Construction, and Relocation Assistance

24. Will land, or an interest in land, be acquired?
- No.
 - Yes. If yes, answer the questions below. Based on the applicant's responses to the following questions, the applicant may be required to upload supporting documentation.
 - a. What are the legal rights that will be acquired?
 - Fee simple title
 - Less-Than Fee Simple Title (e.g., easement)
 - b. If an easement, what is the life of the easement?
 - c. If known, what is the tax parcel number(s)? If tax parcel known, provide a copy of the legal description of the property.
 - d. Will the applicant (or subrecipient) hold title to the land?
 - No. If no, please provide a brief explanation for ownership of the property including how access to property for the project will be obtained.
 - Yes. If obtained, provide a copy of the title opinion or certificate.
 - e. If known, what is the total acreage of the proposed property interest to be acquired?
 - f. Are the property boundaries known for the project site?
 - No.
 - Yes. If yes, provide a map of the area in which the real property to be acquired will be located, with the boundaries of the project site clearly delineated and provide a Federal Emergency Management Agency (FEMA) floodplain map of the area in which the real property to be acquired will be located with the boundaries of the site clearly delineated.

- g. Has the applicant (or subrecipient) obtained a recent certified appraisal of the property?
 - No.
 - Yes. If obtained, provide a copy of the recent certified appraisal. If the appraisal is older than one year from the date of the grant application, please provide a written justification.
 - h. Has the applicant (or subrecipient) obtained a title opinion or certificate?
 - No
 - Yes. If obtained, provide a copy of the title opinion or certificate.
 - i. Has the applicant (or subrecipient) obtained a signed statement from the seller(s) that he/she is a willing seller and has not been coerced into selling or conveying the property interest?
 - No.
 - Yes. If obtained, provide a copy of the signed willing seller statement.
25. Does the project include construction?
- No.
 - Yes. If yes, answer the questions below. Based on the applicant's responses to the following questions, the applicant may be required to upload supporting documentation.
 - a. Will or does the applicant (or subrecipient) hold title to the property to be improved?
 - No. If no, please provide a brief explanation of the ownership of the property including how access to property for the project will be obtained.
 - Yes. If obtained, provide a copy of the title opinion or certificate.
 - b. If known, what is the tax parcel number(s) of the property? If tax parcel known, provide a copy of the legal description of the property.
 - c. Are the property boundaries known for the project site?
 - No.
 - Yes. If property boundaries known, provide a map of the area in which the construction project will be located, with the boundaries of the project site clearly delineated and a Federal Emergency Management Agency (FEMA) floodplain map of the area in which the construction project will be located with the boundaries of the site clearly delineated.
 - d. Has the applicant (or subrecipient) obtained a recent certified appraisal of the property?
 - No.
 - Yes. If obtained, provide a copy of the recent certified appraisal. If the appraisal is older than one year from the date of the grant application, please provide a written justification.
 - e. Has the applicant (or subrecipient) obtained construction drawings for the project?
 - No.
 - Yes. If obtained, provide a copy of the construction drawings for the project.
 - f. Is the applicant proposing an Estimated Useful Life for the construction activity?
 - No.
 - Yes. If yes, provide a proposed Estimated Useful Life for infrastructure (in years) and provide a description of the method used to determine the Estimated Useful Life of the project.

26. Relocation Assistance: Will the proposed project cause the displacement of any persons, businesses, or farm operations?

- No.
- Yes. If yes, as required by Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, explain: the number of displaced persons, including businesses and farm operations; what fair and reasonable relocation payments and advisory services will be provided to any displaced persons; and what provisions will be made to ensure that safe, decent, and sanitary replacement dwellings will be available to such persons within a reasonable period of time prior to displacement.