



Department of the Treasury

FY2022 Freedom of Information Act Annual Report



FY2022 Freedom of Information Act Annual Report to the Attorney General of the United States

TABLE OF CONTENTS

I.	Basic Information Regarding this Report	3
II.	Making a FOIA Request	4
III.	Acronyms, Definitions, and Exemptions	6
IV.	Exemption 3 Statutes	10
V.	FOIA Requests	11
VI.	Administrative Appeals	15
VII.	Processed and Pending FOIA Requests	22
VIII.	Requests for Expedited Processing and Fee Waiver	30
IX.	FOIA Personnel and Costs	32
X.	Fees Collected for Processing Requests	33
XI.	Use of Subsection (c) Exclusions and Proactive Disclosures	34
XII.	Backlogs, Consultations, and Comparisons	36

I. Basic Information Regarding this Report

1. Questions regarding this report may be directed to:

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2. This report is publicly available and can be downloaded from [Treasury's Freedom of Information Act website](#).
3. Paper copies of this report may be obtained by contacting the Office of Privacy, Transparency, and Records at the address above.

II. Making a FOIA Request

1. Contact Information

The current names, addresses, and contact information for Treasury's FOIA Officers can be found on [Treasury's Freedom of Information Act website](#).

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Privacy, Transparency, and Records
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United States Mint (Mint)
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Treasury Inspector General for Tax Administration (TIGTA)
Ms. Amy Jones
ATTN: FOIA Request
TIGTA Chief Counsel
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2. Brief description of why requests are not granted

The Treasury Department may not grant full access to records because of several reasons. Some records are classified. Others are specifically exempt from disclosure pursuant to statute, such as records that contain Bank Secrecy Act information or certain tax return information. This information falls within the scope of Exemption 3. Still other records and information that are obtained from private businesses and submitted in confidence or that contain proprietary information fall within the scope of Exemption 4. Internal agency communications representing the deliberative process, attorney work product, or attorney-client records fall within the scope of Exemption 5. Private information obtained from individuals may fall within the scope of Exemptions 6 and/or 7(C). Treasury also relies on Exemption 7(E) to protect information that would disclose techniques and procedures for law enforcement investigations or prosecutions or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could be expected to risk circumvention of the law. Finally, Treasury protects information and records contained in or related to the examination or the supervision of financial institutions under the authority of Exemption 8.

3. FOIA Regulations

[Treasury's FOIA regulations](#) are codified at 31 CFR Part 1, Subpart A.

The Financial Stability Oversight Council (FSOC) FOIA regulations are codified at 12 CFR Part 1301.

III. Acronyms, Definitions, and Exemptions

1. Agency-specific acronyms used in this Report:

Component Abbreviation	Component Name
BEP	Bureau of Engraving and Printing
DO ¹	Departmental Offices
FinCEN	Financial Crimes Enforcement Network
Fiscal Service	Bureau of the Fiscal Service
IRS	Internal Revenue Service
MINT	United States Mint
OCC	Office of the Comptroller of the Currency
TIGTA	Treasury Inspector General for Tax Administration
TTB	Alcohol Tobacco Tax and Trade Bureau

2. Basic terms used in this Report

- a. **Administrative Appeal** – a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** – the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** – for agencies that process requests on a decentralized basis, a “component” is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.

¹ DO includes the Offices of Domestic Finance, Economic Policy, Financial Research, General Counsel, International Affairs, Legislative Affairs, Management, Public Affairs, Tax Policy, Terrorism and Financial Intelligence, and the Treasurer of the United States. DO statistics in this report also include requests made to the Financial Stability Oversight Council, an entity chaired by the Secretary of the Treasury, the Treasury Office of the Inspector General, the Office of the Special Inspector General for the Troubled Asset Relief Program, and the Office of the Special Inspector General for Pandemic Recovery.

- e. **Consultation** – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** – a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** – a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a “third-party” request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., “first-party” requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act “systems of records” or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not however, include records for which the agency has received a consultation from another agency (Consultations are reported separately in Section XII of this Report).
- h. **Full Grant** – an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** – an agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** – the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- i. **Multi-track processing** – a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - ii. **Expedited processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - iii. **Simple request** – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.

- iv. **Complex request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

- k. **Partial Grant/Partial Denial** – in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.

- l. **Pending Request or Pending Administrative Appeal** – a request or administrative appeal for which an agency has not taken final action in all respects.

- m. **Perfected Request** – a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.

- n. **Processed Request or Processed Administrative Appeal** – a request or administrative appeal for which an agency has taken final action in all respects.

- o. **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.

- p. **Time Limits** – the time period in the statute for an agency to respond to a FOIA request (ordinarily 20 working days from receipt of a "perfected" FOIA request).

3. Descriptions of the nine FOIA Exemptions:

- a. **Exemption 1:** classified national defense and foreign relations information
- b. **Exemption 2:** information that is related solely to the internal rules and practices of an agency
- c. **Exemption 3:** information that is prohibited from disclosure by another federal law
- d. **Exemption 4:** trade secrets and other confidential business information
- e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6:** information involving matters of personal privacy
- g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8:** information relating to the regulation or supervision of financial institutions
- i. **Exemption 9:** geological information on wells

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
26 U.S.C. § 6103, 6105	Certain tax return information, to include Taxpayer Identification Numbers of third parties, and certain tax convention information	Nosal v. IRS, 523 F. Supp. 3d 72, 79 (D.D.C. 2021); Church of Scientology v. IRS, 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); Elec. Priv. Info. Ctr. v. IRS, 910 F.3d 1232, 1237 (D.C. Cir. 2018); Leonard v. U.S. Dep’ of Treasury, 590 F. App’x. 141, 143-44 (3d Cir. 2014) (per curiam); Pac. Fisheries, Inc. v. IRS, 395 F. App’x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105).	IRS	1660	1677
			TIGTA	17	
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319	Certain records that would reveal some secret aspect pertaining to grand jury proceedings	Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Sorin v. DOJ, No. 18-99, 2018 WL 6431027 (2d Cir. Dec. 6, 2018) (per curiam); Widi v. McNeil, No. 12-CV-00188, 2016 WL 4394724, at *23 (D. Me. Aug 16, 2016); Durham v. U.S. Att’y Gen., No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O’Connor v. U.S. Dep’t of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).	IRS	9	9
18 U.S.C. § 701	Likeness of any badge, identification card, or other insignia, of the design prescribed by the head of any department or agency of the United States for use by any officer or	Jones v. IRS, No. 06-CV-322, 2008 WL 1901208, at *3-4 (W.D. Mich. Apr. 25, 2008).	IRS	6	6

	employee thereof, or any colorable imitation thereof				
31 U.S.C. § 5319	Reports pertaining to monetary instruments transactions filed under subchapter II of chapter 53 of title 31 and records of those reports	Yunes v. DOJ, 263 F. Supp. 3d 82, 87 (D.D.C. 2017)); Council on Am.-Islamic Rels., Cal. v. FBI, 749 F. Supp. 2d 1104, 1117 (S.D. Cal. 2010); Berger v. IRS, 487 F. Supp. 2d 482, 496-97 (D.N.J. 2007), affd on other grounds, 288 F. App'x 829 (3d Cir. 2008).	FinCEN	41	146
			IRS	104	
			DO	1	
41 USC § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	IRS	2	2
21 U.S.C. § 1904(e)(3)	Information related to foreign narcotics traffickers	N/A	DO	9	9

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
BEP	26	51	44	33
DO	1284	573	515	1342
FinCEN	34	164	157	41
Fiscal Service	15	305	305	15

IRS	1392	7613	7542	1463
MINT	38	95	48	85
OCC	75	1506	1530	51
TIGTA	12	223	227	8
TTB	3	64	63	4
AGENCY OVERALL	2879	10594	10431	3042

After reviewing its database, Treasury updated the number of requests pending at the start of the Fiscal Year for the following components: DO, IRS, and OCC.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
BEP	12	17	0	7	2	0	1	1	4	0	0	0	44
DO	66	176	26	77	21	37	0	29	10	48	18	7	515
FinCEN	7	12	51	10	1	5	0	20	15	31	5	0	157
Fiscal Service	37	60	22	36	23	6	3	8	72	35	3	0	305
IRS	1624	1865	246	463	3	368	14	91	1896	23	910	39	7542
MINT	9	7	6	21	0	3	0	0	0	2	0	0	48
OCC	1222	63	10	92	0	67	2	12	24	38	0	0	1530

TIGTA	9	48	12	10	44	8	0	5	87	0	4	0	227
TTB	5	9	10	17	0	8	1	3	5	1	4	0	63
AGENCY OVERALL	2991	2257	383	733	94	502	21	169	2113	178	944	46	10431

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
BEP	N/A	0	0
DO	Unable to Locate Requester	7	7
FinCEN	N/A	0	0
Fiscal Service	N/A	0	0
IRS	Directed Requester to Another Entity Subject to the FOIA	39	39
MINT	N/A	0	0
OCC	N/A	0	0
TIGTA	N/A	0	0
TTB	N/A	0	0
AGENCY OVERALL			46

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
BEP	0	11	0	1	0	11	0	0	1	0	1	0	0	0
DO	12	3	22	66	88	174	12	1	34	3	21	1	2	0
FinCEN	0	0	42	1	7	15	0	0	8	0	7	0	0	0
Fiscal Service	0	0	1	15	6	59	0	0	0	0	3	0	0	0
IRS	0	11	1664	94	285	518	304	0	650	7	686	5	0	0
MINT	0	0	0	4	1	7	0	0	3	0	2	0	0	0
OCC	0	22	0	17	34	48	1	0	1	0	0	0	33	0
TIGTA	0	0	17	3	8	57	0	0	49	0	37	2	0	0
TTB	0	0	10	5	1	7	0	0	2	2	2	0	0	0
AGENCY OVERALL	12	47	1756	206	430	896	317	1	748	12	759	8	35	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
BEP	0	1	0	1
DO	36	16	14	38
FinCEN	0	6	0	6
Fiscal Service	0	3	3	0
IRS	9	107	107	9
MINT	1	1	0	2
OCC	1	7	8	0
TIGTA	1	13	13	1
TTB	1	2	2	1
AGENCY OVERALL	49	156	147	58

After reviewing its database, Treasury updated the number of appeals pending at the start of the Fiscal Year for the following components: IRS.

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
BEP	0	0	0	0	0
DO	4	1	1	8	14
FinCEN	0	0	0	0	0
Fiscal Service	2	0	1	0	3
IRS	88	1	0	18	107
MINT	0	0	0	0	0
OCC	2	1	0	5	8
TIGTA	7	4	0	2	13
TTB	0	0	0	2	2
AGENCY OVERALL	103	7	2	35	147

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9

BEP	0	0	0	0	0	0	0	0	0	0	0	0	0	0
DO	0	0	0	0	3	2	1	0	1	0	0	0	0	0
FinCEN	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Fiscal Service	0	0	0	1	0	0	0	0	0	0	0	0	0	0
IRS	0	7	49	2	17	18	13	0	13	0	24	0	0	0
MINT	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCC	0	0	0	0	1	0	0	0	0	0	0	0	0	0
TIGTA	0	0	4	0	1	4	0	0	3	0	1	0	0	0
TTB	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	7	53	3	22	24	14	0	17	0	25	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
BEP	0	0	0	0	0	0	0	0	0	0	0

DO	2	0	1	0	0	1	0	1	1	2	0
FinCEN	0	0	0	0	0	0	0	0	0	0	0
Fiscal Service	0	0	0	0	0	0	0	0	0	0	0
IRS	12	0	0	0	0	4	0	1	0	0	1
MINT	0	0	0	0	0	0	0	0	0	0	0
OCC	0	0	2	0	0	2	0	0	0	0	2
TIGTA	0	0	0	0	0	1	0	1	0	0	0
TTB	0	0	2	0	0	0	0	0	0	0	0
AGENCY OVERALL	14	0	5	0	0	8	0	3	1	2	3

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
IRS	Improper Appeal	1	1
OCC	Improper Appeal	2	2
DO	N/A	0	0
BEP	N/A	0	0
FinCEN	N/A	0	0

Fiscal Service	N/A	0	0
MINT	N/A	0	0
TIGTA	N/A	0	0
TTB	N/A	0	0
AGENCY OVERALL			3

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
IRS	14	19.57	4	317
OCC	19	32.38	<1	134
TIGTA	15	15	1	22
DO	32	118.79	1	467
Fiscal Service	20	21.33	20	24
TTB	15.5	15.5	8	23
AGENCY OVERALL	15.5	40.25	<1	467

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
IRS	Date of Appeal	N/A	2022-09-26	2022-09-22	2022-09-22	2022-09-16	2022-09-15	2022-09-15	2022-09-13	2022-09-13	2022-09-01
	Number of Days Pending	0	4	6	6	10	11	11	13	13	20
TIGTA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2022-09-14
	Number of Days Pending	0	0	0	0	0	0	0	0	0	13
BEP	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2022-08-23
	Number of Days Pending	0	0	0	0	0	0	0	0	0	27
DO	Date of Appeal	2019-07-16	2019-06-20	2018-10-04	2018-07-12	2018-07-09	2018-01-16	2017-12-20	2017-10-04	2015-11-13	2015-10-01
	Number of Days Pending	804	821	997	1047	1059	1180	1196	1248	1722	1751

FinCEN	Date of Appeal	N/A	N/A	N/A	N/A	2022-05-10	2022-01-11	2022-01-11	2022-01-11	2022-01-11	2022-01-11
	Number of Days Pending	0	0	0	0	100	183	183	183	183	183
MINT	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2022-09-18	2021-09-14
	Number of Days Pending	0	0	0	0	0	0	0	0	137	264
TTB	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2022-09-26
	Number of Days Pending	0	0	0	0	0	0	0	0	0	4
Fiscal Service	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OCC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	2019-07-16	2019-06-20	2018-10-04	2018-07-25	2018-07-09	2018-01-16	2017-12-20	2017-10-04	2015-11-13	2015-10-01

	Number of Days Pending	804	821	997	1047	1059	1180	1196	1248	1722	1751
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VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BEP	43	89.89	6	370	620	670.67	513	879	25	25	25	25
DO	2	11.4	<1	215	361.5	453.62	<1	2755	1141	1141	1115	1167
FinCEN	3	13.54	<1	191	526	611.86	81	1165	N/A	N/A	N/A	N/A
Fiscal Service	9	12.88	<1	317	26	213.08	11	1136	N/A	N/A	N/A	N/A
IRS	N/A	N/A	N/A	N/A	18	52.93	<1	1696	49	79.85	20	190
MINT	15	27.9	<1	155	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OCC	1	16.12	<1	1158	132.5	221.91	7	952	3	31.67	1	91
TIGTA	2	2.89	<1	15	10	15.54	<1	134	14	14	14	14
TTB	7	10.07	<1	47	28	36.39	2	167	N/A	N/A	N/A	N/A
AGENCY OVERALL	2	15.97	<1	1158	14	61.68	<1	2755	46.5	177.05	1	1167

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BEP	49	96.12	6	370	620	670.67	513	879	25	25	25	25
DO	11	25.05	<1	170	409	457.52	<1	2755	1167	1167	1167	1167
FinCEN	10	41.73	<1	191	718.5	767	466	1165	N/A	N/A	N/A	N/A
Fiscal Service	12	17.05	<1	317	25.5	244	13	1136	N/A	N/A	N/A	N/A
IRS	N/A	N/A	N/A	N/A	29	70.26	<1	1696	46.5	77	20	190
MINT	15	35.25	<1	149	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OCC	1	9.47	<1	1158	135	224.27	21	952	1	1	1	1
TIGTA	3	6.17	1	15	14.5	21.86	2	134	14	14	14	14
TTB	<1	<1	<1	<1	39	59.18	11	164	N/A	N/A	N/A	N/A
AGENCY OVERALL	1	12.65	<1	1158	31	92.96	<1	2755	39.5	133.19	1	1167

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BEP	6	11	3	2	1	0	3	1	4	0	2	2	0	35
DO	124	6	7	0	2	0	1	0	2	0	1	0	0	143
FinCEN	93	10	5	1	2	2	0	1	0	1	0	0	0	115
Fiscal Service	192	14	4	0	0	0	0	0	0	0	1	1	0	212
IRS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MINT	35	6	1	0	1	2	0	3	0	0	0	0	0	48
OCC	1325	67	9	12	2	3	4	1	1	3	8	6	16	1457
TIGTA	45	0	0	0	0	0	0	0	0	0	0	0	0	45
TTB	12	0	1	0	0	0	0	0	0	0	0	0	0	13
AGENCY OVERALL	1832	114	30	15	8	7	8	6	7	4	12	9	16	2068

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BEP	0	0	0	0	0	0	0	0	0	0	0	0	3	3
DO	49	24	13	9	8	3	4	9	3	3	24	22	153	324
FinCEN	0	0	0	0	2	0	0	0	0	0	0	0	5	7
Fiscal Service	5	4	1	0	0	0	0	0	0	0	1	0	2	13
IRS	3164	685	371	249	194	156	136	117	61	72	168	83	86	5542
MINT	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCC	3	4	1	3	3	2	1	2	2	0	5	2	6	34
TIGTA	70	9	5	0	1	0	1	0	0	0	0	0	0	86
TTB	15	16	6	0	1	0	1	0	2	0	0	0	0	41
AGENCY OVERALL	3306	742	397	261	209	161	143	128	68	75	198	107	255	6050

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BEP	0	1	0	0	0	0	0	0	0	0	0	0	0	1
DO	0	0	0	0	0	0	0	0	0	0	0	0	2	2
FinCEN	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Fiscal Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0
IRS	2	3	2	0	1	2	1	0	1	1	0	0	0	13
MINT	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCC	2	0	0	0	1	0	0	0	0	0	0	0	0	3
TIGTA	1	0	0	0	0	0	0	0	0	0	0	0	0	1
TTB	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	5	4	2	0	2	2	1	0	1	1	0	0	2	20

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
BEP	21	36	80	6	830	838	0	N/A	N/A
DO	9	533	510	1260	612.5	687	27	715	687
FinCEN	32	127.5	185	6	525	509	0	N/A	N/A
Fiscal Service	12	8	13	0	N/A	N/A	0	N/A	N/A
IRS	0	N/A	N/A	1319	99	169	8	210	202
MINT	73	240	237	1	832	832	0	N/A	N/A
OCC	42	8.5	115	5	245	317	0	N/A	N/A
TIGTA	0	N/A	N/A	4	8.5	8	1	16	16
TTB	0	N/A	N/A	3	71	59	0	N/A	N/A
AGENCY OVERALL	189	99	178.11	2604	256	419.54	36	466	545.89

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
BEP	Date of Receipt	2021-10-20	2021-07-18	2021-07-16	2020-12-07	2019-10-31	2019-10-31	2019-06-12	2019-06-04	2019-06-04	2018-06-08
	Number of Days Pending	239	304	305	455	729	729	827	833	833	1079
DO	Date of Receipt	2014-07-24	2014-07-16	2014-06-27	2014-06-25	2014-06-19	2014-06-17	2014-06-12	2014-05-09	2014-03-21	2013-08-22
	Number of Days Pending	2050	2056	2068	2070	2074	2076	2079	2102	2137	2280
FinCEN	Date of Receipt	2021-02-17	2021-01-15	2020-11-13	2020-10-14	2020-10-14	2020-09-23	2020-09-22	2020-07-28	2020-06-12	2020-04-17
	Number of Days Pending	409	429	470	491	491	505	506	545	576	615
Fiscal Service	Date of Receipt	2022-09-27	2022-09-21	2022-09-21	2022-09-19	2022-09-14	2022-09-13	2022-09-07	2022-08-30	2022-08-05	2022-07-28
	Number of Days Pending	3	7	7	9	12	13	17	22	39	45
IRS	Date of Receipt	2019-05-09	2019-03-20	2019-03-20	2018-02-27	2018-02-14	2017-04-17	2017-03-07	2016-12-20	2016-03-15	2015-12-15

	Number of Days Pending	850	886	886	1152	1160	1368	1388	1397	1642	1703
MINT	Date of Receipt	2021-02-24	2021-02-10	2020-11-03	2020-10-06	2020-10-06	2020-09-30	2020-09-28	2020-07-16	2020-06-04	2019-06-05
	Number of Days Pending	404	413	477	496	496	500	502	553	582	832
OCC	Date of Receipt	2022-04-27	2022-01-28	2021-10-12	2021-08-23	2020-08-18	2020-05-04	2019-10-04	2019-05-01	2017-11-28	2017-10-10
	Number of Days Pending	109	171	245	279	533	607	751	860	1217	1250
TIGTA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	2022-09-21	2022-09-20	2022-09-19	2022-09-15	2022-09-08
	Number of Days Pending	0	0	0	0	0	8	9	10	12	17
TTB	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2022-09-27	2022-06-21	2022-05-03
	Number of Days Pending	0	0	0	0	0	0	0	2	71	105
AGENCY OVERALL	Date of Receipt	2014-07-24	2014-07-16	2014-06-27	2014-06-25	2014-06-19	2014-06-17	2014-06-12	2014-05-09	2014-03-21	2013-08-22
	Number of Days Pending	2050	2056	2068	2070	2074	2076	2079	2102	2137	2280

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
BEP	0	1	13	13	0
DO	7	84	7	187.93	50
FinCEN	0	10	2	3.3	10
Fiscal Service	0	2	5	5	2
IRS	19	73	5	10.07	68
MINT	0	8	4	6	6
OCC	2	6	1	1.75	8
TIGTA	2	4	1.5	2.17	6
TTB	0	8	1	11.88	6
AGENCY OVERALL	30	196	5	80.44	156

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BEP	0	2	32.5	32.5
DO	88	34	6.5	199.3
FinCEN	0	17	1	2.76
Fiscal Service	0	1	15	15
IRS	53	31	<1	7.89
MINT	0	4	10	10.25
OCC	19	2	1	3.1
TIGTA	2	0	.5	.5
TTB	0	3	<1	0.33
AGENCY OVERALL	162	94	1.5	97.49

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
DO	29	11.50	40.50	3185391.00	1001948.00	4187339.00
FinCEN	2	6.00	8.00	375000.00	130000.00	505000.00
Fiscal Service	2	5.00	7.00	750000.00	0.00	750000.00
IRS	14	50.36	64.36	7981910.00	670593.11	8652503.11
MINT	1	0.35	1.35	213527.82	0.00	213527.82
OCC	8	0.35	8.35	2229090.00	0.00	2229090.00
TIGTA	0	4.79	4.79	729949.00	8950.00	738899.00
TTB	1	0.35	1.35	213527.82	0.00	213527.82
BEP	0	1.50	1.50	265968.00	0.00	265968.00
AGENCY OVERALL	57	80.20	137.20	15944363.64	1811491.11	17755854.75

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
BEP	0.00	
DO	0.00	
FinCEN	0.00	
Fiscal Service	0.00	
IRS	41037.92	0.5100
MINT	0.00	
OCC	0.00	
TIGTA	0.00	
TTB	1092.80	0.5100
AGENCY OVERALL	42130.72	0.2600

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
BEP	0
DO	0
FinCEN	0
Fiscal Service	0
IRS	0
MINT	0
OCC	0
TIGTA	0
TTB	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
BEP	21	3
DO	22	2131
FinCEN	1	0
Fiscal Service	3	0
IRS	4	2912
MINT	1	0
OCC	4112	0
TIGTA	1	162
TTB	0	3
AGENCY OVERALL	4165	5211

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BEP	20	1
DO	1268	38
FinCEN	36	6
Fiscal Service	2	0
IRS	969	0
MINT	71	2
OCC	13	0
TIGTA	0	0
TTB	2	0
AGENCY OVERALL	2381	47

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
BEP	0	5	5	0
DO	30	91	89	32
FinCEN	0	14	14	0
Fiscal Service	1	8	9	0
IRS	12	86	90	8
MINT	1	2	2	1
OCC	0	0	0	0
TIGTA	0	3	3	0
TTB	0	3	3	0
AGENCY OVERALL	44	212	215	41

After reviewing its database, Treasury adjusted the number of consults pending as of the start of the Fiscal Year for the following components: IRS.

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
BEP	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
DO	Date	2020-12-04	2020-09-11	2020-03-30	2019-10-25	2019-06-21	2019-05-16	2018-10-16	2018-07-31	2018-07-02	2017-12-01
	Number of Days	456	513	629	733	820	845	990	1043	1063	1209
FinCEN	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
Fiscal Service	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
IRS	Date	N/A	N/A	2022-09-28	2022-09-28	2022-09-23	2022-09-19	2022-09-19	2022-03-16	2022-01-12	2021-12-09
	Number of Days	0	0	2	2	5	9	9	138	181	203
MINT	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2021-07-09
	Number of Days	0	0	0	0	0	0	0	0	0	310

OCC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
TIGTA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
TTB	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	2020-12-04	2020-09-11	2020-03-30	2019-10-25	2019-06-21	2019-05-16	2018-10-16	2018-07-31	2018-07-02	2017-12-01
	Number of Days	456	513	629	733	820	845	990	1043	1063	1209

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BEP	50	51	46	44

DO	767	573	805	515
FinCEN	198	164	217	157
Fiscal Service	260	305	284	305
IRS	7700	7613	7526	7542
MINT	83	95	53	48
OCC	1450	1506	1511	1530
TIGTA	245	223	246	227
TTB	67	64	67	63
AGENCY OVERALL	10820	10594	10755	10431

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BEP	19	20
DO	1307	1268
FinCEN	25	36
Fiscal Service	6	2

IRS	831	969
MINT	28	71
OCC	61	13
TIGTA	1	0
TTB	2	2
AGENCY OVERALL	2280	2381

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BEP	0	1	0	0
DO	19	16	28	14

FinCEN	0	6	2	0
Fiscal Service	6	3	7	3
IRS	85	107	85	107
MINT	1	1	1	0
OCC	11	7	12	8
TIGTA	6	13	6	13
TTB	1	2	0	2
AGENCY OVERALL	129	156	141	147

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
BEP	0	1
DO	34	38
FinCEN	0	6

Fiscal Service	0	0
IRS	0	0
MINT	0	2
OCC	1	0
TIGTA	0	0
TTB	0	0
AGENCY OVERALL	35	47