-----Original Message-----
From: Browne, Miguel D. [mailto:MBrowne@FDIC.gov]
Sent: Thursday, November 01, 2001 2:25 PM
To: 'Norman.Carleton@do.treas.gov'
Cc: Wall, David; Mitchell, Amy Aulthouse
Subject: Thoughts about Retail Swaps paper

[(b)(5)]
-----Original Message-----
From: Carleton, Norman
Sent: Tuesday, February 13, 2001 5:08 PM
To: Hughes, Gerry
Subject: FW: Proposed Changes to Netting Bill -- Comments

-----Original Message-----
From: Krimminger, Michael H. [mailto:MKrimminger@FDIC.gov]
Sent: Tuesday, February 13, 2001 4:47 PM
To: 'norman.carleton@do.treas.gov'; 'stephanie.martin@frb.gov';
'Tom.McGivern@do.treas.gov'; 'MooneyJ@sec.gov'
Cc: DeLoose, Michael; Ivie, Stanley R.; Tishuk, Barbara Starke
Subject: Proposed Changes to Netting Bill -- Comments

At Norman's request, I have put in writing my preliminary observations on
the changes proposed by Bond Market to S. 220 - which include both technical
and substantive changes. [(b)(5)]
Please review and let me know your thoughts.
Thanks,
Mike
At Norman's request, I have put in writing my preliminary observations on the changes proposed by Bond Market to S. 220 - which include both technical and substantive changes. [(b)(5)]
Please review and let me know your thoughts.
Thanks,
Mike
-----Original Message-----
From: Browne, Miguel D. [mailto:MBrowne@FDIC.gov]
Sent: Thursday, November 01, 2001 2:25 PM
To: 'Norman.Carleton@do.treas.gov'
Cc: Wall, David; Mitchell, Amy Aulthouse
Subject: Thoughts about Retail Swaps paper

[(b)(5)]
Nickoloff, Peter

From: Fox, Elizabeth L. R. [efox@cftc.gov]
Sent: Wednesday, December 05, 2001 1:45 PM
To: 'Norman Carleton@do.treas.gov'; Alexander M. Brill@cea.ecp.gov; nazareth@sec.gov; blaine@sec.gov; colby@sec.gov; Zwirb, Robert S.; dllall@fdic.gov; Dow, De'ana H.; diane.virzera@ny.frb.org; donald.lamson@occ.treas.gov; Matthew.Eichner@do.treas.gov; Fox, Elizabeth L. R.; eric.hirschhorn@ots.treas.gov; Viva.Hammer@do.treas.gov; polisej@sec.gov; joyce.hansen@ny.frb.org; kathryn.dick@occ.treas.gov; kurt.wilhelm@occ.treas.gov; lsantamoren@bpd.treas.gov; mbrowne@fdic.gov; Peter.Nickoloff@do.treas.gov; Sheila.Bair@do.treas.gov; Timothy.Bitsberger@do.treas.gov; Martha.Ellsett@do.treas.gov; Jose.Gabilondo@do.treas.gov; Jared.Gross@do.treas.gov; Brian.Roseboro@do.treas.gov; Gary.Sutton@do.treas.gov; Mark.Wiedman@do.treas.gov
Cc: Sheila.Bair@do.treas.gov; Timothy.Bitsberger@do.treas.gov; Martha.Ellsett@do.treas.gov; Jose.Gabilondo@do.treas.gov; Jared.Gross@do.treas.gov; Brian.Roseboro@do.treas.gov; Gary.Sutton@do.treas.gov; Mark.Wiedman@do.treas.gov
Subject: RE: New Draft of the Retail Swaps Report

[(b)(5)]

---- Original Message ----
From: Norman.Carleton@do.treas.gov [mailto:Norman.Carleton@do.treas.gov]
Sent: Wednesday, December 05, 2001 3:38 PM
To: Alexander M. Brill@cea.ecp.gov; nazareth@sec.gov; blaine@sec.gov; colby@sec.gov; zwirb@fdic.gov; dlall@fdic.gov; do@federal.gov; diane.virzera@ny.frb.org; donald.lamson@occ.treas.gov; matthew.bichner@do.treas.gov; efox@cftc.gov; eric.hirschhorn@ots.treas.gov; viva.hammer@do.treas.gov; polisej@sec.gov; joyce.hansen@ny.frb.org; kathryn.dick@occ.treas.gov; kurt.wilhelm@occ.treas.gov; lsantamoren@bpd.treas.gov; mbrowne@fdic.gov; peter.nickoloff@do.treas.gov; michael.novey@do.treas.gov; dombalagino@sec.gov; mlpmp08@frb.gov; mlpmp08@frb.gov; heidiluye.schulteiss@do.treas.gov; sheila.bair@do.treas.gov; timothy.bitsberger@do.treas.gov; martha.ellsett@do.treas.gov; jose.gabilondo@do.treas.gov; jared.gross@do.treas.gov; brian.roseboro@do.treas.gov; gary.sutton@do.treas.gov; mark.wiedman@do.treas.gov
Cc: Sheila.Bair@do.treas.gov; Timothy.Bitsberger@do.treas.gov; Martha.Ellsett@do.treas.gov; Jose.Gabilondo@do.treas.gov; Jared.Gross@do.treas.gov; Brian.Roseboro@do.treas.gov; Gary.Sutton@do.treas.gov; Mark.Wiedman@do.treas.gov
Subject: New Draft of the Retail Swaps Report

<<Retail Swap St -- (12-05 draft)1.doc>>
redline1.doc>>

[(b)(5)]
At Norman's request, I have put in writing my preliminary observations on the changes proposed by Bond Market to S. 220 - which include both technical and substantive changes. [(b)(5)]
Please review and let me know your thoughts.
Thanks,
Mike
At Norman’s request, I have put in writing my preliminary observations on the changes proposed by Bond Market to S. 220 - which include both technical and substantive changes. [(b)(5)]
Please review and let me know your thoughts.
Thanks,
Mike
At Norman's request, I have put in writing my preliminary observations on the changes proposed by Bond Market to S. 220 - which include both technical and substantive changes. [((b)(5))]

-----Original Message-----
From: Krimminger, Michael H. [mailto:NKrimminger@FDIC.gov]
Sent: Tuesday, February 13, 2001 4:47 PM
To: 'norman.carleton@do.treas.gov'; 'stephanie.martin@frb.gov';
   'Tom.McGivern@do.treas.gov'; 'MooneyJ@sec.gov'
Cc: DeLoose, Michael; Ivie, Stanley R.; Tishuk, Barbara Starke
Subject: Proposed Changes to Netting Bill -- Comments
Please review and let me know your thoughts.
Thanks.
Mike
At Norman's request, I have put in writing my preliminary observations on the changes proposed by Bond Market to S. 220 - which include both technical and substantive changes. [(b)(5)]
Please review and let me know your thoughts.
Thanks,
Mike
Carleton, Norman

From: Carleton, Norman
Sent: Tuesday, February 13, 2001 5:05 PM
To: Sutton, Gary; Ellis, Dina; Nickoloff, Peter; Schultheiss, Heidjlynne; McInerney, Roberta
Cc: Paulus, Michael
Subject: FW: Proposed Changes to Netting Bill -- Comments

----Original Message-----
From: Krimminger, Michael H. [mailto:MKrimminger@FDIC.gov]
Sent: Tuesday, February 13, 2001 4:47 PM
To: 'norman.carleton@do.treas.gov'; 'stephanie.martin@frb.gov';
'Tom.McGivern@do.treas.gov'; 'Mooney@sec.gov'
Cc: DeLoose, Michael; Ivie, Stanley R.; Tishuk, Barbara Starke
Subject: Proposed Changes to Netting Bill -- Comments

[[(b)(5)]]
Please review and let me know your thoughts.
Thanks,
Mike

<table>
<thead>
<tr>
<th>Recipient</th>
<th>Delivery</th>
<th>Read</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sutton, Gary</td>
<td>Delivered: 2/13/01 5:05 PM</td>
<td>Read: 2/13/01 5:06 PM</td>
</tr>
<tr>
<td>Ellis, Dina</td>
<td>Delivered: 2/13/01 5:05 PM</td>
<td>Read: 2/13/01 6:39 PM</td>
</tr>
<tr>
<td>Nickoloff, Peter</td>
<td>Delivered: 2/13/01 5:05 PM</td>
<td>Read: 2/13/01 5:21 PM</td>
</tr>
<tr>
<td>Schultheiss, Heidilynne</td>
<td>Delivered: 2/13/01 5:05 PM</td>
<td>Read: 2/13/01 5:06 PM</td>
</tr>
<tr>
<td>Mcinerney, Roberta</td>
<td>Delivered: 2/13/01 5:05 PM</td>
<td>Read: 2/13/01 9:16 PM</td>
</tr>
<tr>
<td>Paulus, Michael</td>
<td>Delivered: 2/13/01 5:05 PM</td>
<td>Read: 2/14/01 8:11 AM</td>
</tr>
</tbody>
</table>

02457
Carleton, Norman

From: Carleton, Norman
Sent: Thursday, November 01, 2001 2:34 PM
To: Alexander M. Brill (E-mail); Don Lamson (E-mail); dominbalagiano@sec.gov;
mike.brosnan@occ.treas.gov; ddow@cftc.gov; Amy Mitchell (E-mail); Annette Nazareth (E-
mail); Blainca Blaine (E-mail); Bob Colby (E-mail); Bob Zirnb (E-mail); David Wall; Diane
Virzera; Eichner, Matthew; Elizabeth Fox (E-mail); Eric Hirschhom (E-mail); Hammer, Viva;
John Polise (E-mail); Joyce Hansen; Kathyn Dick (E-mail); Kevin Erickson (E-mail); Kurt
Wilhelm (E-mail); Lori Sanalamorena (E-mail); Miguel Browne; Nickoloff, Peter; Novey,
Michael; Pat Parkinson (E-mail); Pat White; Schulteis, Heidi lynne
Cc: Bair, Sheila; Eilett, Martha; Gablondo, Jose; Gross, Jared; Roseboro, Brian; Bitsberger,
Timothy; Sutton, Gary
Subject: FW: Thoughts about Retail Swaps paper (FDIC comments)

-----Original Message-----
From: Browne, Miguel D. [mailto:MBrowne@FDIC.gov]
Sent: Thursday, November 01, 2001 2:25 PM
To: Norman.Carleton@do.treas.gov
Cc: Wall, David; Mitchell, Amy Aulthouse
Subject: Thoughts about Retail Swaps paper

[(b)(5)]
<table>
<thead>
<tr>
<th>Recipient</th>
<th>Delivery</th>
<th>Read</th>
</tr>
</thead>
<tbody>
<tr>
<td>Belinda Blaine (E-mail)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bob Colby (E-mail)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bob Zvirb (E-mail)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>'David Wall'</td>
<td></td>
<td></td>
</tr>
<tr>
<td>'Diane Vlizera'</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Eichner, Matthew</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Read: 11/1/01 3:30 PM</td>
</tr>
<tr>
<td>Elizabeth Fox (E-mail)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Eric Hirschhorn (E-mail)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hammer, Viva</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Read: 11/1/01 2:14 PM</td>
</tr>
<tr>
<td>John Polise (E-mail)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>'Joyce Hansen'</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kathryn Dick (E-mail)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kevin Erickson (E-mail)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kurt Wilhelm (E-mail)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lori Sanatamorena (E-mail)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>'Miguel Browne'</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nickoloff, Peter</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Read: 11/1/01 2:46 PM</td>
</tr>
<tr>
<td>Novey, Michael</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Read: 11/1/01 3:38 PM</td>
</tr>
<tr>
<td>Pat Parkinson (E-mail)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>'Pat White'</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Schultheiss, Heldlynne</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Read: 11/1/01 2:35 PM</td>
</tr>
<tr>
<td>Bair, Sheila</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Read: 11/1/01 3:15 PM</td>
</tr>
<tr>
<td>Elleit, Martha</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Read: 11/1/01 2:42 PM</td>
</tr>
<tr>
<td>Gablondo, Jose</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Read: 11/1/01 3:01 PM</td>
</tr>
<tr>
<td>Gross, Jared</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Read: 11/1/01 2:37 PM</td>
</tr>
<tr>
<td>Roseboro, Brian</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Deleted: 11/9/01 5:17 PM</td>
</tr>
<tr>
<td>Botsberger, Timothy</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Read: 11/1/01 2:42 PM</td>
</tr>
<tr>
<td>Sutton, Gary</td>
<td>Delivered: 11/1/01 2:34 PM</td>
<td>Read: 11/1/01 2:34 PM</td>
</tr>
</tbody>
</table>

02908
01300000001517
-----Original Message-----
From: Browne, Miguel D. [mailto:MBrowne@FDIC.gov]
Sent: Thursday, November 01, 2001 2:25 PM
To: 'Norman.Carleton@do.treas.gov'
Cc: Wall, David; Mitchell, Amy Aulthouse
Subject: Thoughts about Retail Swaps paper

[(b)(5)]