

# RESTORE Act Centers of Excellence Research Grant Program Application Narrative

## Department of the Treasury

OMB Approval No. 1505-0250

<p><b>The Centers of Excellence Research Grants Program Funding Opportunity Announcement describes in detail the content and information required for your application submission. This application form must be included with your application, along with the separate <i>Centers of Excellence Application Narrative Supplement</i> (i.e., project and budget narratives) and other documentation as required by the relevant Funding Opportunity Announcement.</b></p>							
<b>GENERAL INFORMATION:</b>							
<b>Applicant Name:</b>							
<b>Federal Funding Opportunity Announcement #:</b>							
<b>Is this application to fund the establishment of a new/additional Center(s) of Excellence and/or an existing Center(s) of Excellence?</b>	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center; width: 30px;"><input type="radio"/></td> <td>Existing Center(s) of Excellence (complete Section A)</td> </tr> <tr> <td style="text-align: center;"><input type="radio"/></td> <td>New/Additional Center(s) of Excellence (complete Section B)</td> </tr> <tr> <td style="text-align: center;"><input type="radio"/></td> <td>Both Existing and New/Additional Center(s) of Excellence (complete Sections A and B)</td> </tr> </table>	<input type="radio"/>	Existing Center(s) of Excellence (complete Section A)	<input type="radio"/>	New/Additional Center(s) of Excellence (complete Section B)	<input type="radio"/>	Both Existing and New/Additional Center(s) of Excellence (complete Sections A and B)
<input type="radio"/>	Existing Center(s) of Excellence (complete Section A)						
<input type="radio"/>	New/Additional Center(s) of Excellence (complete Section B)						
<input type="radio"/>	Both Existing and New/Additional Center(s) of Excellence (complete Sections A and B)						
<b>A. EXISTING CENTER(S) OF EXCELLENCE:</b>							
<b>1. Selection Process and Public Input</b>							
<p>(a) Directions: List existing Center(s) and the corresponding grant number(s) under which it was first established, thereby incorporating previous responses by reference.</p>							
<p>(b) Directions: Provide a description of any modifications to the rules and policies that were approved after consideration of meaningful input from the public made since the selection of the existing Center(s).</p>							

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1505-0250. Comments concerning the time required to complete this information collection, including the time to review instructions, search existing data resources, gathering and maintaining the data needed, and completing and reviewing the collection of information, should be directed to the Department of the Treasury, Office of Gulf Coast Restoration, 1500 Pennsylvania Ave., NW, Washington, DC 20220.

<b>B. NEW/ADDITIONAL CENTER(S) OF EXCELLENCE:</b>
<b>1. Selection Process – New/Additional Center(s) of Excellence</b>
Directions: Describe the competitive review process that the applicant used, or will use, to select a new/additional Center(s) of Excellence, including: a) the qualifications for entities and consortia; b) the criteria for selection and how those criteria have been/will be applied; c) how priority has been/will be given to entities and consortia that demonstrate the ability to organize the broadest cross-section of participants with interest and expertise in the discipline(s) on which this application is focused, including participation by minority-serving institutions; d) timeframe of selection process; and e) any other selection factors. As appropriate, provide references to existing state statutory or regulatory requirements.
<b>2. Public Input – New/Additional Center(s) of Excellence</b>
Directions: Explain the process the applicant has engaged in or will engage in to provide 45-day minimum public review and comment on its rules and policies, including the competitive selection process, and the consideration of meaningful input from the public, including broad-based participation from individuals, businesses, Indian tribes, and non-profit organizations. Provide links to public comments, newspaper articles, transcripts, or minutes of meetings, etc. If these are not available on the Internet, please attach relevant documents to the application package.
<b>C. RECIPIENT INFORMATION</b>
<b>1. Subaward Management</b>
Directions: Describe how the applicant will manage the subaward(s) to the Center(s), including the applicant’s policies and procedures for issuing subawards; the process to guard against conflicts of interest; the process for selecting science, technology, or monitoring projects; and monitoring subrecipient’s performance and compliance, including the subrecipient’s oversight of lower tier subrecipients.

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D. CENTER OF EXCELLENCE INFORMATION:		
<p>1. Please provide the name of the Center(s) of Excellence and the name and address for each entity selected to operate the Center(s) of Excellence as a subrecipient of the applicant; attach more pages as needed. Also, provide the Principal Investigator's (PI) contact information.</p>	Name of Center of Excellence:	
	Name of Subrecipient:	
	Street address:	
	City:	
	County/Parish:	
	State:	
	Zip code:	
	DUNS Number:	
	PI Name:	
	PI Phone Number:	
	PI Email Address:	
<p>2. Select the applicable eligible disciplines that each Center will be focusing on as part of this grant request.</p>	Select all that apply	Eligible Discipline
	<input type="checkbox"/>	A. Coastal and deltaic sustainability, restoration and protection, including solutions and technology that allow citizens to live in a safe and sustainable manner in a coastal delta in the Gulf Coast Region
	<input type="checkbox"/>	B. Coastal fisheries and wildlife ecosystem research and monitoring in the Gulf Coast Region
	<input type="checkbox"/>	C. Offshore energy development, including research and technology to improve the sustainable and safe development of energy resources in the Gulf of Mexico
	<input type="checkbox"/>	D. Sustainable and resilient growth and economic and commercial development in the Gulf Coast Region
	<input type="checkbox"/>	E. Comprehensive observation, monitoring, and mapping of the Gulf of Mexico

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3. Provide more information on each Center, including what type of entity it is and whether it is a consortium.	Type of Entity (Institution of higher education, corporation, etc.)	
	Is the Center of Excellence a consortium?	yes <input type="radio"/> no <input type="radio"/>
	If yes, list all member organizations and addresses:	
4. Select whether there is a Minority-Serving Institution(s), and name the entity and type of MSI if applicable.	Minority-Serving Institution(s) included?	yes <input type="radio"/> no <input type="radio"/>
	If yes, name and type of institution(s): (e.g., Historically Black College or University or Hispanic Serving Institution – see definition of Minority Institution and list of institutions at <a href="http://www2.ed.gov/about/offices/list/ocr/edlite-minorityinst.html">http://www2.ed.gov/about/offices/list/ocr/edlite-minorityinst.html</a> )	
5. Select whether the Center is located in the Gulf Coast Region.	Is the Center of Excellence located in the Gulf Coast Region, as defined at 31 C.F.R. § 34.2? Explain or attach a map if necessary.  yes <input type="radio"/> no <input type="radio"/>	
<b>8. Permits</b>		
Does the Center's proposed activities require any federal, tribal, state, or other permits? (For potential federal environmental permits see Environmental Checklist and Environmental Checklist Reference Guidance.)  Yes <input type="radio"/> No <input type="radio"/>		
If yes, a list of the federal, tribal, state, or other permits required for the Center's proposed activities and the status of the permits must be included with the <i>Centers of Excellence Application Narrative Supplement</i> .		

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## Centers of Excellence Application Narrative Supplement

All applicants must provide a narrative including the following information with the application as an upload in GrantSolutions.gov. The information is required unless it is not applicable to the project, program, or activity. Applicants should review the applicable funding opportunity announcement and Treasury guidance for a complete list and explanation of requirements.

1. Applicant Name
2. Proposed Scope of Work - A detailed scope of work that fully describes the program for which funding is requested, including:
  - a. Program Description
  - b. Need
  - c. Purpose
  - d. Objectives, including how they are designed to contribute to establishing/maintaining the Center(s) and monitoring the subrecipient(s)
  - e. Science, technology, and monitoring activities and how these activities relate to the eligible discipline(s)
  - f. Key Personnel, including:
    - i. The applicant's Authorizing Official who is authorized to sign the grant application and award,
    - ii. The Project Director who is responsible for the program,
    - iii. The Financial Officer who is responsible for maintaining the accounting and financial records of the grant, and
    - iv. The Principal Investigator(s) leading the Center(s).
  - g. A description of all funding sources included on the SF-424.
3. Subrecipient Scope of Work - Include a separate, clearly defined scope of work for the subrecipient(s) and a detailed subrecipient budget
4. Milestones – List the milestones and the estimated timeframe for completion (i.e., performance period start date + number of months to completion)
5. Performance Measures – include the following:
  - a. Measure: An indicator of success toward reaching a goal. The measure should reflect how the applicant will evaluate success from the narrative of the accepted multiyear plan.
  - b. Baseline: The starting point of the measure. It is the status quo without the grant award.
  - c. Target: The anticipated result of the measure. It is the anticipated new status with the grant award.
6. Budget Justification - Explain in detail how the proposed budget supports the proposed scope of work. The budget justification should relate each budget category listed in the SF-424A (see below) to the specific tasks identified in the proposed scope of work. The budget justification should provide specific justification for all budget categories that apply; including an explanation of the necessity, allowability, reasonableness, and allocability of proposed costs. (See the Centers of Excellence Research Grants Program Funding Opportunity Announcement for a complete description of the budget categories.)

- a. Total Budget
  - b. Amount of Total Budget incurred pre-award
  - c. Personnel (Salaries and Fringe)
  - d. Travel
  - e. Equipment
  - f. Supplies
  - g. Contractual
  - h. Subawards (including a detailed subaward budget by object class category)
  - i. Other
  - j. Indirect Costs
7. Permits or Authorizations (if applicable): Provide a list of specific federal, tribal, state, or other permits or authorizations required for science, technology, and monitoring activities in this program and their status. If permits or authorizations have been obtained, include them with the application. (For federal environmental requirements, see Environmental Checklist and Environmental Checklist Reference Guidance.)

# RESTORE Act Centers of Excellence Applicant Certifications

## Department of the Treasury

OMB Approval No. 1505-0250

Directions: These certifications are required by federal law and Department of the Treasury (Treasury) regulations to be submitted with each application to Treasury for financial assistance under the RESTORE Act Centers of Excellence Research Grants program. The certifications must be signed by an authorized senior official of the Applicant who can legally bind the entity and has oversight for the administration and use of the Centers of Excellence Research Grants program funds.

### A. RESTORE Act Certification

1. Pursuant to the RESTORE Act, I certify that for any award agreement resulting from this application:

(a) Funds will be used to award competitive grants for the establishment of Centers of Excellence that focus on science, technology, and monitoring in at least one of the following disciplines:

- (i) Coastal and deltaic sustainability, restoration, and protection, including solutions and technology that allow citizens to live in a safe and sustainable manner in a coastal delta in the Gulf Coast region;
- (ii) Coastal fisheries and wildlife ecosystem research and monitoring in the Gulf Coast Region;
- (iii) Offshore energy development, including research and technology to improve the sustainable and safe development of energy resources in the Gulf of Mexico;
- (iv) Sustainable and resilient growth and economic and commercial development in the Gulf Coast Region; and
- (v) Comprehensive observation, monitoring, and mapping of the Gulf of Mexico.

(b) Rules and policies for Centers of Excellence Research Grants, including the competitive selection process and measures to guard against conflicts of interest, were published and available for public review and comment for a minimum of 45 days, and that they were adopted after consideration of all meaningful input from the public, including broad-based participation from individuals, businesses, Indian tribes, and non-profit organizations. The certification in this paragraph (1)(b) does not apply in instances where state statutes and regulations or policies addressing this issue were in effect prior to August 15, 2014.

(c) The Applicant has procedures in place for procuring property and services under this award that are consistent with the procurement standards applying to Federal grants. The Applicant will not request funds under this award for any contract unless this certification remains true and accurate.

(d) Pursuant to 2 C.F.R. § 200.303, the Applicant will establish and maintain effective internal control over all award agreements resulting from this application, and provide reasonable assurance that the Applicant will manage the award in compliance with Federal statutes, regulations, and the terms and conditions of the award. The Applicant knows of no material deficiencies in its internal controls.

(e) A conflict of interest policy consistent with 2 C.F.R. § 200.318(c) is in effect and covering each Center of Excellence funded under this Agreement.

(f) The Applicant will comply with Title VI of the Civil Rights Act of 1964, the Rehabilitation Act of 1973, and all other applicable federal laws and regulations concerning anti-discrimination.

2. I make each of these certifications based on my personal knowledge and belief after reasonable and diligent inquiry, and I affirm that the Applicant maintains written documentation sufficient to support each certification made above, and that the Applicant's compliance with each of these certifications is a condition of the Applicant's initial and continuing receipt and use of the funds provided under an award Agreement.

### B. Certification Regarding Debarment, Suspension, and Other Responsibility Matters -- Primary Covered Transactions: Instructions for Certification

1. By signing and submitting this Application, the prospective primary participant (the Applicant) is providing the certification set out below.

2. The inability of an Applicant to provide the certification required below will not necessarily result in the denial of participation in this covered transaction. The prospective Applicant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with Treasury's approval of the proposed application. However, failure of the Applicant to furnish a certification or an explanation shall disqualify such person/entity from participation in this transaction.

3. This certification is a material representation of fact upon which reliance is placed when Treasury determines to enter into this transaction. If it is later determined that the Applicant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, Treasury may terminate this transaction for cause or default.

4. The Applicant shall provide immediate written notice to Treasury if at any time the Applicant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms "covered transactions," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal", and "voluntarily excluded," as used in this clause (certification), have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact Treasury for assistance in obtaining a copy of those regulations (31 C.F.R. Part 19).

6. The Applicant agrees by submitting this Application that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by Treasury.

7. The Applicant further agrees by submitting this Application that it will not award any contract or subaward to any entity on the government-wide Excluded Parties List System (see 31 C.F.R. Part 19, Appendix).

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and

information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, Treasury may terminate this transaction for cause or default.

**C. Certification Regarding Debarment, Suspension, and Other Responsibility Matters -- Primary Covered Transactions**

1. The prospective primary participant (the Applicant) certifies to the best of its knowledge and belief, that it and its principals:
  - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
  - (b) Have not within a three-year period preceding this Application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
  - (d) Have not within a three-year period preceding this Application had one or more public transactions (Federal, State or local) terminated for cause or default.

2. Where the Applicant is unable to certify to any of the statements in this certification, such Applicant shall attach an explanation to this proposal.

**D. Certification Regarding Drug-Free Workplace Requirements**

1. The Applicant certifies that it will provide a drug-free workplace by:
  - (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against the employee for violations of such prohibition;
  - (b) Establishing a drug-free awareness program to inform employees about:
    - (i) The dangers of drug abuse in the workplace;
    - (ii) The Applicant's policy of maintaining a drug-free workplace;
    - (iii) Any available drug counseling, rehabilitation, and employee assistance program; and
    - (iv) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

- (c) Making it a requirement that each employee to be engaged in the performance of the award be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment in such grant, the employee will:
  - (i) Abide by the terms of the statement; and
  - (ii) Notify the employer of any criminal drug use statute conviction for a violation occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the granting agency in writing, within ten calendar days after receiving notice of a conviction under paragraph (d)(ii) from an employee or otherwise receiving actual notice of such conviction;
- (f) Taking one of the following actions, within 30 days of receiving notice under paragraph (d)(ii), with respect to any employee who is so convicted:
  - (i) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (ii) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) through (f).

**E. Certification Regarding Lobbying**

1. The Applicant certifies, to the best of its knowledge and belief, that:
  - (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Applicant, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
  - (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Application, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
  - (c) The Applicant shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.
2. This certification is a material representation of fact upon which reliance is placed when this transaction is made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by title 31 U.S. Code section 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature of Authorized Senior Official:			
Name:		Date:	
Title:		Organization:	

**RESTORE Act Environmental Checklist**  
**Department of the Treasury**

OMB Approval Number 1505-0250

*Directions: The following questions will aid the applicant in identifying the environmental laws that may apply to the eligible activity and the environmental documents that should be submitted with the application. If a response of "Yes" is recorded to any of the following questions, the applicant should summarize the status of any actions taken in the table located on the last page of the checklist. Treasury will use submitted documents to record the applicant's assertion that it has complied with applicable environmental laws. For projects that will be implemented by a subrecipient, applicants may submit an Environmental Checklist completed by an authorized representative employed by the subrecipient. A statement from the applicant attesting to its review of the subrecipient's Environmental Checklist must be submitted with the application. **Please note: More information, references, and links to all the laws and executive orders can be found in the Environmental Checklist Reference Guide on Treasury's RESTORE Act website.***

**PROPOSED PROJECT NAME:** \_\_\_\_\_

**APPLICANT NAME:** \_\_\_\_\_

**INFORMATION PROVIDED BY:**

**NAME:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**TITLE:** \_\_\_\_\_ **ORGANIZATION:** \_\_\_\_\_

- 1) **NATIONAL ENVIRONMENTAL POLICY ACT (NEPA):** Will the proposed activity be subject to any federal permitting authority, subject to any federal regulatory decision or approval, and/or receive federal assistance of any federal agency (other than RESTORE Act funding associated with this application)?

Yes       No       Uncertain

If yes, list the federal agency(ies): \_\_\_\_\_

Has a NEPA or NEPA-like review been prepared for this proposed eligible activity or is a NEPA review underway? (NEPA documentation may include a Categorical Exclusion (CE), Environmental Assessment (EA)/Finding of No Significant Impact, or an Environmental Impact Statement (EIS), or a

state or tribal equivalent, or the issuance of or a public notice of intent to issue a federal permit, such as a USACE Section 404/10 permit).

Yes  No

If yes, list the document(s) and federal agency(ies): \_\_\_\_\_

Upload a copy of the environmental review document with your application.

- 2) **COASTAL ZONE MANAGEMENT ACT (CZMA):** If the activity will occur in or near the state's designated coastal zone, and therefore in the Gulf Coast Region as defined in Treasury's regulations at 31 CFR 34.2, is the activity likely to have reasonably foreseeable effects on any land or water use or natural resource of the designated coastal zone?

Yes  No

If yes, a federal consistency determination or certification pursuant to Section 307 of the CZMA may be required, from the state agency responsible for CZMA consistency.

- 3) **NATIONAL MARINE SANCTUARIES ACT:** Is the proposed activity located in a National Oceanic and Atmospheric Administration (NOAA) National Marine Sanctuary?

Yes  No

If yes, identify the National Marine Sanctuary: \_\_\_\_\_

A permit or other authorization may be required from NOAA.

- 4) **MAGNUSON – STEVENS FISHERY CONSERVATION AND MANAGEMENT ACT:** Will the proposed activity occur in proximity to an Essential Fish Habitat (EFH) as identified by NOAA's National Marine Fisheries Service (NMFS)?

Yes  No

If yes, identify the EFH types: \_\_\_\_\_

If yes, consultation with NMFS Habitat Conservation Division may be required.

5) **MARINE MAMMAL PROTECTION ACT (MMPA) (NMFS):** Will the proposed activity occur in proximity to any known marine mammals under the jurisdiction of the NMFS?

Yes  No

Will the proposed activity likely result in the take of a marine mammal? "Take" as defined under the MMPA means "to harass, hunt, capture, or kill, or attempt to harass, hunt, capture, or kill any marine mammal" (16 U.S.C. 1362).

Yes  No  Uncertain

If yes, identify the marine mammal(s): \_\_\_\_\_

A consultation and/or permit from the NMFS may be required.

6) **MARINE MAMMAL PROTECTION ACT (USFWS):** Will the proposed activity occur in proximity to any known marine mammals under the jurisdiction of the U.S. Fish and Wildlife Service (USFWS)?

Yes  No

Will the proposed activity likely result in the take of a marine mammal?

Yes  No  Uncertain

If yes, identify the marine mammal(s): \_\_\_\_\_

A consultation and/or permit from the USFWS may be required.

7) **ENDANGERED SPECIES ACT (ESA) (USFWS):** Will the proposed activity occur in proximity to threatened or endangered species or critical habitat as defined by the ESA and under the jurisdiction of the USFWS?

Yes  No

Will the proposed activity potentially affect threatened or endangered species or critical habitat as defined by the ESA and under the jurisdiction of the USFWS?

Yes  No  Uncertain

If yes, list the species: \_\_\_\_\_

8) **ENDANGERED SPECIES ACT (NMFS):** Will the proposed activity occur in proximity to threatened or endangered species or critical habitat as defined by the ESA and under the jurisdiction of the NMFS?

Yes  No

Will the proposed activity potentially affect threatened or endangered species or critical habitat as defined by the ESA and under the jurisdiction of the NMFS?

Yes  No  Uncertain

If yes, list the species: \_\_\_\_\_

A consultation and/or permit from the USFWS and/or NMFS may be required.

9) **COASTAL BARRIER RESOURCES ACT (CBRA):** Is the proposed activity located in or adjacent to a unit of the Coastal Barrier Resources System (CBRS)?

Yes  No

If yes, indicate the CBRS unit(s): \_\_\_\_\_

If yes, the federal funding for the activity may be prohibited. Treasury may be required to make a determination regarding CBRA compliance.

10) **MIGRATORY BIRD TREATY ACT:** Will the proposed activity affect any migratory bird species protected by the Migratory Bird Treaty Act?

Yes  No

If yes, list the migratory bird species: \_\_\_\_\_

A consultation and/or permit from the USFWS may be required.

11) **BALD AND GOLDEN EAGLE PROTECTION ACT**

Will the proposed activity affect any bald or golden eagles protected by the Bald and Golden Eagle Protection Act?

Yes  No

If yes, a consultation and/or permit from the USFWS may be required.

12) **WILD AND SCENIC RIVER ACT:** Is the proposed activity located on a designated Wild and Scenic River?

Yes  No

If yes, list the river: \_\_\_\_\_

Will the proposed activity located on a designated Wild and Scenic River harm the free-flowing condition, water quality, or outstanding resource values of the river?

Yes  No

If yes, the activity may be prohibited. Contact the USFWS.

13) **NATIONAL HISTORIC PRESERVATION ACT (NHPA) AND THE ARCHAEOLOGICAL AND HISTORIC PRESERVATION ACT (AHPA):** Will the proposed activity occur either near property listed or eligible for listing in the National Register of Historic Places or near property otherwise protected by section 106 of the NHPA or a similar State Preservation Act?

Yes  No

Will the proposed activity adversely affect either a property listed or eligible for listing in the National Register of Historic Places or near property otherwise protected by section 106 of the NHPA or a similar State Preservation Act?

Yes  No

If yes, the activity may be prohibited or require mitigation from the State Historic Preservation Officer or Tribal Historic Preservation Officer.

- 14) **RIVERS and HARBORS ACT - SECTION 10 (and SECTION 408 if applicable):** Will the proposed activity involve any work (including structures) that will occur in, over or under navigable waters of the United States?

Yes  No

If yes, a Section 10 permit may be required from the USACE. (In cases of use or alteration of a federal civil works project, a Section 408 consultation with the USACE may be required.)

- 15) **CLEAN WATER ACT (CWA), SECTION 404:** Will the proposed activity result in any discharge of dredge or fill material to the nation's waters or wetlands?

Yes  No

If yes, a Section 404 permit may be required from the USACE.

- 16) **CLEAN WATER ACT (CWA), SECTIONS 401 and 402:** Will the proposed activity result in any discharge of a pollutant to the nation's waters or wetlands?

Yes  No

If yes, a Section 401 Water Quality Certification and/or 402 National Pollutant Discharge Elimination System (NPDES) permit may be required from the Environmental Protection Agency (EPA) or a State or local agency authorized by EPA to administer the NPDES permitting program under State law.

- 17) **MARINE PROTECTION, RESEARCH, AND SANCTUARIES ACT (MPRSA):** Does the proposed activity involve the transportation of materials from the US for the purpose of ocean dumping covered by the MPRSA?

Yes  No

If yes, a permit may be required from the EPA or, for dredged materials, the USACE.

18) **CLEAN AIR ACT (CAA):** Will the proposed activity result in any emissions of pollutants to the air within a non-attainment area or maintenance area?

Yes  No

If yes, the activity may require compliance with the CAA including obtaining a permit in some circumstances from EPA or a delegated state agency.

19) **RESOURCE CONSERVATION AND RECOVERY ACT (RCRA):** Will the proposed activity include the treatment, storage, or disposal of hazardous waste or involve underground storage tanks?

Yes  No

If yes, RCRA hazardous waste storage tank requirements may apply.

20) **COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION, AND LIABILITY ACT (CERCLA):** Will the proposed activity involve a Superfund site?

Yes  No

If yes, the activity requires coordination with EPA.

21) **SAFE DRINKING WATER ACT (SDWA):** Will the proposed activity involve underground injection, which may impact drinking water sources?

Yes  No

If yes, a SDWA permit may be required from EPA or a state with an EPA-approved primacy program.

22) **FARMLAND PROTECTION POLICY ACT (FPPA):** Will the proposed activity irreversibly convert farmland (directly or indirectly) to nonagricultural use?

Yes  No

If yes, the project may be subject to U.S. Department of Agriculture FPPA requirements.

23) **FLOOD DISASTER PROTECTION ACT OF 1973:** Is the proposed activity located in a Special Flood Hazard Area on a National Flood Insurance Program map?

Yes  No

If yes, the purchase of Federal Flood Insurance may be required.

24) **E.O. 11988 and E.O. 12148 – FLOODPLAIN MANAGEMENT:** Is the proposed activity located in a Special Flood Hazard Area on a National Flood Insurance Program map?

Yes  No

If yes, a public notice may be required.

25) **E.O 11990 and E.O 12608 – WETLAND PROTECTION:** Is any portion of the project proposing a new construction activity in wetlands?

Yes  No

If yes, action to minimize the destruction, loss or degradation of wetlands, and to preserve and enhance the natural and beneficial values of wetlands may be required.

26) **E.O. 12898 ENVIRONMENTAL JUSTICE:** Will the proposed activity have disproportionately high and adverse human health or environmental effects on minority or low-income populations?

Yes  No

If yes, the activity may require mitigation.

27) **E.O. 13089 – CORAL REEF PROTECTION:** Will the proposed activity involve a coral reef ecosystem or National Marine Sanctuary?

Yes  No

If yes, the activity should not degrade the condition of coral reef ecosystems.

28) **E.O. 13112 – INVASIVE SPECIES:** Will the proposed activity have the potential to introduce or cause the spread of an invasive species?

Yes  No

If yes, action to prevent the introduction of invasive species may be required.

29) **E.O. 13186 – RESPONSIBILITIES OF FEDERAL AGENCIES TO PROTECT MIGRATORY BIRDS.** Is the proposed activity likely to occur during a time of the year when migrating birds are in the vicinity?

Yes  No

If yes, the activity may be prohibited or require mitigation from the USFWS.

**Environmental Compliance Status**

Report the status of your contact with required agencies/tribes or date permission obtained on the table below which coincides with the environmental laws and executive orders outlined in the checklist. If none, state so. Upload completed environmental compliance documentation (e.g., NEPA documents, permits)

Federal law or EO listed in checklist	Name of responsible agency/tribe	Permit, certification, determination or mitigation required	Date agency/tribe contact or date permission obtained	Status (complete/ in process/ not required)	Document Upload

## **Civil Rights Act of 1964 Title VI Narrative for RESTORE Act Direct Component and Centers of Excellence Research Grants Applicants**

All applicants must provide a Title VI Narrative as an upload in GrantSolutions.gov. The Title VI Narrative must be approved by the applicant's board of directors or appropriate governing entity or official(s) responsible for policy decisions prior to submission to Treasury. Applicants must submit a copy of the board resolution, meeting minutes, or similar documentation with the Title VI Narrative as evidence that the board of directors or appropriate governing entity or official(s) has approved the Title VI Narrative.

The Title VI Narrative, submitted with the application, shall include the information listed below. Treasury will accept a Title VI compliance form recently prepared and submitted to another Federal agency. If any information required by Treasury is not included in the other agency's Title VI compliance form, the missing information must be submitted with the application for the Title VI Narrative to be considered a complete response. If any item listed below is not relevant to the project for which federal financial assistance is requested, the information should be marked as "not applicable." The Title VI Narrative should include:

1. A statement that the Title VI notice to the public is posted in a prominent place or places, and the type of postings being used (i.e., in the recipient's place(s) of business, in written communications to the beneficiaries, or on the recipient's website).
2. A list of any pending Title VI investigations, complaints, or lawsuits filed with the applicant. This list should include those investigations, complaints, or lawsuits that pertain to allegations of discrimination on the basis of race, color, and/or national origin that pertain to the applicant submitting the narrative.
3. Information regarding the applicant's Title VI compliance history if it has previously received funding from another federal agency. The information shall include a copy of any Title VI compliance review reports issued by such other federal agency in the previous two years. The information shall include:
  - a. The purpose or reason for the review.
  - b. The name of the agency or organization that performed the review.
  - c. A summary of the findings and recommendations of the review.
  - d. A report on the status and/or disposition of such findings and recommendations.
4. A copy of the applicant's plan for providing language assistance to persons with limited English proficiency, based on the Treasury LEP Guidance.
5. Applicants that have program-related, non-elected planning boards, advisory councils or committees, or similar bodies, the membership of which is selected by the applicant, must provide a table depicting the racial breakdown of the membership of those committees, and a description of efforts made to encourage the participation of minorities on such committees or councils.

6. A description of the location of existing or proposed facilities connected with the proposed project, program, or activity, and whether the location has or will have the effect of unnecessarily denying access to any persons on the basis of prohibited discrimination (race, color or national origin).
7. A list of the applicant's pending applications for federal financial assistance and existing assistance. (A download file from [USAspending.gov](https://www.usaspending.gov) is acceptable for existing federal financial assistance.)
8. A description of the procedures the applicant will use to ensure subrecipients comply with Title VI and a proposed schedule of Title VI Narrative submissions from the subrecipient for this project or program.